Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

		7 Complete an entries in a	accordance with the instru	ictions to the Form 55	00-Oi .			
Part I		Identification Informatio		and an Pari	40/04/	0040		
For calend	lar plan year 2012 or fi		01/2012	and ending	12/31/			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer	olan (not multiemployer)		a one-particip	oant plan	
B This re	turn/report is:	the first return/report	the final return/report	t				
		an amended return/report	a short plan year retu	rn/report (less than 12 r	nonths)		
C Check	box if filing under:	X Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter des	scription)			_		
Part II	Basic Plan Info	ormation—enter all requested i	information					
1a Name	•				1b	Three-digit		
CAPITAL FO	OOT CARE 401(K) P/S	3 PLAN				plan number	004	
					4 -	(PN) •	001	
					10	Effective date of 01/01/	•	
2a Plan s	sponsor's name and ac	Idress; include room or suite num	her (employer if for a single	e-employer plan)	2h			
CAPITAL F		arcos, morado room or suite num	bor (employer, ii for a single	omployer plan	20	2b Employer Identification Number (EIN) 75-3218422		
					2c	2c Sponsor's telephone number		
3761 CARN	MEN ROAD					518-688-1774		
SCHENECT	TADY, NY 12303				2d	Business code (see instructions)	
						62111		
3a Plan a	administrator's name a	nd address Same as Plan Spo	nsor Name Same as Pla	n Sponsor Address	3b	Administrator's I		
APITAL FO	OT CARE		RMEN ROAD ECTADY, NY 12303		30	75-3218422 3c Administrator's telephone nu		
		OCHENE	-OTAD1, NT 12505		30	518-688		
		e plan sponsor has changed sinc	e the last return/report filed	for this plan, enter the	4b	EIN		
		mber from the last return/report.			40	DN		
	sor's name	at the hearing of the plan year	<u> </u>		_	PN		
		at the beginning of the plan year			5a	3		
		at the end of the plan year			· <u>5b</u>		3	
		account balances as of the end of		•	. 5c		3	
6a Were	e all of the plan's asset	s during the plan year invested in	eligible assets? (See instru	ctions.)			X Yes No	
		f the annual examination and rep						
	- 00 CED 0500 404 40				×1 / \/			
		? (See instructions on waiver elig					X Yes No	
lf you		? (See instructions on waiver eligither line 6a or line 6b, the plan					X Yes No	
Caution: A	u answered "No" to e A penalty for the late	ither line 6a or line 6b, the plan or incomplete filing of this retu	n cannot use Form 5500-SF urn/report will be assessed	F and must instead use	Form	stablished.		
Caution: A	u answered "No" to e A penalty for the late nalties of perjury and ot	ither line 6a or line 6b, the plan or incomplete filing of this retu ther penalties set forth in the instr	n cannot use Form 5500-Si urn/report will be assessed ructions, I declare that I have	F and must instead use I unless reasonable ca	e Formuse is	n 5500. established. ncluding, if applic	able, a Schedule	
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Form 5500-SF 2012 Page **2**

Day	t III Financial Information							
Par	•		(a) Beginning of Ves				(h) End of Voca	
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End of Year	
	Total plan assets		16000				202857	
	b Total plan liabilities		16005	0			202857	
	Net plan assets (subtract line 7b from line 7a)			160053				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
	(1) Employers	8a(1)	859	7				
	(2) Participants	8a(2)	1399	97				
	(3) Others (including rollovers)	8a(3)		0				
<u>b</u>	Other income (loss)	8b	2027	20270				
C	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					42864	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0				
е (Certain deemed and/or corrective distributions (see instructions)	8e		0				
<u>f</u> .	Administrative service providers (salaries, fees, commissions)	8f	6	0				
g	Other expenses	8g		0				
<u>h</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					60	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i					42804	
j	Transfers to (from) the plan (see instructions)	8j						
Par	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 3B 3D	feature co	des from the List of Plan Char	acteris	tic Cod	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	les from the List of Plan Chara	cteristic	c Code	es in tl	ne instructions:	
Part	V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а				10a		X		
b				10b		X		
С	Was the plan covered by a fidelity bond?			10c		Χ		
d	·	fidelity bo	nd, that was caused by fraud	10d		X		
е	Were any fees or commissions paid to any brokers, agents, or oth	er person	s by an insurance carrier,	100				
	insurance service or other organization that provides some or all cinstructions.)			10e		X		
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Χ		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		Χ		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X		
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i				
Part	<u> </u>				<u> </u>			
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	Enter the amount from Schedule SB line 39					11a	, <u>, , , , , , , , , , , , , , , , , , </u>	
12						No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b Enter the minimum required contribution for this plan year						12b		

	Form 5500-SF 2012 Page 3 - 1						
	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				