Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

		• •	► Complete all entries in a	iccordance with the instru	ictions to the Form 550)0-SF.				
	art I		Identification Information	1						
For	calenda	ar plan year 2012 or fis	scal plan year beginning 01/0	1/2012	and ending	12/31/2	2012			
Α	This retu	urn/report is for:	a single-employer plan	a multiple-employer p	olan (not multiemployer)		a one-participant plan			
В	This retu	urn/report is:	the first return/report	the final return/report	t					
			an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)	_			
С	Check b	oox if filing under:	X Form 5558	automatic extension			DFVC program			
			special extension (enter des	cription)						
Pa	art II	Basic Plan Info	rmation—enter all requested in	nformation						
1a	Name of	of plan				1b	Three-digit			
MISS	MISSISSIPPI ORTHOPAEDIC INSTITUTE, LLC 401K PROFIT SHARING PLAN						plan number			
						4.	(PN) • 001			
						10	Effective date of plan 01/01/2005			
2a	Plan sp	onsor's name and ad	dress; include room or suite num	ber (employer, if for a single	e-employer plan)	2b Employer Identification Numbe				
MISS	SISSIPP	I ORTHOPAEDIC INS	STITUTE, LLC		, , , ,	(EIN) 20-3701770				
						2c	Sponsor's telephone numbe	r		
1519 GUIL	0 COM	MUNITY ROAD, SUIT MS 39503-3484	E 120				228-328-2400			
GUL	FFORT,	W3 39303-3404				2d	Business code (see instruction 621111	ons)		
3a	Plan ad	dministrator's name ar	nd address XSame as Plan Spor	nsor Name Same as Pla	an Sponsor Address	3b	Administrator's EIN			
				ш						
						3c	Administrator's telephone nu	mber		
4	If the n	ama and/or EIN of the	e plan sponsor has changed since	the last return/report filed	for this plan, optor the	41				
4			mber from the last return/report.	e the last return/report filed	ior triis plan, enter the	4b EIN				
а		or's name	· 			4c PN				
5a	Total number of participants at the beginning of the plan year					5a	5a 1			
b	Total n	number of participants	at the end of the plan year			5b	16			
С			account balances as of the end o		•	5c		16		
6a		· · · · · · · · · · · · · · · · · · ·	s during the plan year invested in				X Yes	No		
b		•	the annual examination and repo	•	•			_		
	under	29 CFR 2520.104-463	? (See instructions on waiver elig	bility and conditions.)				No		
	If you	answered "No" to ei	ther line 6a or line 6b, the plan	cannot use Form 5500-SF	and must instead use	Form	5500.			
Cau	ution: A	penalty for the late	or incomplete filing of this retu	rn/report will be assessed	l unless reasonable ca	use is	established.			
			her penalties set forth in the instr							
		dule MB completed ar rue, correct, and comp	nd signed by an enrolled actuary,	as well as the electronic ve	ersion of this return/repor	t, and t	to the best of my knowledge a	and		
5011	01, 10 0	rao, corroot, and comp		<u> </u>	<u></u>					
SIG		Filed with authorized/	valid electronic signature.	10/02/2013	PAULA SMITH					
HEI	RE	Signature of plan a	dministrator	Date	Enter name of individual signing as plan administrator					
SIG										
HEI	RE	Signature of employer/plan sponsor Date Enter name of		Enter name of individ	lual sig	ning as employer or plan spo	nsor			
Preparer's		er's name (including firm name, if applicable) and address; include room or suite number (optional)			Prep	Preparer's telephone number (optional)				
ı										

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	t III Financial Information		()5				#N = 1 4 N			
	Plan Assets and Liabilities	_		(a) Beginning of Year			(b) End of Year			
	Total plan liabilities	7a	48504	485649			565131			
	Total plan liabilities	7b 7c	49564	0			0			
	let plan assets (subtract line 7b from line 7a)			485649		565131				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:	me, Expenses, and Transfers for this Plan Year (a) Amount					(b) Total			
а	(1) Employers	8a(1)								
	(2) Participants	8a(2)	2452	20						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	. 8b	6680	66807						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				91327				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	760	7604						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	424	4241						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					11845			
i	Net income (loss) (subtract line 8h from line 8c)	8i					79482			
j	Transfers to (from) the plan (see instructions)	8i								
Par	t IV Plan Characteristics		•							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 3B	feature co	odes from the List of Plan Char	acteris	stic Co	des in	the instructions:			
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristi	ic Cod	les in t	he instructions:			
Part	V Compliance Questions									
10	During the plan year:				Yes	No	Amarint			
a	Was there a failure to transmit to the plan any participant contribu			10a	100	X	Amount			
b	Were there any nonexempt transactions with any party-in-interest	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				X				
				10b	Χ					
				10c			30000			
d	or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	efits under the plan? (See	10e		X				
f	Has the plan failed to provide any benefit when due under the pla					X				
	· · · · · · · · · · · · · · · · · · ·			10f		Χ				
g h		(See instru	uctions and 29 CFR	10g		X				
i	If 10h was answered "Yes," check the box if you either provided the	he require	d notice or one of the	10h						
	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
11	Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
	5500) and line 11a below)									
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If	you completed line 12a, complete lines 3, 9, and 10 of Schedul					<i>2</i> ay				
b Enter the minimum required contribution for this plan year										
	= and minimum required dentitionation for tills plan year						ļ			

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	13c(2) EIN(s)		13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				