## Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

							Inspection			
Part I	Annual Report Identif	icat	ion Information							
For caler	ndar plan year 2012 or fiscal plar	n yea	ar beginning 04/01/2012		and ending 03/	31/2013				
A This return/report is for:			a multiemployer plan;	a multip	le-employer plan; or					
		X	a single-employer plan;	a DFE (	specify)					
				_						
<b>B</b> This r	eturn/report is:		the first return/report;	X the final	return/report;					
	'	Ī	an amended return/report;	a short	olan year return/report (le	ss than 12 m	nonths).			
C If the	plan is a collectively-bargained p	nlan	•	_			·Π			
		лап, П		_	tic extension;	_	o DEVC program:			
D Chec	k box if filing under:	H	Form 5558;		iic extension,	tr	ne DFVC program;			
		Ш	special extension (enter descr	· /						
Part	I Basic Plan Information	tion	enter all requested information	on		1				
	e of plan					1b	Three-digit plan	001		
ROBER	rg. Goodman PC., Deferre	ED P	ROFIT SHARING PLAN			10	number (PN) >	an		
						'	1c Effective date of plan 06/20/1986			
<b>2a</b> Plan	sponsor's name and address; in	nclud	le room or suite number (emplo	oyer, if for a single	e-employer plan)	2b	Employer Identifica	ation		
	•		, ,		, , ,		Number (EIN)			
ROBER	ΓG. GOODMAN						13-3222332			
						20	Sponsor's telephor number	ne		
							212-564-888	3		
	35TH STREET RK, NY 10001		3 WEST 35 <sup>-</sup> NEW YORK	TH STREET		20	2d Business code (see			
INEW IC	10001		NEW TORK	ζ, ΝΤ 10001		instructions)				
							541110			
Caution	A penalty for the late or inco	mple	te filing of this return/report	will be assessed	unless reasonable cau	se is establi	shed.			
	enalties of perjury and other pena							edules.		
	its and attachments, as well as t									
SIGN	Filed with authorized/valid elect	ronic	signature.	09/03/2013	ROBERT G. GOODM	AN				
HERE	Signature of plan administra	tor		Date	Enter name of individu	ıal signing as	s plan administrator			
	g									
SIGN										
HERE	Signature of ampleyer/plan of	non		Date	Enter name of individu	ol cianina ca	omployer or plan on	oncor		
	Signature of employer/plan s	роп	SOI	Date	Enter name of individu	iai signing as	s employer or plan sp	0011501		
SIGN										
HERE										
Proparar	Signature of DFE 's name (including firm name, if	annli		Date	Enter name of individu		B DFE telephone number			
Fiepaiei	s name (including initi name, ii	арріі	cable) and address, include for	om or suite numb	er. (optiorial)	(optional)	telepriorie riumbei			
1										

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3a	Plan administrator's name and address Same as Plan Sponsor Name	Same as Plan Sp	onsor Address	<b>3b</b> Administrator's EIN		
				3c Administrator's to number	elephone	
4	If the name and/or EIN of the plan sponsor has changed since the last return. EIN and the plan number from the last return/report:	/report filed for thi	s plan, enter the name,	4b EIN		
а	Sponsor's name			4c PN		
5	Total number of participants at the beginning of the plan year			5	4	
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines <b>6a, 6b</b>	, <b>6c</b> , and <b>6d</b> ).	,		
а	Active participants			6a	0	
u	Active participants	•••••				
b	Retired or separated participants receiving benefits			6b		
С	Other retired or separated participants entitled to future benefits			6c		
d	Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b>			6d	0	
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	ceive benefits		6e		
f	Total. Add lines <b>6d</b> and <b>6e</b>		6f	0		
~	Number of participants with account belonges as of the and of the plan year.	vihutian plana				
g		r of participants with account balances as of the end of the plan year (only defined contribution plans te this item)				
h	Number of participants that terminated employment during the plan year with accrued benefits that were					
7	less than 100% vested			6h		
	Enter the total number of employers obligated to contribute to the plan (only i	. , ,	. ,	7		
oa	If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:  3D					
b	If the plan provides welfare benefits, enter the applicable welfare feature cod-	les from the List of	Plan Characteristics Codes	s in the instructions:		
93	Plan funding arrangement (sheek all that apply)	<b>Qh</b> Blan hanafi	t arrangement (check all tha	at apply)		
Ja	Plan funding arrangement (check all that apply)  (1) Insurance	(1)	Insurance	и арріу)		
	Code section 412(e)(3) insurance contracts	(2)	Code section 412(e)(3) i	nsurance contracts		
	(3) Trust	(3) X	Trust			
	(4) General assets of the sponsor	(4)	General assets of the sp			
10	Check all applicable boxes in 10a and 10b to indicate which schedules are at	ttached, and, whe	re indicated, enter the numb	per attached. (See ins	structions)	
а	Pension Schedules	b General Se	chedules			
	(1) R (Retirement Plan Information)	(1)	H (Financial Inform	nation)		
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money	(2) X	I (Financial Inform	ation – Small Plan)		
	Purchase Plan Actuarial Information) - signed by the plan	(3)	A (Insurance Inform	mation)		
	actuary	(4)	C (Service Provide	er Information)		
	(3) SB (Single-Employer Defined Benefit Plan Actuarial	(5)	<b>D</b> (DFE/Participating	ng Plan Information)		
	Information) - signed by the plan actuary	(6)	<b>G</b> (Financial Trans	action Schedules)		

## SCHEDULE I (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Financial Information—Small Plan

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

and ending 03/31/2013
B Three-digit plan number (PN) 001
D Employer Identification Number (EIN)
13-3222332

Complete Schedule I if the plan covered fewer than 100 participants as of the beginning of the plan year. You may also complete Schedule I if you are filing as a small plan under the 80-120 participant rule (see instructions). Complete Schedule H if reporting as a large plan or DFE.

## Part I Small Plan Financial Information

Report below the current value of assets and liabilities, income, expenses, transfers and changes in net assets during the plan year. Combine the value of plan assets held in more than one trust. Do not enter the value of the portion of an insurance contract that guarantees during this plan year to pay a specific dollar benefit at a future date. Include all income and expenses of the plan including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar.

1	Plan Assets and Liabilities:		(a) Beginning of Year	(b) End of Year
а	Total plan assets	. 1a	498500	0
b	Total plan liabilities	. 1b		
С	Net plan assets (subtract line 1b from line 1a)	1c	498500	0
2	Income, Expenses, and Transfers for this Plan Year:		(a) Amount	<b>(b)</b> Total
а	Contributions received or receivable:			
	(1) Employers	. 2a(1)		
	(2) Participants	2a(2)		
	(3) Others (including rollovers)	2a(3)		
b	Noncash contributions	. 2b		
С	Other income	. 2c	4333	
d	Total income (add lines 2a(1), 2a(2), 2a(3), 2b, and 2c)	2d		4333
е	Benefits paid (including direct rollovers)	. 2e	502833	
f	Corrective distributions (see instructions)	. 2f		
g	Certain deemed distributions of participant loans (see instructions)	. 2g		
h	Administrative service providers (salaries, fees, and commissions)	. 2h		
i	Other expenses	. 2i		
j	Total expenses (add lines 2e, 2f, 2g, 2h, and 2i)	. 2j		502833
k	Net income (loss) (subtract line 2j from line 2d)	. 2k		-498500
	Transfers to (from) the plan (see instructions)	. 2I		

3 Specific Assets: If the plan held assets at anytime during the plan year in any of the following categories, check "Yes" and enter the current value of any assets remaining in the plan as of the end of the plan year. Allocate the value of the plan's interest in a commingled trust containing the assets of more than one plan on a line-by-line basis unless the trust meets one of the specific exceptions described in the instructions.

			Yes	No	Amount
а	Partnership/joint venture interests	3a		X	
b	Employer real property	3b		X	
	Real estate (other than employer real property)			X	
d	Employer securities	3d		X	
	Participant loans			X	

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Schedule I (Form 5500) 2012

		Г	ı	ı		
	г		Yes	No		Amount
3f	Loans (other than to participants)	3f		X		
g	Tangible personal property	3g		X		
Pa	art II Compliance Questions					
4	During the plan year:		Yes	No		Amount
а	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X		
b	Were any loans by the plan or fixed income obligations due the plan in default as of the close of plan year or classified during the year as uncollectible? Disregard participant loans secured by the participant's account balance.	4b		X		
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible?	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a.)	4d		X		
е	Was the plan covered by a fidelity bond?	4e		X		
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan at any time hold 20% or more of its assets in any single security, debt, mortgage, parcel of real estate, or partnership/joint venture interest?	4i		X		
j	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4j	X			
k	Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? If "No," attach an IQPA's report or 2520.104-50 statement. (See instructions on waiver eligibility and conditions.)	4k	X			
ı	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n				
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year	× Ye	s 🗌 N	lo A	Amount:	0
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), ide transferred. (See instructions.)	ntify t	he plan	n(s) to w	hich assets o	or liabilities were
	5b(1) Name of plan(s)			5b(2)	EIN(s)	<b>5b(3)</b> PN(s)
Pa	rt III Trust Information (optional)					
	Name of trust			<b>6b</b> Tru	ust's EIN	