### Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

# Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2011

This Form is Open to Public Inspection

		lance with	n the instructions to the Form 5500	O-SF.		•	
	art I Annual Report Identification Information						
For	calendar plan year 2011 or fiscal plan year beginning 12/01/2011	1	and ending 1	1/30/2	012		
Α	This return/report is for:	a multiple	-employer plan (not multiemployer)	Ī	a one-particip	oant plan	
В	This return/report is: the first return/report	the final re	eturn/report	-	<del>_</del>		
_			in year return/report (less than 12 mo	onths)			
_			• •	лино <i>)</i> Г	7 DEVC 250050		
C			extension	L	DFVC progra	ım	
	special extension (enter description	n)					
Pa	art II Basic Plan Information—enter all requested informa	ation					
	Name of plan				Three-digit		
ECC	O III ENTERPRISES INC. PENSION PLAN				plan number	004	
					(PN) •	001	
				10	Effective date of 12/01.		
22	Plan sponsor's name and address; include room or suite number (en	mployor if	for a single employer plan)	2h			_
	O III ENTERPRISES INC.	ripioyer, ii	ioi a single-employer plan)		Employer Identii (EIN) 13-27	54124	ı
					Sponsor's telep		
004	NAME OF THE POAR			20	914-96		
	SAW MILL RIVER ROAD KERS, NY 10701			2d	Business code (	see instruction	s)
					23799		٠,
3a	Plan administrator's name and address (if same as plan sponsor, en	ter "Same	3")	3b	Administrator's I	EIN	
	O III ENTERPRISES INC. 201 SAW MILI	L RIVER I				54124	
	YONKERS, N'	Y 10701		3c	Administrator's t		ber
_				41	914-963	3-3600	
4	If the name and/or EIN of the plan sponsor has changed since the la name, EIN, and the plan number from the last return/report.	ast return/i	report filed for this plan, enter the	4b	EIN		
а	Sponsor's name			4c	PN		
	Total number of participants at the beginning of the plan year			5a	<u> </u>		8
b							
	Total number of participants at the end of the plan year			5b			
С	Number of participants with account balances as of the end of the pl complete this item)			5c			
62	Were all of the plan's assets during the plan year invested in eligible					X Yes	No
b	Are you claiming a waiver of the annual examination and report of a		'			A 103	140
~	under 29 CFR 2520.104-46? (See instructions on waiver eligibility a			,		X Yes	No
	If you answered "No" to either 6a or 6b, the plan cannot use Fo	orm 5500-	SF and must instead use Form 550	00.			
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year	
а	Total plan assets	7a	12463353			0	
b	Total plan liabilities	7b					
С	Net plan assets (subtract line 7b from line 7a)	7c	12463353			0	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		(b) T	otal	
a	Contributions received or receivable from:		(4) 7		(,		
	(1) Employers	8a(1)	1930000				
	(2) Participants	8a(2)					
	(3) Others (including rollovers)	8a(3)					
b	Other income (loss)	8b	986764				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				2916764	
d	Benefits paid (including direct rollovers and insurance premiums						
-	to provide benefits)	8d	15380117				
е	Certain deemed and/or corrective distributions (see instructions)	8e					
f	Administrative service providers (salaries, fees, commissions)	8f					
g	Other expenses	8g					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				15380117	
i	Net income (loss) (subtract line 8h from line 8c)	8i				-12463353	
i	Transfers to (from) the plan (see instructions)						
J	Transfers to (morn) the plan (see monuclions)	8j					

Form	5500.	SF.	201

Page	2	-	1		
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Part IV	Plan	Charact	eristics
I altıv	ı ıaıı	Onal aci	にいらいしろ

- **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:
  - 1A 1G 1I 3D
  - **b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

										_
art	V C	ompliance Questions								
0	During	the plan year:		Yes	No		Amo	unt		
а		ere a failure to transmit to the plan any participant contributions within the time period described in R 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X					
b	Were th	nere any nonexempt transactions with any party-in-interest? (Do not include transactions reported 10a.)	10b		X					
С	Was th	ne plan covered by a fidelity bond?	10c	Χ					500000	
d		plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud onesty?	10d		X					_
е	insuran	ny fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, ice service or other organization that provides some or all of the benefits under the plan? (See ions.)	10e	X					7991	
f	Has the	e plan failed to provide any benefit when due under the plan?	10f		X					
g	Did the	plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		X					
h		s an individual account plan, was there a blackout period? (See instructions and 29 CFR 01-3.)	10h							
i		vas answered "Yes," check the box if you either provided the required notice or one of the ons to providing the notice applied under 29 CFR 2520.101-3	10i							
art	VI P	ension Funding Compliance								
11	Is this a	defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com					, X	Yes	No	
2		a defined contribution plan subject to the minimum funding requirements of section 412 of the Code						Yes	X No	
	If a wair	," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.)  ver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions the waiver.	th							
		upleted line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.		Г	12b					_
D		ne minimum required contribution for this plan year								_
C		ne amount contributed by the employer to the plan for this plan year			12c	<del>                                     </del>				
u		et the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left e amount)			12d					
е	Will the	minimum funding amount reported on line 12d be met by the funding deadline?				Yes	١	lo	N/A	
art	VII F	Plan Terminations and Transfers of Assets								
3a	Has a re	esolution to terminate the plan been adopted in any plan year?			X	'es	No			
	If "Yes,	enter the amount of any plan assets that reverted to the employer this year	1	3a	, <u> </u>					0
b	Were a	Il the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought		the co	ntrol		X	Yes	☐ No	
С	If during	g this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the seets or liabilities were transferred. (See instructions.)	he pla	n(s) to					_	
1		ame of plan(s):		13	c(2) EI	N(s)		13c(3)	PN(s)	
aut	ion: A p	enalty for the late or incomplete filing of this return/report will be assessed unless reasonab	le cau	ıse is	establ	ished.				
		es of perjury and other penalties set forth in the instructions, I declare that I have examined this return the MR completed and signed by an enrolled actuary, as well as the electronic version of this return.								

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	09/04/2013	ROBERT PUCILA
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN	Filed with authorized/valid electronic signature.	09/04/2013	ROBERT PUCILA
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor

### **SCHEDULE SB** (Form 5500)

Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

**Actuarial Information** Department of the Treasury

> This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

Single-Employer Defined Benefit Plan

OMB No. 1210-0110

2011

This Form is Open to Public Inspection

							File as a	an attach	nment	t to Form	5500 or	5500	-SF.							
For	calen	ıdar p	lan year 2	2011 d	or fiscal plan	yea	r beginning 12	2/01/2011	1				and end	ing	11/30/2	012				
<b>→</b> I	Roun	d off	amounts	to no	earest dollar															
<b>)</b>	Cauti	on: A	penalty o	of \$1,0	000 will be as	ses	ssed for late filing o	of this rep	ort un	less reaso	onable c	ause i	s establish	ied.			1			
		of pla		C INC	DENCION	DI /	. N.I					В	Three-di	git				001		
ECC	O III	ENTE	ERPRISE	SINC	C. PENSION	PLA	AN						plan nun	nber (F	PN)	•		001		
CP	lan cı	nonec	or's name	ac ch	nown on line '	22.0	of Form 5500 or 55	00-SE				D	Employer	Identi	fication	Numbe	r (EIN	I)		-
			ERPRISE			La C	011 01111 0000 01 00	00-31					-2754124	Ideiiii	iication	INGITIDE	; (LIIV	')		
												13	-2734124							
Ет	vne o	f plan:	: X Sing	ıle	Multiple-A	Г	Multiple-B		<b>F</b> Pri	ior year pla	n size:	× 100	or fewer	П 10	)1-500	Mor	e than	500		
		Ī								.o. you. p.o	0.201			Ш	7. 000		-			_
	rt I		asic Inf				10 -	- 01	1		2011									_
1			valuation	date:		Mc	onth <u>12</u> [	Day01	<u> </u>	_ Year _2	2011	_								
2	Ass														_				4220427	_
	a											•••••			a				1329427	
	b													2	b				1329427	3
3	Fun	·	• .	•	nt count brea				_		(1) N	Numbe	er of partic	ipants		(2	<b>2)</b> Fur	iding Tai	_	_
	а		•	•			aries receiving pay		_	3a					2				103037	_
	b					ts.				3b					3				7849	4
	С	For a	active par	ticipa	nts:				_											ļ
		(1)	Non-ves	ted be	enefits					3c(1)										0
		(2)	Vested b	enefi	ts					3c(2)									1087529	_
		(3)	Total act	ive						3c(3)					81				1087529	6
	d	Tota	ıl							3d					86				11984162	2
4	If the	e plar	n is in at-r	sk sta	atus, check th	ne b	ox and complete li	nes (a) aı	nd (b)			[]								
	а	Fund	ding targe	t disre	egarding pres	crit	ed at-risk assumpt	tions						4	а					
	b	Fund	ding targe	t refle	cting at-risk a	ISSI	umptions, but disre	garding tr	ransiti	ion rule fo	r plans tl	hat ha	ve been ir	1	b					
		at-ris	sk status	for fev	wer than five	cor	secutive years and	d disregar	rding l	oading fac	ctor									_
5	Effe	ctive	interest ra	te											5				5.66 %	_
6														(	6				(	0
		•	Enrolled		•															
а	ccorda	ince wit	h applicable	law and	d regulations. In n	ny op	this schedule and accompinion, each other assumpence under the plan.													ı
S	IGN																			
	ERE															08/20	0/2013	3		
					Sian	atu	re of actuary					_	-			Date	9			_
RALF	PH G	ARFII	ELD, PH.I	D., AS													)1219			
					Type or r	rint	name of actuary					_		Mc	st rece	nt enrol	lment	number		_
SAM	E				Type of p		That he of doldary							IVIC	) or 1000		567-6			
						Fire	m name					_	т	elenh	ne nur			g area c	ode)	_
	OX 2						ппать						'	Cicpil	JIIC HUI	(111	oiuuill	y aica t	ouc,	
ENG	LISH'	TOWI	N, NJ 077	26																
												_								
					Add	dres	ss of the firm													
		•	s not fully	refle	cted any regi	ılat	ion or ruling promu	lgated un	nder th	ne statute	in compl	leting	this sched	ule, ch	eck the	box ar	ıd see		П	_
instru	ctions	S																	ш	

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Schedule SB (Form 5500) 2011

Pa	rt II Be	ginning of year	carryove	er and prefunding bal	ances							
						(a) (	Carryover balance		(b) F	Prefundi	ng balan	ce
7				cable adjustments (line 13 fr	•			0				0
8			•	unding requirement (line 35								
9	Amount rema	ining (line 7 minus li	ne 8)					0				0
10				urn of%								
11	Prior year's	xcess contributions t	o be added	to prefunding balance:								
	a Present v	alue of excess contri	butions (lin	e 38 from prior year)							38	399412
				ive rate of6.18_% except as							2	40984
	C Total avai	able at beginning of c	urrent plan	year to add to prefunding bala	nce						41	40396
	<b>d</b> Portion o	(c) to be added to p	refunding b	alance							41	40396
12	Other reduct	ons in balances due	to elections	s or deemed elections							41	40396
13	Balance at b	eginning of current ye	ear (line 9 +	- line 10 + line 11d – line 12)				0				0
Pa	art III F	unding percenta	ages									
14										14	110	0.93 %
		· · · · · · · · · · · · · · · · · · ·							15	110	0.93 %	
15 Adjusted funding target attainment percentage									5.07 %			
17				s less than 70 percent of the						17		%
		ontributions an		<u> </u>					<u> </u>			
			•	ear by employer(s) and emp	lovees:							
	(a) Date	(b) Amount p	aid by	(c) Amount paid by employees	(a) Da (MM-DD-)		(b) Amount paid employer(s)		(0		nt paid b	У
	/29/2012		1930000	Gp.09000	(	,	Gp.G. G.			ор	,,,,,,	
			· ·		Totals ▶	18(b)	19	30000	18(c)			0
19	Discounted e	mployer contribution	s – see ins	tructions for small plan with a	a valuation da	ate after th	e beginning of the	year:				
	<b>a</b> Contribution	ns allocated toward	unpaid min	imum required contributions	from prior ye	ears		19a				0
	<b>b</b> Contribution	ns made to avoid res	strictions ac	djusted to valuation date				19b				0
	<b>c</b> Contributio	ns allocated toward m	inimum req	uired contribution for current y	ear adjusted t	o valuation	date	19c			1	869001
20	Quarterly cor	tributions and liquidi	ty shortfalls	): :			<u>-</u>					
	a Did the pla	n have a "funding sh	ortfall" for t	he prior year?							Yes	X No
	<b>b</b> If 20a is "Y	es," were required q	uarterly ins	tallments for the current yea	r made in a ti	imely man	ner?			<u> </u>	Yes	No
	<b>C</b> If 20a is "Y	es," see instructions	and compl	ete the following table as ap	olicable:							
			·	Liquidity shortfall as of er		of this pla	n year					
	(1)	1st		(2) 2nd		(3)	3rd			(4) 4th	l	

Pa	rt V	Assumptio	ns used to determ	nine f	unding target and tar	get ı	normal cost		
21	Disco	ount rate:							
	<b>a</b> S	egment rates:	1st segment: 1.99%		2nd segment: 5.12%		3rd segment: 6.24 %		N/A, full yield curve used
	<b>b</b> Ai	pplicable month	(enter code)					21b	0
22								22	65
23		ality table(s) (see		_	escribed - combined		scribed - separate	Substitu	
Da		Miscellane		_	L		· · · · · · · · · · · · · · · · · · ·		
				od oot	uarial assumptions for the c	ırront	plan year? If "Van " and	inatruotiona	rogarding required
			•		uariai assumptions for the co		•		· · · · · · · · · · · · · · · · · · ·
25	Has a	a method change	e been made for the cur	rent pla	an year? If "Yes," see instru	ctions	regarding required attac	hment	Yes 🔀 No
26	Is the	plan required to	provide a Schedule of	Active	Participants? If "Yes," see i	nstruc	tions regarding required	attachment	X Yes No
27			, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		nding rules, enter applicable			27	
	rt VII		•		m required contribut		• •		
					years			28	0
29					l unpaid minimum required o		· · ·	29	0
30	Rema	aining amount of	f unpaid minimum requir	ed con	tributions (line 28 minus line	29)		30	0
Pa	rt VIII	Minimum	required contribu	tion f	or current year				
31	Targe	et normal cost a	nd excess assets (see ii	nstruct	ions):				
	<b>a</b> Tai	rget normal cost	(line 6)					31a	0
	<b>b</b> Ex	cess assets, if a	applicable, but not greate	er than	31a			31b	0
32	Amor	tization installme	ents:				Outstanding Bala	ince	Installment
	a Ne	et shortfall amort	ization installment					0	0
	<b>b</b> W	aiver amortizatio	on installment					0	0
33					ter the date of the ruling lette			33	
34	Total	funding requirer	ment before reflecting ca	arryove	er/prefunding balances (lines	31a -	31b + 32a + 32b - 33)	34	0
		3 - 1 - 1			Carryover balance		Prefunding bala	l	Total balance
35	Ralan	ocas elected for i	use to offset funding		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		3		
00									0
36	Additi	ional cash requir	rement (line 34 minus lir	ne 35).				36	0
37	Contr	ributions allocate	ed toward minimum requ	ired co	ontribution for current year a	djuste	d to valuation date	37	1869001
38			ess contributions for curr						
								38a	1869001
		•	•		prefunding and funding star			38b	0
39					ear (excess, if any, of line 36		•	39	0
40								40	0
	rt IX				nsion Relief Act of 20			1 - 1	
					irsuant to an alternative amo		<u></u>		
			<u>_</u>						2 plus 7 years 15 years
					11a was made				
42		, , , ,	,					42	
					d t- 6-t				
43	Exces	ss installment ac	ceieration amount to be	carrie	d over to future plan years			43	

### SCHEDULE SB (Form 5500)

**(,)** 

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Single-Employer Defined Benefit Plan Actuarial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6059 of the Internal Revenue Code (the Code).

OMB No. 1210-0110

2011

This Form is Open to Public Inspection

File as an attachme	ent to Form	5500 or	5500-SF.			
For calendar plan year 2011 or fiscal plan year beginning 12/0	01/2011		and ending	]	11/	30/2012
Round off amounts to nearest dollar.						
Caution: A penalty of \$1,000 will be assessed for late filing of this report	unless reaso	nable ca	use is established	i <u>.</u> .		
A Name of plan			B Three-digit			
			plan numb	er (PN)	>	001
ECCO III Enterprises Inc. Pension Plan						
C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-SF			D Employer Id	entificatio	n Number (	(EIN)
ECCO III Enterprises Inc.			13-27541			
	Рлюг year pla	ın size: X	100 or fewer	101-500	More	than 500
Part I Basic Information				<u> </u>	<u> </u>	
12		2011				Are to the Michaele Control of the C
1 Enter the valuation date: Month 12 Day 1  2 Assets:	Year _	2011	_			
				20		12 004 002
a Market value				2a		13,294,273
b Actuarial value			***************************************	2b		13,294,273
3 Funding target/participant count breakdown:	_	(1) N	umber of participa		(2)	Funding Target
a For retired participants and beneficiaries receiving payment				2		1,030,372
b For terminated vested participants	3b			3		78,494
C For active participants:						
(1) Non-vested benefits	3c(1)					. 0
(2) Vested benefits	3c(2)					10,875,296
(3) Total active	3c(3)			81		10,875,296
<b>d</b> Total	3d			86		11,984,162
4 If the plan is in at-risk status, check the box and complete lines (a) and	(b)					
a Funding target disregarding prescribed at-risk assumptions			<u> </u>	4a		
b Funding target reflecting at-risk assumptions, but disregarding tran at-risk status for fewer than five consecutive years and disregarding	sition rule fo	r plans th	at have been in	4b		
5 Effective interest rate				5		5.66 %
				6		0.00 %
G				, 0		<u>_</u>
Statement by Enrolled Actuary  To the best of my knowledge, the information supplied in this schedule and accompanying schedule accordance with applicable law and regulations. In my opinion, each other assumption is reasonal combination, offer my best estimate of anticipated experience under the plan.						
SIGN Raph Gannil				ela	0 2013	
Signature of actuary		-	_	<u> </u>	Date	Z
Ralph Garfield, Ph.D., ASA, EA					11-012	219
				111		
Type or print name of actuary SAME					encentolin 32) 567	nent number 7 - 6679
	•					
PO Box 24 Firm name			i ei	ерионе п	umber (inci	luding area code)
Englishtown NJ 07	726					
Address of the firm			<del>_</del>			
f the actuary has not fully reflected any regulation or ruling promulgated undenstructions		·	•		ne box and	see
For Paperwork Reduction Act Notice and OMB Control Numbers, see the	instruction	s for Fo	rm 5500 or 5500	SF.	Sched	ule SB (Form 5500) 2011

	Α	В	С	D	E	F	G
1	Form 5500 Scho						
2	Discounted Emp	oloyer Contribu	utions				
3							
4						INTEREST	
5			APPLIED	EFFECTIVE	INCREASED	ADJUSTED	
6	DATE	AMOUNT	TO YEAR	RATE	RATE	CONTRIBUTION	·
7							
8	6/29/2012	1930000	2011	0.0566		1869000.66	
9						0.00	
10						0.00	
11						0.00	
12						0.00	
13						0.00	
14						0.00	1869000.66
15							
16							
17							
18							
19		1930000				1869000.66	

# Schedule SB, line 26 - Schedule of Active Participant Data

# YEARS OF CREDITED SERVICE

40 & Up	Avg. Comp		0		0	0		•		•		0	•		ी	•		0		9
	No. C.	H	0	_	0	•	+	9	1	0	+	0	0		9	9	1	0		-
39	Avg.		0		0	0	1	-	-	0		0	0		0	0		0		0
35 To 39	No.		0		0	0	-	0		0		0	0		0	0		0	ļ	0
30 To 34	Avg. Comp		0		0	0		0		0		0	0		0	0		0		0
30.1	Š.		0		0	0		0		0		0	0		-	0		1		0
25 To 29	Avg.	•	0		0	0		0		0		0	0		0	0		0		0
	Š.		0		0	0		•		1		2	2		2	0		0		0
0 24	Avg. Comp		0		0	0		0		0		0	0		0	0		0		0
20 To 24	Z o	-	0		0	0		0		0		2	8		2	1		0		0
0 19	Avg. Comp		0		0	0		0		0		0	0		0	0		0		0
15 To 19	Š		0		0	0		0		1		П	3		1	1		1		1
10 To 14	Avg.		0		0	0		0		0		0	0		0	0		0		0
10 T	Ż		0		0	0		7		1		7	 1		0	7		0		9
5 To 9	Avg.		0		0	0		0		0	_	0	0		0	0		0		0
5.1	Z	$\vdash$	0		3	3		2		0		1	2		2	0		1		0
1 To 4	Avg.	J	0		0	0		0		0		0	0	:	0	0		0		0
1 1	Z	$\vdash$	2	-	7	4		7		4		5	4		0	 ဗ		0		0
Under 1	Avg.	1	0		0	0		0		0		0	0		0	0		0		0
L <sub>u</sub>	Ž		0		0	0		0		0		0	0		0	0		0		0
	Attained	â	Under 25		25 to 29	30 to 34		35 to 39		40 to 44		45 to 49	50 to 54		55 to 59	60 to 64		65 to 69		70 & Up

Name of plan: E.C.C.O III Enterprises Inc. Pension Plan Plan sponsor's name: E.C.C.O. III Enterprises Inc.

Plan number: EIN:

001 13-2754124