Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

			Complete all entries in a	ccordance with the motiful	tions to the Form 550	U-3F.			
	art I		Identification Information						
Fo	r calenda	ar plan year 2012 or fis	scal plan year beginning 01/01	1/2012	and ending 1	12/31/2	2012		
Α	This ret	urn/report is for:	x a single-employer plan	a multiple-employer pl	an (not multiemployer)		a one-particip	oant plan	
В	This ret	urn/report is:	the first return/report	the final return/report					
			an amended return/report	a short plan year return	n/report (less than 12 mo	onths))		
С	Check b	oox if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
			special extension (enter desc	cription)					
Р	art II	Basic Plan Info	rmation—enter all requested in	nformation					
1a	Name	of plan				1b	Three-digit		
ANS	ELL, BU	FFINGTON & CO., CF	PA, P.C. 401(K) PLAN				plan number		
							(PN) •	001	
						1c	f plan /2003		
2a	Plan sp	oonsor's name and add	dress; include room or suite numb	per (employer, if for a single-	employer plan)	2b	Employer Identif		
ANS	ELL, BL	JFFINGTON & CO., CI	PA, P.C.				(EIN) 16-15	04521	
						2c	Sponsor's telep		
	S TRANS ΓΕ 200	SIT ROAD				2d	Business code (
WIL	LIAMSVI	ILLE, NY 14221-6017					54121		
3a	Plan ad	dministrator's name an	nd address XSame as Plan Spon	nsor Name Same as Plar	Sponsor Address	3b	Administrator's I	EIN	
						30	Administrator's t	elephone number	
						30	Administrators	elephone number	
4	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the 4b EIN								
9		EIN, and the plan nur or's name	mber from the last return/report.			4c	DNI		
	•		at the beginning of the plan year.			+	FIN	44	
b			at the end of the plan year			5a 5b		11	
~			account balances as of the end of			30		- 11	
			account balances as of the end of		•	5c		11	
6a			during the plan year invested in					X Yes No	
b			the annual examination and repo					Voc □ No	
			? (See instructions on waiver eligil ther line 6a or line 6b, the plan					X Yes No	
_									
			or incomplete filing of this retur						
			ner penalties set forth in the instrund signed by an enrolled actuary,						
		rue, correct, and comp		as well as the electronic ver	sion of this return/report	i, and	to the best of my	Knowicage and	
		<u> </u>			T				
SIC		Filed with authorized/	valid electronic signature.	09/30/2013	CRAIG ANSELL				
	RF I			Doto	I Enter name of individ	ual signing as plan administrator			
	RE	Signature of plan a	dministrator	Date	Linter Harrie of Individ	dai oig	,g p	ninistrator	
SIC	SN .	Signature of plan a	dministrator	Date	Litter name of individ	uui oig	,g ac p.a	ninistrator	
HE	SN RE	Signature of emplo	yer/plan sponsor	Date	Enter name of individe	ual siç	ning as employe	r or plan sponsor	
HE	SN RE	Signature of emplo		Date	Enter name of individe	ual siç	ning as employe		
HE	SN RE	Signature of emplo	yer/plan sponsor	Date	Enter name of individe	ual siç	ning as employe	r or plan sponsor	
HE	SN RE	Signature of emplo	yer/plan sponsor	Date	Enter name of individe	ual siç	ning as employe	r or plan sponsor	
HE	SN RE	Signature of emplo	yer/plan sponsor	Date	Enter name of individe	ual siç	ning as employe	r or plan sponsor	

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Pai	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End of Y	ear	
a	Total plan assets	7a	115393					427291	
	Total plan liabilities	7b		0				0	
	Net plan assets (subtract line 7b from line 7a)	7c	115393				1	127291	
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total		
	Contributions received or receivable from:		(a) runount				(5) 10141		
	(1) Employers	8a(1)	1347	'9					
	(2) Participants	8a(2)	6303	39					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	19692	20					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						273438	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	8	15					
q	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						85	
	Net income (loss) (subtract line 8h from line 8c)	8i						273353	
	Transfers to (from) the plan (see instructions)	8j		0					
Par	t IV Plan Characteristics	0)		0					
	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruction	S:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:		
Dar	Part V Compliance Questions								
10	•				Yes	No	A		
a	During the plan year: Was there a failure to transmit to the plan any participant contribu	tione withi	n the time period described in	1	162	NO	Am	ount	
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest	ıciary Corı	rection Program)	10a		X			
	on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	Χ				50000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X			
е		ner person	s by an insurance carrier,						
	instructions.)			10e		X			
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	and)	10g	Χ				40005
h	If this is an individual account plan, was there a blackout period?	(See instru	uctions and 29 CFR	Ū		X			43985
- i	If 10h was answered "Yes," check the box if you either provided the	ne required	d notice or one of the	10h					
Dowl	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i					
Part	Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem	ents? (If "	Vas " see instructions and com	nlete	Schar	عاداله SF	R (Form		
	5500) and line 11a below)	·······		·	<u></u>			Yes	X No
11a						11a	<u> </u>	1 ,,	
12	Is this a defined contribution plan subject to the minimum funding			or se	ction :	302 of	ERISA?	Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			_4:_		4	a data of the C		
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon		and e	enter th Day	ne date of the le		
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			- 1	40L			
b	b Enter the minimum required contribution for this plan year								

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	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to		
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)
Part	VIII Trust Information (optional)			
	Name of trust	14b ⊤	rust's EIN	

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Part Annual Report Identification Information		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			, , , ,			
For calendar plan year 2012 or fiscal plan year beginning 01/01/2012	**	and ending	12/31/	2012	·			
A This return/report is for:	multiple-employer pl	an (not multiemployer)	employer) a one-participant plan					
B This return/report is:	e final return/report							
an amended return/report	short plan year retur	n/report (less than 12 m	onths))				
C Check box if filing under: X Form 5558	utomatic extension			DFVC progra	ım			
special extension (enter description)								
Part II Basic Plan Information—enter all requested information	on							
1a Name of plan			1b	Three-digit				
Ansell, Buffington & Co., CPA, P.C. 401(k) Plan		plan number (PN) ▶	001					
			1c	Effective date of	f plan			
				01/01/2	•			
2a Plan sponsor's name and address; include room or suite number (empansell, Buffington & Co., CPA, P.C.	oloyer, if for a single-	employer plan)	2b	2b Employer Identification Number (EIN) 16-1504521				
			2c	2c Sponsor's telephone number (716) 204-1124				
7606 Transit Road Suite 200 Williamsville, NY 14221-6017			2d	Business code (541211				
3a Plan administrator's name and address X Same as Plan Sponsor Nar	ne Same as Plar	Sponsor Address	3b	3b Administrator's EIN				
			3с	Administrator's t	elephone number			
4 If the name and/or EIN of the plan sponsor has changed since the las name, EIN, and the plan number from the last return/report.	t return/report filed fo	or this plan, enter the	4b	EIN				
a Sponsor's name			4c	PN				
5a Total number of participants at the beginning of the plan year			5a		11			
b Total number of participants at the end of the plan year			5b		11			
Number of participants with account balances as of the end of the pla complete this item)			5c		11			
6a Were all of the plan's assets during the plan year invested in eligible	assets? (See instruc	tions.)			X Yes No			
b Are you claiming a waiver of the annual examination and report of an					X Yes ∏ No			
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and If you answered "No" to either line 6a or line 6b, the plan cannot	•				M les [] No			
Caution: A penalty for the late or incomplete filing of this return/report								
Under penalties of perjury and other penalties set forth in the instructions, SB or Schedule MB completed and signed by an enrolled actuary, as well belief, it is true, correct, and camplete.								
SIGN Camp all	9/12/13	Craig Ansell						
HERE Signature of plan administrator	Date	Enter name of individ	lual sig	ıning as plan adn	ninistrator			
SIGN								
HERE Signature of employer/plan sponsor	Date	Enter name of individ	lual sig	ning as employe	r or plan sponsor			
Preparer's name (including firm name, if applicable) and address; include	room or suite numbe				number (optional)			
			l .					

Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End of Year		
а	Total plan assets	7a	115393				1427291		
	Total plan liabilities	7b		0			0		
С	Net plan assets (subtract line 7b from line 7a)	7c	115393	8			1427291		
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total		
a	Contributions received or receivable from: (1) Employers	8a(1)	1347	9					
	(2) Participants	8a(2)	6303	9					
	(3) Others (including rollovers)	8a(3)		0					
<u>b</u>	Other income (loss)	8b	19692	0					
<u>c</u>	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					273438		
d 	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	8	5					
<u>g</u>	Other expenses	8g	1	0					
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					85		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				an eskennikumskes	273353		
<u>j</u>	Transfers to (from) the plan (see instructions)	8j		0					
9a b	Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:								
L/Assessed	art V Compliance Questions								
10	During the plan year:				Yes	No	Amount		
a	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure)		Х						
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	•	•	10b		Х			
C	Was the plan covered by a fidelity bond?			10c	х		50000		
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	fits under the plan? (See	10e		X			
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Х			
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	nd.)	10g	Х		43985		
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		Х			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
Par	Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								
_11a	Enter the amount from Schedule SB line 39					11a			
12	Is this a defined contribution plan subject to the minimum funding	requireme	nts of section 412 of the Code	or se	ction :	302 of	ERISA? Yes X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,	as applica	able.)						
a	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.				, and e	enter th Day	ne date of the letter ruling Year		
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.						
b	Enter the minimum required contribution for this plan year					12b			

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		•					
С	Enter the amount contributed by the employer to the plan	for this plan year			12c		
d	Subtract the amount in line 12c from the amount in line 12 negative amount)	•	•		12d		
е	Will the minimum funding amount reported on line 12d be	met by the funding deadline?		, 		Yes	No N/A
Part	VII Plan Terminations and Transfers of As	ssets	,				
13a	Has a resolution to terminate the plan been adopted in any pla	an year?				Yes X No)
	If "Yes," enter the amount of any plan assets that reverted	to the employer this year			13a		
b	Were all the plan assets distributed to participants or bene of the PBGC?			the o	control		Yes X No
С	If during this plan year, any assets or liabilities were trans which assets or liabilities were transferred. (See instruction		er plan(s), identify the pla	ın(s) t	0		
1	3c(1) Name of plan(s):			13	3c(2) E	EIN(s)	13c(3) PN(s)
Part	VIII Trust Information (optional)		. .				<u> </u>
14a	Name of trust				14b ⁻	rust's EIN	