Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

Dart		<u> </u>	accordance with the instri			_			
Part I Annual Report Identification Information									
For cale	endar plan year 2012 or f		01/2012	<u> </u>	12/31/	2012			
A This	return/report is for:	a single-employer plan	a multiple-employer	plan (not multiemployer)		a one-participant plan			
B This	return/report is:	the first return/report	the final return/repor	t					
		an amended return/report	a short plan year retu	ırn/report (less than 12 n	nonths)			
C Che	ck box if filing under:	X Form 5558	automatic extension			DFVC progra	ım		
	•	special extension (enter des	scription)			_			
Part	II Basic Plan Info	ormation—enter all requested	information						
1a Na	me of plan				1b	Three-digit			
RANDAL	L K. ROGER & ASSOCIA	ATES, PA PROFIT SHARING PL	_AN			plan number			
					_	(PN) •	002		
					1C	Effective date of	•		
2a Dla	n enoneor's name and a	ddress; include room or suite num	mher (employer if for a single	a-employer plan)	2h	01/01/2005			
RANDAL	L K. ROGER & ASSOCI	ATES, PA	liber (employer, ir for a single	e-employer plan)	20	2b Employer Identification Number (EIN) 36-4515519			
					2c	Sponsor's telep	hone number		
621 NW	53RD ST STE 300					561-988			
	ATON, FL 33487-8240				2d	Business code (see instructions)		
						54111	0		
3a Pla	n administrator's name a	ind address Same as Plan Spo	onsor Name Same as Pla	an Sponsor Address	3b	Administrator's I			
ANDALL	K. ROGER & ASSOCIA	ΓES, PA 621 NW	/ 53RD ST STE 300		30		15519		
		BUCAR	RATON, FL 33487-8240		30	561-988	telephone number 3-5598		
4 If t	he name and/or EIN of th	e plan sponsor has changed sinc	ce the last return/report filed	for this plan, enter the	4b EIN				
name, EIN, and the plan number from the last return/report.									
	onsor's name					4c PN			
_		s at the beginning of the plan yea			5a	35			
	• •	s at the end of the plan year			5b		34		
		account balances as of the end		•	. 5c		34		
6a w	ere all of the plan's asset	ts during the plan year invested ir	n eligible assets? (See instru	uctions.)			X Yes No		
6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						X Yes No			
					QPA)				
un	der 29 CFR 2520.104-46	6? (See instructions on waiver elig	gibility and conditions.)		QPA)		X Yes No		
un If y	der 29 CFR 2520.104-46 you answered "No" to e	6? (See instructions on waiver elignist 6: Seither line 6a or line 6b, the plan	gibility and conditions.)n cannot use Form 5500-S	F and must instead use	QPA) • Form	5500.			
un If y Caution	der 29 CFR 2520.104-46 you answered "No" to e n: A penalty for the late	6? (See instructions on waiver elignither line 6a or line 6b, the plar or incomplete filing of this return.	gibility and conditions.)n cannot use Form 5500-S urn/report will be assessed	F and must instead use	QPA) Formuse is	5500. established.	X Yes No		
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Do	t III Financial Information		<u> </u>				
	t III Financial Information Plan Assets and Liabilities		(a) Beginning of Ver				(h) End of Voor
		7-	(a) Beginning of Yea				(b) End of Year
	Total plan assets Total plan liabilities	7a	117400		-		1307697 0
	•	7b 7c	117406	0			
	Net plan assets (subtract line 7b from line 7a)		117486	4866			1307697
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total
а	(1) Employers	8a(1)	12114	4			
	(2) Participants	8a(2)		0			
	(3) Others (including rollovers)	8a(3)		0			
b	Other income (loss)	. 8b	1373	37			
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					134881
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	182	1825			
е	Certain deemed and/or corrective distributions (see instructions)	8e		0			
f	Administrative service providers (salaries, fees, commissions)	8f	22	25			
g	Other expenses	8g		0			
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					2050
	Net income (loss) (subtract line 8h from line 8c)	8i					132831
	Transfers to (from) the plan (see instructions)	8i		0			
Par	t IV Plan Characteristics	, o,					
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2G 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:
Dawl	V Compliance Questions						
Part	<u> </u>				V	NI -	<u> </u>
10 a	During the plan year:	tiono withi	n the time period described in		Yes	No	Amount
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X	
b	on line 10a.)	,	•	10b		X	
C	Was the plan covered by a fidelity bond?			10c	X		100000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	· ·	10d		X	
е				10e		X	
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		Χ	
g						X	
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X	
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10ii			
Dort	1	1-0		101			
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes No							
11a	5500) and line 11a below)						
12							
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)						
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.							
b	Enter the minimum required contribution for this plan year					12b	

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	. Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				