Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

| | | | Complete all entries in accord | iance with the mstruc | stions to the Form 550 | ло- эг. | | |
|-------|-------------|--------------------------|--|----------------------------|--------------------------|--------------------|--------------------------|--|
| | art I | | Identification Information | | | | | |
| For | calenda | ar plan year 2012 or fis | scal plan year beginning 01/01/2012 | 2 | and ending | 12/31/2 | 2012 | |
| Α | This ret | urn/report is for: | | , , | an (not multiemployer) | | a one-particip | oant plan |
| В | This ret | urn/report is: | the first return/report | the final return/report | | | | |
| | | | an amended return/report | a short plan year returi | n/report (less than 12 m | onths) |) | |
| С | Check b | oox if filing under: | X Form 5558 | automatic extension | | | DFVC progra | m |
| | | | special extension (enter description | n) | | | | |
| Pá | art II | Basic Plan Info | rmation—enter all requested informa | ation | | | | |
| 1a | Name | of plan | | | | 1b | Three-digit | |
| AJS ' | WHOLE | SALERS, INC. 401 (K) |) PROFIT SHARING PLAN | | | | plan number | 004 |
| | | | | | | 4.0 | (PN) • | 001 |
| | | | | | | 10 | Effective date of 01/01/ | • |
| 2a | Plan sr | onsor's name and add | dress; include room or suite number (er | mnlover if for a single- | employer plan) | 2h | Employer Identif | |
| | | OLESALERS, INC. | areas, include room or suite number (er | inployer, il for a sirigic | employer plan | 20 | (EIN) 13-40 | |
| | | | | | | 2c | Sponsor's telep | hone number |
| 260 \ | WEST 3 | 6TH STREET | | | | | 212-629 | |
| NEW | / YORK, | , NY 10018 | | | | 2d | Business code (| see instructions) |
| | | | | | | | 42494 | .0 |
| 3a | Plan ad | dministrator's name an | id address XSame as Plan Sponsor N | ame Same as Plar | Sponsor Address | 3b | Administrator's I | ∃IN |
| | | | | | | 30 | A desiniate at a r'a | alanhana numbar |
| | | | | | | 30 | Administrators | elephone number |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 4 | | | e plan sponsor has changed since the la | ast return/report filed fo | or this plan, enter the | 4b | EIN | |
| 2 | | • | nber from the last return/report. | | | 4c | DN | |
| | | or's name | at the beginning of the plan year | | | + | PN | 6 |
| b | | | at the end of the plan year | | | 5a 5b | | |
| c | | | account balances as of the end of the p | | | ac | | 2 |
| | | | account balances as of the end of the p | • • | • | 5c | | 8 |
| 6a | | | during the plan year invested in eligible | | | | | X Yes No |
| b | | | the annual examination and report of a | | | | | Voc □ No |
| | | | ? (See instructions on waiver eligibility a ther line 6a or line 6b, the plan cannot | | | | | X Yes No |
| | | | | | | | | |
| | | | or incomplete filing of this return/rep ner penalties set forth in the instructions | | | | | abla a Cabadula |
| | | | nd signed by an enrolled actuary, as we | | | | | |
| beli | ef, it is t | rue, correct, and comp | olete. | | • | | ĺ | · · |
| eic | ·NI | Filed with authorized/v | valid electronic signature. | 09/18/2013 | YOUNG CHANG | | | |
| SIG | | | | | Enter name of individ | ابرما مند | rning on plan adn | niniatrator |
| | | Signature of plan ac | anninstrator | Date | Enter name of marvic | ıuai siç | Jiling as plan aun | IIIIStrator |
| SIG | | | | | | | | |
| | | Signature of employ | yer/plan sponsor ame, if applicable) and address; include | Date | Enter name of individ | | | r or plan sponsor number (optional) |
| FIE | parer s | name (including iim na | arrie, ii applicable) arid address, iricidde | e room or suite numbe | i (optional) | Fieb | arei s teleprione | number (optional) |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

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|----------|--|-------------|---------------------------------------|---------|---------------|----------|-----------------|-------|--|------|
| | t III Financial Information | | I | | | | | | | |
| | Plan Assets and Liabilities | | (a) Beginning of Yea | | - | | (b) End of | | | |
| | Total plan assets | 7a | 19129 | | - | | | 2385 | | |
| | Total plan liabilities | 7b _ | 40400 | 0 | + | | | | 0 | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 19129 | 92 | | | | 2385 | 74 | |
| | Income, Expenses, and Transfers for this Plan Year | | (a) Amount | | | | (b) Tot | al | | |
| а | Contributions received or receivable from: (1) Employers | 8a(1) | 3697 | '8 | | | | | | |
| | (2) Participants | 8a(2) | | 0 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | | |
| b | Other income (loss) | 8b | 1030 |)4 | | | | | | |
| | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | 4728 | 32 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | . 8d | | 0 | | | | 172 | <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u> | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 0 | | | | | | |
| g | Other expenses | 8g | | 0 | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | 0 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | 472 | 82 | |
| j | Transfers to (from) the plan (see instructions) | 8i | | 0 | | | | | | |
| Par | t IV Plan Characteristics | | 1 | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2H 2J | feature co | odes from the List of Plan Char | acteris | stic Co | odes in | the instruction | ns: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | les from the List of Plan Chara | cterist | ic Cod | des in t | he instruction | s: | | |
| Par | V Compliance Questions | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | | | |
| a | | tions withi | n the time period described in | I | 163 | 140 | A | mount | | |
| b | 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fide | uciary Cor | rection Program) | 10a | | X | | | | |
| D | on line 10a.) | , | • | 10b | | X | | | | |
| c | | | | 10c | X | | | | 0.5 | -000 |
| d | | | | 100 | | | | | 25 | 5000 |
| | or dishonesty? | | | 10d | | X | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all of | | | | | | | | | |
| | instructions.) | | | 10e | | X | | | | |
| f | Has the plan failed to provide any benefit when due under the pla | n? | | 10f | | X | | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount a | s of year | end.) | 10g | | Χ | | | | |
| h | | (See instru | uctions and 29 CFR | 10h | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | he require | d notice or one of the | 10i | | | | | | |
| Part | 1 1 3 11 | 1 0 | | 101 | | | | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirem | | | | | | | ☐ Ye | s X | No |
| 110 | 5500) and line 11a below) | | | | | | | | | .10 |
| | Enter the amount from Schedule SB line 39 | | | | | 11a | EDICAC | | | Nio |
| 12 | Is this a defined contribution plan subject to the minimum funding | • | | or se | ction | 302 Of | EKISA! | Ye | 5 <u>X</u> | No |
| a | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is being standard for a prior year. | ng amortiz | ed in this plan year, see instru | | , and e | _ | | | uling | |
| 14 | granting the waiveryou completed lines 3, 9, and 10 of Schedul | | | | | Day | Y | ear | | |
| | | • | · · · · · · · · · · · · · · · · · · · | | $\overline{}$ | 12b | | | | |
| <u> </u> | Enter the minimum required contribution for this plan year | | | | | 120 | | | | |

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|------|--|------------------|------------|---------------------|
| | Enter the amount contributed by the employer to the plan for this plan year | 12c | | |
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A |
| Part | VII Plan Terminations and Transfers of Assets | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | | Yes X No | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | . 13a | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | Yes X No |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | to | | |
| 1 | 3c(1) Name of plan(s): | 1 3c(2) E | IN(s) | 13c(3) PN(s) |
| Part | VIII Trust Information (optional) | | | |
| | Name of trust | 14b ⊤ | rust's EIN | |

(Rev. August 2012)

Application for Extension of Time To File Certain Employee Plan Returns

OMB No. 1545-0212

Department of the Treasury Internal Revenue Service

► For Privacy Act and Paperwork Reduction Act Notice, see instructions. ▶ Information about Form 5558 and its instructions is at www.irs.gov/form5558 File With IRS Only

| | ame of filer, plan administrator, or plan sponsor (see instructions) . S .Y. Wholesalers, Inc. | В | | | | | | | e instructions (EIN)(9 digits | |
|--------|---|----------|----------|------|---|-------|------------------------|-------------------------|----------------------------------|--------------------------|
| _ | lumber, street, and room or suite no. (If a P.O. box, see instructions) | 1 | | | | 6311 | | | (=)(5 | |
| | 60 West 36th Street | <u> </u> | | _ | | | | SSN) (| 9 digits XXX-X | X-XXXX) |
| - | city or town, state, and ZIP code | 1 | | 000 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | Court | y mambor (| 30.17 (| o digito 70 d t 7 | ,,,,,,, |
| | | 1 | | | | | | | | |
| | lew York NY 10018 | - | | Di | | | | Plai | n year endi | ng |
| | Plan name | | n | | an ibe | r | MM | | DD | YYYY |
| - | JS Wholesalers, Inc. 401 (k) Profit Sharing Plan | | 0 | | 0 | 1 | 12 | | 31 | 2012 |
| art | II Extension of Time To File Form 5500 Series, and/or Form 8955 | SSA | - | | | | ··· | | | |
| 1 | Check this box if you are requesting an extension of time on line 2 to file the in Part 1, C above. | ne firs | st F | orr | n 5 | 500 s | eries reti | ırn/re | port for the | plan listed |
| 2 | I request an extension of time until 10 / 15 / 2013 to file Form Note. A signature IS NOT required if you are requesting an extension to file Form | | | | | | struction | s). | | |
| 3 | I request an extension of time until 10 / 15 / 2013 to file Form Note. A signature IS NOT required if you are requesting an extension to file Form | | | | | | tructions |). | | |
| | The application is automatically approved to the date shown on line 2 and/o the normal due date of Form 5500 series, and/or Form 8955-SSA for which the and/or line 3 (above) is not later than the 15th day of the third month after the | is ex | tens | sio | n is | requ | a) the Fo ested, ar | rm 55 nd (b) | 558 is filed of the date or | on or before I line 2 |
| art | Extension of Time To File Form 5330 (see instructions) | | | | = | | | | | |
| 4 | I request an extension of time until / / to file Form You may be approved for up to a 6 month extension to file Form 5330, after the | | | al c | lue | date | of Form | 5330. | | |
| а | Enter the Code section(s) imposing the tax | | • | L | a | | | | | |
| b | Enter the payment amount attached | • | | • | • | | • • | • | b | |
| с 5 | For excise taxes under section 4980 or 4980F of the Code, enter the reversio State in detail why you need the extension: | n/am | end | dm | ent | date | • • | • | С | |
| | | | | | _ | | | | | |
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| Department of the Treasury Internal Revenue Service Center Ogden, Utah 84201-0045 | ess different to the ritem 1? |
|---|-------------------------------|
| Certified Maii Registered Insured Mail 4. Restricted Delivery | ii |