| | Form 5500-SF | | Return/Report of Small Employee | | | OMB Nos. 1210-0110 1210-0089 | | | |
|---|---|--|---|---|--|--|-----------------------------|--|--|
| | Internal Payona Santing | | | Benefit Plan | | | 2010 | | |
| Department of Labor I his form is required to be filed Retirement Income Security Ad | | | | d under sections 104 and 4065 of the Employee Act of 1974 (ERISA), and section 6058(a) of the Il Revenue Code (the Code). | | | This Form is Open to Public | | |
| | Pension Benefit Guaranty Corporation | | | , , , , , , , , , , , , , , , , , , , | 0 65 | | ection | | |
| P | art I Annual Report Id | entification Information | rdance with | h the instructions to the Form 550 | 0-5F. | | | | |
| | calendar plan year 2010 or fisca | | 10 | and ending 1 | 2/31/2 | 2010 | | | |
| Α | This return/report is for: | single-employer plan | multiple-e | employer plan (not multiemployer) | one-participant plan | | | | |
| | This return/report is for: | | | | | | | | |
| | | an amended return/report | short plar | year return/report (less than 12 mo | nths) | | | | |
| С | Check box if filing under: | | DFVC program | | | | | | |
| • | | special extension (enter descripti | | extension | | | | | |
| Pa | art II Basic Plan Inform | nation—enter all requested inform | | | | | | | |
| | Name of plan | • | | | 1b | Three-digit | | | |
| WILLIAM V CRAIG MD PC 401 K PROFIT SHARING PLAN TRUST | | | | | | plan number (PN) ▶ | 001 | | |
| | | | | | 10 | | | | |
| | | | | | 1c Effective date of plan 01/01/2004 | | | | |
| | Plan sponsor's name and addre | ess (employer, if for single-employe | r plan) | | 2b | Employer Identification Number (EIN) 16-1600924 | | | |
| | STATE ROUTE 21 | | | | 2c | 2c Plan sponsor's telephone nu 585-289-8888 | | | |
| | RTSVILLE, NY 14548 | | | | 2d | Business code (se 453220 | | | |
| 3a | Plan administrator's name and IAM V CRAIG MD PC | 3b | Administrator's EIN 16-1600924 | | | | | | |
| | | 3c | Administrator's telephone number 585-289-8888 | | | | | | |
| 4 | f the name and/or EIN of the pla | n sponsor has changed since the la | ast return/re | port filed for this plan, enter the | 4b | EIN | | | |
| | | r from the last return/report. Spons | | F F , F , | | | | | |
| | Total much an of mosticine starts | the bestinging of the plant was | | | | PN | | | |
| | | 0 0 1 1 | | | 5a | | 6 | | |
| b | | the end of the plan year | | | 5b | | / | | |
| С | • • | th account balances as of the end o | | · · | 5c | | 5 | | |
| 6a | Were all of the plan's assets d | uring the plan year invested in eligi | ble assets? | (See instructions.) | | | X Yes No | | |
| b | | | | ndent qualified public accountant (IQ | | | X Yes No | | |
| | , | • • | | ions.) SF and must instead use Form 55 | | | | | |
| Pa | rt III Financial Informa | | 0 | | | | | | |
| 7 | Plan Assets and Liabilities | | | (a) Beginning of Year | | (b) End of | Year | | |
| а | Total plan assets | plan assets | | 118013 | 3 | | 144396 | | |
| b | Total plan liabilities | | 7b | (|) | | 0 | | |
| С | Net plan assets (subtract line 7 | b from line 7a) | | 118013 | 3 | 144390 | | | |
| 8 | Income, Expenses, and Transf | | | (a) Amount | | (b) To | tal | | |
| а | Contributions received or recei | vable from: | 8a(1) | (| 0 | | | | |
| | | | | 13818 | 3 | | | | |
| | () | | | (|) | | | | |
| b | ., , | | | 12565 | 5 | | | | |
| С | Total income (add lines 8a(1), | 8a(2), 8a(3), and 8b) | 8c | | | | 26383 | | |
| d | | | 1 | | | | | | |
| | | ollovers and insurance premiums | | (| | | | | |
| • | to provide benefits) | | | | | | | | |
| e f | to provide benefits) Certain deemed and/or correct | ive distributions (see instructions) | 8e | (| | | | | |
| f | to provide benefits) Certain deemed and/or correct Administrative service provider | ive distributions (see instructions) s (salaries, fees, commissions) | <u>8e</u> <u>8f</u> | (|)) | | | | |
| f g | to provide benefits) Certain deemed and/or correct Administrative service provider Other expenses | ive distributions (see instructions) s (salaries, fees, commissions) | <u>8e</u> <u>8f</u> <u>8g</u> | (|)) | | 0 | | |
| f | to provide benefits) Certain deemed and/or correct Administrative service provider Other expenses Total expenses (add lines 8d, 8 | ive distributions (see instructions) s (salaries, fees, commissions) Be, 8f, and 8g) | <u>8e</u> <u>8f</u> <u>8g</u> <u>8h</u> | (|)) | | 0 26383 | | |
| f g | to provide benefits) Certain deemed and/or correct Administrative service provider Other expenses Total expenses (add lines 8d, 8 Net income (loss) (subtract line | ive distributions (see instructions) s (salaries, fees, commissions) | <u>8e</u> <u>8f</u> <u>8g</u> <u>8h</u> <u>8i</u> | (| | | | | |

For Paperwork Reduction Act Notice and OMB Control Numbers, see the instructions for Form 5500-SF.

Part IV Plan Characteristics

- **9a** If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2G 2J 2T 3D
- **b** If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

| Part | V | Compliance Questions | | | | | | | |
|-------|--|--|-----|-----|----------------|--------|----|--------|-------|
| 10 | Du | ring the plan year: | | Yes | No | | An | nount | |
| а | | Vas there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | Х | | | | |
| b | | ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported line 10a.) | 10b | | Х | | | | |
| С | W | as the plan covered by a fidelity bond? | 10c | Х | | | | | 20000 |
| d | | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | Х | | | | |
| e | ins | ere any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, urance service or other organization that provides some or all of the benefits under the plan? (See tructions.) | 10e | | X | | | | |
| f | На | s the plan failed to provide any benefit when due under the plan? | 10f | | Х | | | | |
| g | Dic | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | Х | | | | | 2025 |
| h | | nis is an individual account plan, was there a blackout period? (See instructions and 29 CFR 20.101-3.) | 10h | | Х | | | | |
| i | | 0h was answered "Yes," check the box if you either provided the required notice or one of the ceptions to providing the notice applied under 29 CFR 2520.101-3 | 10i | | | | | | |
| Part | VI | Pension Funding Compliance | | | | | | | |
| 11 | | his a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and com 00)) | | | | | | Yes | × No |
| 12 | | this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code | | | | | | Yes | × No |
| | (lf ' | Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| а | | waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct nting the waiver | | | | | | | 0 |
| lf y | /ou | completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | - | | T | | | |
| b | Ent | er the minimum required contribution for this plan year | | L | 12b | | | | |
| С | | | | | 12c | | | | |
| d | d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | | | _ |
| е | Wil | the minimum funding amount reported on line 12d be met by the funding deadline? | | | | Y | es | No | N/A |
| Part | VII | Plan Terminations and Transfers of Assets | | | | | | | |
| 13a | Ha | s a resolution to terminate the plan been adopted during the plan year or any prior year? | | | | | Γ | Yes | X No |
| | lf "` | Yes," enter the amount of any plan assets that reverted to the employer this year | | Г | 13a | | | | |
| b | | | | | | | | | |
| C | lf d | uring this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify th ich assets or liabilities were transferred. (See instructions.) | | | | | | | |
| 1 | 3c(1 | I) Name of plan(s): | | 13 | c (2) E | IN(s) | | 13c(3) | PN(s) |
| | | | | | | | | | |
| | | | | | | | | | |
| Court | | A papality for the late or incomplete filing of this return/report will be assessed unless reasonab | | | aatab | liahaa | | | |

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

| SIGN | Filed with authorized/valid electronic signature. | 09/23/2013 | WILLIAM V CRAIG MD PC |
|------|---|------------|--|
| HERE | Signature of plan administrator | Date | Enter name of individual signing as plan administrator |
| SIGN | | | |
| HERE | Signature of employer/plan sponsor | Date | Enter name of individual signing as employer or plan sponsor |

Page **2-**¹