Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [ ] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
LJA ENGINEERING, INC. 2006 ESOP #2

1b Three-digit plan number (PN) ▶ 003

1c Effective date of plan 01/01/2006

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

LJA ENGINEERING, INC.

2929 BRIARPARK DRIVE, SUITE 600
HOUSTON, TX 77042

2b Employer Identification Number (EIN)
76-0540328

2c Sponsor's telephone number
713-953-5200

2d Business code (see instructions)
541330

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/09/2013	CALVIN LADNER
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/09/2013	CALVIN LADNER
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a	Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address	**3b**	Administrator's EIN
		3c	Administrator's telephone number
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b**	EIN
a	Sponsor's name	**4c**	PN

5	Total number of participants at the beginning of the plan year	**5**	320
6	Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a	Active participants	**6a**	201
b	Retired or separated participants receiving benefits	**6b**	50
c	Other retired or separated participants entitled to future benefits	**6c**	68
d	Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	319
e	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	1
f	Total. Add lines **6d** and **6e**	**6f**	320
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	275
h	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	15
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 3I

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

- **(1)** [ ] Insurance
- **(2)** [ ] Code section 412(e)(3) insurance contracts
- **(3)** [X] Trust
- **(4)** [ ] General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

- **(1)** [X] **R** (Retirement Plan Information)
- **(2)** [ ] **MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
- **(3)** [ ] **SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

- **(1)** [X] **H** (Financial Information)
- **(2)** [ ] **I** (Financial Information – Small Plan)
- **(3)** [ ] ___ **A** (Insurance Information)
- **(4)** [X] **C** (Service Provider Information)
- **(5)** [ ] **D** (DFE/Participating Plan Information)
- **(6)** [ ] **G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2012** **This Form is Open to Public Inspection.**

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
LJA ENGINEERING, INC. 2006 ESOP #2

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
LJA ENGINEERING, INC.

D Employer Identification Number (EIN)
76-0540328

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

CHARLES SCHWAB & CO., INC.

94-1737782

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

CHARLES SCHWAB INVESTMENT MGMT INC.

94-3106735

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

WJ INTERESTS LLC

76-0535867

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
27	NONE	27716	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB & CO., INC.

94-1737782

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 59	NONE	890	Yes [X] No [ ]	Yes [ ] No [X]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

CHARLES SCHWAB TRUST COMPANY

42-1558009

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
19 25 59 62	NONE	0	Yes [X] No [ ]	Yes [X] No [ ]	4364	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

MILLIMAN, INC.

91-0675641

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
15	NA	22293	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

WHITLEY PENN, LLP

75-2393478

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
10	NA	19651	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

(a) Enter name and EIN or address (see instructions)

GARY A. NAGLER & ASSOCIATES, PLLC

76-0622745

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
29	NA	7324	Yes [ ] No [X]	Yes [ ] No [ ]		Yes [ ] No [ ]

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
AQR 13-3987414	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
ARTISAN 39-1811840	RATE OF 0.30% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
BROWN CAPITAL MANAGEMENT 52-1304372	RATE OF 0.30% OF AVERAGE DAILY BALANCE OF ASSET(S)

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
DOUBLELINE 30-0596331	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON VANCE 04-2718215	RATE OF 0.15% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FIRST EAGLE 13-3392291	RATE OF 0.30% OF AVERAGE DAILY BALANCE OF ASSET(S)

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
FPA 04-3118452	RANGE OF 0.06 - 0.30% OF AVERAGE DAILY BALANCE OF ASSETS

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
HARBOR 04-2679462	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
JENSEN 93-0978027	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
NATIXIS FUNDS 04-3200027	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OAKMARK 04-3276558	RATE OF 0.25% OF AVERAGE DAILY BALANCE OF ASSET(S)

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
CHARLES SCHWAB & CO., INC.	19 59	0

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
WINTERGREEN FUNDS 20-2940772	RATE OF 0.10% OF AVERAGE DAILY BALANCE OF ASSET(S)

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
LJA ENGINEERING, INC. 2006 ESOP #2

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
LJA ENGINEERING, INC.

D Employer Identification Number (EIN)
76-0540328

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	7589	11157
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	2040000	2040000
(2) Participant contributions	1b(2)		
(3) Other	1b(3)		
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	520891	92168
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		-2088596
(8) Participant loans	1c(8)		
(9) Value of interest in common/collective trusts	1c(9)		
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	4389061	6374741
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)	7872359	12307218
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	14829900	18736688
Liabilities			
g Benefit claims payable	1g	4822	
h Operating payables	1h	1995	
i Acquisition indebtedness	1i		
j Other liabilities	1j		304687
k Total liabilities (add all amounts in lines 1g through1j)	1k	6817	304687
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	14823083	18432001

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	2040000	
(B) Participants	2a(1)(B)		
(C) Others (including rollovers)	2a(1)(C)		
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		2040000
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)		
(E) Participant loans	2b(1)(E)		
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		0
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	202063	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		202063
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)	168405	
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		168405
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)	1835645	
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		1835645

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		112137
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		4358250
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	620079	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		620079
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		54959
i Administrative expenses: **(1)** Professional fees	2i(1)	74294	
(2) Contract administrator fees	2i(2)		
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		74294
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		749332
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		3608918
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: MCCONNELL AND JONES, LLP **(2)** EIN: 76-0488832

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		1500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
LJA ENGINEERING, INC. 2006 ESOP #2

B Three-digit plan number (PN) ▶ 003

C Plan sponsor's name as shown on line 2a of Form 5500
LJA ENGINEERING, INC.

D Employer Identification Number (EIN)
76-0540328

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 42-1558009

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a	The current year	**14a**	
b	The plan year immediately preceding the current plan year	**14b**	
c	The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a	The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b	The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a	Enter the number of employers who withdrew during the preceding plan year	**16a**	
b	If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

LJA Engineering, Inc. 2006 ESOP #2

Independent Auditor's Report and Financial Statements

December 31, 2012 and 2011

LJA Engineering, Inc. 2006 ESOP #2

Table of Contents
December 31, 2012 and 2011

Independent Auditor's Report 1

Financial Statements

Statements of Net Assets Available for Benefits 3

Statements of Changes in Net Assets Available for Benefits 4

Notes to Financial Statements 5

Supplementary Information

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) 14

Schedule H, Line 4j – Schedule of Reportable Transactions 15

McCONNELL & JONES LLP
CERTIFIED PUBLIC ACCOUNTANTS

Independent Auditor's Report

To the Participants and Plan Administrator of
the LJA Engineering, Inc. 2006 ESOP #2 Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of LJA Engineering, Inc. 2006 ESOP #2 Plan (the Plan), which comprise the Statement of Net Assets Available for Benefits as of December 31, 2012, and the related Statement of Changes in Net Assets Available for Benefits for the year ended December 31, 2012, and the related Notes to Financial Statements.

Plan Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America (US GAAS). Because of the matter described in the *Basis for Disclaimer of Opinion* paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's (DOL) Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 (ERISA), Plan management instructed us not to perform, and we did not perform, any auditing procedures with respect to the investment information summarized in Note 3, which was certified by Charles Schwab Bank, the custodian of the Plan (Custodian), except for comparing such information with the related information included in the financial statements. We have been informed by Plan management that the Custodian holds the Plan's investment assets and executes investment transactions. Plan management has obtained a certification from the Custodian as of December 31, 2012 and 2011 and for the years ended December 31, 2012 and 2011, that the information provided to Plan management by the Custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we have not been able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

3040 Post Oak Blvd., Suite 1600
Houston, TX 77056
Phone: 713.968.1600
Fax: 713.968.1601

WWW.MCCONNELLJONES.COM

Other Matter - Supplementary Information

The supplementary information listed in the table of contents as of and for the year ended December 31, 2012 is required by DOL Rules and Regulations for Reporting and Disclosure under ERISA and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the *Basis for Disclaimer of Opinion* paragraph, we do not express an opinion on this supplementary information.

Other Matter – 2011 Financial Statements

The financial statements as of and for the year ended December 31, 2011, were audited by predecessor auditors whose report dated October 18, 2012, also did not express an opinion on those statements for the reasons described in the *Basis for Disclaimer of Opinion* paragraph.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplementary information, other than that derived from the information certified by the Custodian, has been audited by us in accordance with US GAAS and, in our opinion, is presented in compliance with DOL Rules and Regulations for Reporting and Disclosure under ERISA.

McConnell & Jones LLP

Houston, Texas
October 7, 2013

LJA Engineering, Inc. 2006 ESOP #2
Statements of Net Assets Available for Benefits
December 31, 2012 and 2011

	2012	2011
Assets		
Investments, at fair value:		
Employer Stock:		
Allocated	$ 9,853,276	$ 7,872,359
Unallocated	2,453,942	-
Mutual funds – Allocated	6,374,741	4,389,061
Interest-bearing Cash – Allocated	92,168	520,891
Total investments, at fair value	18,774,127	12,782,311
Receivables		
Employer contributions receivables - Allocated	2,040,000	2,040,000
Other receivables - Allocated	2,903	-
Total receivables	2,042,903	2,040,000
Cash – Allocated	11,157	7,590
Total assets	20,828,187	14,829,901
Liabilities		
Accounts payable	-	6,817
Due to LJA Engineering, Inc. ESOP #1 Plan for securities purchased – Allocated	307,590	-
Notes payable - Unallocated	2,088,596	-
Total liabilities	2,396,186	6,817
Net Assets Available for Benefits:		
Allocated	$ 18,066,655	$ 14,823,084
Unallocated	$ 365,346	-
	$ 18,432,001	$ 14,823,084

LJA Engineering, Inc. 2006 ESOP #2
Statements of Changes in Net Assets Available for Benefits
Years Ended December 31, 2012 and 2011

	2012	2011
Investment Income (Loss)		
Net appreciation (depreciation) in fair value of investments:		
Allocated	$ 1,695,882	$ (278,032)
Unallocated	420,305	-
Total net appreciation (depreciation) in fair value of investments	2,116,187	(278,032)
Interest and dividends – Allocated	202,063	109,572
Net investment income (loss)	2,318,250	(168,460)
Contributions		
Employer – Allocated	2,040,000	2,040,000
Other Income - Allocated	-	1,669
Total additions	4,358,250	1,873,209
Deductions		
Benefits paid directly to participants – Allocated	620,079	237,442
Interest expense - Unallocated	54,959	-
Administrative expenses – Allocated	74,294	66,812
Total deductions	749,332	304,254
Net Increase:		
Allocated	3,243,572	1,568,955
Unallocated	365,346	-
	3,608,918	1,568,955
Net Assets Available for Benefits, Beginning of Year	14,823,083	13,254,129
Net Assets Available for Benefits, End of Year:		
Allocated	$ 18,066,655	$ 14,823,084
Unallocated	365,346	-
	$ 18,432,001	$ 14,823,084

Note 1: Description of the Plan

The following description of the LJA Engineering, Inc. 2006 ESOP #2 (the Plan) provides only general information. Effective January 1, 2012, the Plan name was changed from LJA Engineering, and Surveying, Inc. 2006 Profit Sharing Plan. Participants should refer to the *Plan Document* for a more complete description of the Plan's provisions, which is available from Plan management.

General

The Plan is a defined contribution plan covering all non-union employees of LJA Engineering, Inc. (the Company or Employer) who have at least six months of service and are age eighteen or older. Entry into the Plan occurs on the first of the month following the six months of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA). As of January 1, 2012, the Plan was amended and operates, in relevant part, as a leveraged employee stock ownership plan (ESOP), and is designed to comply with Section 4975(e)(7) and the regulations there under of the Internal Revenue Code of 1986, as amended (IRC) and is subject to the applicable provisions of ERISA.

The Plan purchased Employer stock using the proceeds of a borrowing from the Company (see Note 6) and holds the common stock in a trust established under the Plan. As the Plan makes debt payments, an appropriate percentage of stock will be allocated to eligible employees' accounts in accordance with applicable regulations under the IRC.

The borrowing is collateralized by the unallocated shares of common stock and is guaranteed by the Company. The lender has no rights against shares of common stock once they are allocated to participants in accordance with the terms of the ESOP. Accordingly, the financial statements of the Plan as of December 31, 2012 and for the year then ended, present separately the assets and liabilities and changes therein pertaining to (a) the accounts of employees with vested rights in allocated common stock (allocated), and (b) common stock not yet allocated to employees (unallocated).

Plan Administration

The Charles Schwab Trust Company is the Plan Custodian (Custodian) and Milliman Inc. provides recordkeeping and other administrative services to the Plan. The Company's President serves as Plan Trustee (Trustee). Certain administrative functions are performed by officers or employees of the Company. No such officer or employee receives compensation from the Plan.

Employer Contributions

The Company may elect to make a discretionary non-elective contribution annually. This discretionary contribution will be allocated to participant accounts based on the ratio of compensation of eligible participants, as defined in the Plan document, to the total compensation of all eligible participants for the Plan year. Company contributions are made in a combination of cash and/or Employer stock. Participants must be employed as of the last day of the plan year to be eligible for an allocation of the employer discretionary contribution, unless terminated due to death or disability. Company contribution to the Plan was $2,040,000 and $2,040,000 for the years ended December 31, 2012 and 2011, respectively, and are recorded as receivables to the Plan.

Participant Accounts

The Plan is a defined contribution plan under which a separate individual account is established for each participant. Each participant's account is credited as of the last day of each Plan year with an allocation of shares of the Company's contribution, an allocation of shares of the Employer stock released by the Trustee from the unallocated account and forfeitures of terminated participants' non-vested accounts for the Plan year. Allocations are based on a participant's eligible compensation, relative to total eligible compensation. Plan earnings are allocated to each participant's account based on the ratio of the participant's account balance. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

If a participant's employment with the Company ends for any reason other than retirement, permanent disability or death, he or she will vest in the balances in his or her account based on years of continuous service. A participant is 100 percent vested after five years of credited service.

Payment of Benefits

Upon separation of service due to death, disability or retirement, a participant may elect to receive a cash disbursement in either a lump-sum amount or installments over a period of not more than life expectancy or five years. Upon termination of employment for reasons other than above, a participant is entitled to a distribution equal to the value of the participant's vested interest in his or her account, to be paid out over a period of five years. However, if a terminated participant's vested account balance is less than or equal to $10,000, the participant will receive a single lump-sum distribution of their account.

Forfeited Accounts

The non-vested balance in a participant's account is forfeited upon termination of service. Forfeitures will first restore previously forfeited amounts and may be used to pay administrative expenses of the Plan. The remaining forfeitures shall be allocated to participants eligible to share in the allocation of discretionary contribution based upon the relation of the participant's eligible compensation to the total eligible compensation for the Plan year. During the year ended December 31, 2012, the Company reallocated $76,525 to participants' accounts. At December 31, 2012 and 2011, forfeited non-vested accounts totaled $139,774 and $0, respectively.

Administrative Expenses

As provided by the Plan document, administrative expenses may be paid either by the Company or by the Plan. The Company did not pay any administrative expenses during 2012 or 2011.

Put Option

Under federal income tax regulations, the employer stock that is held by the Plan and its participants and is not readily tradable on an established market, or is subject to trading limitations includes a put option. The put option is a right to demand that the Company buy any shares of its stock distributed to participants for which there is no market. The put price is representative of the current value of the stock. The Company can pay for the purchase with interest over a period of five years. The purpose of the put option is to ensure that the Participant had the ability to ultimately obtain cash.

Voting Rights

The Trustee shall vote all Employer stock held by it as part of the Plan assets.

Diversification

A participant who is age fifty five and has completed ten years service as a participant in the Plan (Qualified Participant) has the right to the distribution in cash of twenty five percent of their account attributable to Employer stock. The distributions can be made for six years beginning with the first plan year in which the participant becomes a Qualified Participant. In addition, in the last year for which such an election can be made, the participant may elect to receive the distribution in cash of fifty percent of their account attributable to Employer stock.

Plan Termination

Although it has not expressed an intention to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan, subject to the provisions of ERISA. In the event of plan termination, participants will become 100% vested in their accounts. Upon termination of the Plan, the Trustee shall pay all liabilities and expenses of the trust fund and to sell shares of the financed common stock held in the loan suspense account to the extent it determines such sale to be necessary in order to repay the loan.

Note 2: Summary of Significant Accounting Policies

Basis of Accounting

The financial statements of the Plan are prepared on the accrual basis of accounting in conformity with accounting principles generally accepted in the United States of America (US GAAP).

Use of Estimates

The preparation of financial statements in conformity with US GAAP requires Plan management to make estimates and assumptions that affect the reported amounts of assets and liabilities and changes therein and disclosure of contingent assets and liabilities at the date of the financial statements. Actual results could differ from those estimates. Significant estimates included in the financial statements include the valuation of Employer stock as discussed in Note 3.

Investment Valuation and Income Recognition

The Plan's investments are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 4 for discussion of fair value measurements.

Purchases and sales of securities are recorded on a trade-date basis. Interest income is recorded on the accrual basis. Dividends are recorded on the ex-dividend date. Net appreciation includes the Plan’s gains and losses on investments bought and sold as well as held during the year.

Payment of Benefits

Benefits paid directly to participants are recorded when paid. Stock dividends and cash dividends on Employer stock held in a participant's account will be credited to their account when paid to the Plan.

Concentrations

The percentage of Plan investments held in Employer Stock was 66% and 62% as of December 31, 2012 and 2011, respectively.

Plan Management's Review of Subsequent Events

In preparing the accompanying financial statements, Plan management has reviewed all known events that have occurred after December 31, 2012, and through October 7, 2013, which is the date the financial statements were available to be issued, for inclusion in the financial statements and footnotes.

New Accounting Pronouncements

In May 2011, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2011-04, *Fair Value Measurements (Topic 820): Amendments to Achieve Common Fair Value Measurement and Disclosure Requirements in US GAAP and IFRSs*, (FASB ASU 2011-04). FASB ASU 2011-04 expands disclosures about fair value measurement and requires common fair value measurement and disclosure requirements in US GAAP and IFRSs. FASB ASU 2011-04 is effective for fiscal years and interim reporting periods beginning after December 15, 2011. The adoption of ASU 2011-04 did not have an impact on the financial statements.

In July 2013, FASB issued ASU 2013-09 *Deferral of the Effective Date of Certain Disclosures for Nonpublic Employee Benefit Plans*. The amendments in this update defer indefinitely the effective date of certain required disclosures in ASU 2011-04 (Topic 820) of quantitative information about the significant unobservable inputs used in Level 3 fair value measurements for investments held by a nonpublic employee benefit plan in its plan sponsor's own nonpublic entity equity securities, including equity securities of its plan sponsor's nonpublic affiliated entities. The deferral in this amendment is effective upon issuance for financial statements that have not been issued. The Plan has implemented the provisions of ASU 2013-09 for the year ended December 31, 2012 and quantitative disclosures with respect to employer securities have been omitted.

Note 3: Investments

The following table presents the Plan's investments. All investments are non-participant directed and are invested in accordance with the Plan's funding and investment policies. Investments that represent 5% or more of plan net assets in either year are separately identified.

	2012	2011
Employer Stock	$ 12,307,218	$ 7,872,359
PIMCO Total Return Fund D**	*656,324	866,345
Other investments less than 5%, individually**	5,810,585	4,043,610
	$ 18,774,127	$ 12,782,314

During the year ended December 31, 2012 and 2011, the Plan's investments (including gains and losses on investments bought, sold and held during the year) appreciated (depreciated) in value by $2,116,187 and $(278,032), as follows:

	2012	2011
Employer stock	$ 1,835,645	$ (127,937)
Mutual funds**	280,542	(150,095)
	$ 2,116,187	$ (278,032)

Interest and dividends realized on the Plan's investments for the year ended December 31, 2012 and 2011 were $202,063 and $109,572, respectively.**

*Disclosed for comparison
** Investment information certified by Custodian pursuant to CFR 2920.103-5(c). Certification also covers the information disclosed in the supplemental schedule.

Employer Stock

The fair value per share of the Employer stock is determined annually as of the Plan's year end by independent appraisal. Information about the net assets at December 31, 2012 relating to the nonparticipant-directed Employer stock as follows:

	2012	2011
Employer Stock:		
Number of shares	226,903	170,582
Cost	$ 12,110,602	$ 9,457,897
Fair value	$ 12,307,218	$ 7,872,359
Fair value per share	$ 54.24	$ 46.15

Note 4: Fair Value Measurements

FASB ASC 820, *Fair Value Measurements and Disclosures,* (FASB ASC 820) establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in an active market for identical assets or liabilities (Level 1 measurement) and the lowest priority to unobservable inputs (Level 3 measurement). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1
Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.

Level 2
Inputs to the valuation methodology include:

- quoted prices for similar assets and liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

Level 3
Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques maximize the use of relevant observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2012 and 2011.

Employer stock: The Employer stock held by the Plan is valued at fair value based upon an independent appraisal. The appraisal is based upon actual results of the Company as of year end.

Mutual funds: Valued at the daily closing price as reported by the fund. Mutual funds held by the Plan are open-end mutual funds that are registered with the Securities and Exchange Commission. These funds are required to publish their daily net asset value (NAV) and to transact at that price. The mutual funds held by the Plan are deemed to be actively traded.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different

methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's investments at fair value as of December 31, 2012 and 2011:

	2012	2011
Level 1:		
Mutual funds:		
Alternatives	$ 548,964	$ 235,827
Commodities	290,989	84,810
Intermediate term bond	1,536,699	866,345
Eclectic	-	340,483
Emerging markets fixed income	268,666	257,861
Foreign large blend	394,851	-
Foreign large value	101,650	-
Global	-	141,114
Government	194,234	
Large blend	76,972	-
Large growth	270,695	-
Large value	410,312	-
International	-	201,307
Moderate allocation	67,743	-
Money market	92,168	520,891
Multi-Sector Fixed Income	266,074	1,014,060
Multi-Alternative	832,126	-
Nontraditional bond	312,612	-
Short term fixed income	540,748	539,395
US large company	-	614,261
US small company	-	93,598
Small blend	46,725	-
Small growth	25,024	-
World stock	189,657	-
	6,466,909	4,909,952
Level 3:		
Employer stock	12,307,218	7,872,359
	12,307,218	7,872,359
Total investments at fair value	$ 18,774,127	$ 12,782,311

Changes in Fair Value of Level 3 Assets and Related Gains and Losses

The following table sets forth a summary of changes in the fair value of the Plan's Employer Stock for the year ended December 31, 2012.

Balance, beginning of year	$ 7,872,359	$ 8,000,296
Unrealized gains(losses) relating to instruments still held at the reporting date	1,782,154	(127,937)
Purchases	2,652,705	-
Balance, end of year	$ 12,307,218	$ 7,872,359

Gains and losses (realized and unrealized) included in changes in net assets for the period above are reported in net appreciation in fair value of investments in the Statement of Changes in Net Assets Available for Benefits.

Note 5: Loan Payable

In 2012, the Plan entered into a $2,294,070 term loan agreement with the Company. The proceeds of the loan were used to purchase Employer stock. Unallocated shares are collateral for the loan. Shares are released from collateral and allocated to participants as payments of principal and interest are made. The number of shares released in any year is the number of shares held as collateral, times the ratio of the current year payments divided by the total of this year's payments, plus all future years' principal and interest payments. This resulted in 4,466 shares being released and allocated for the plan year ended December 31, 2012.

The agreement provides for the loan to be repaid over 10 years and bears interest at 5 percent. The fair value of the note payable as of December 31, 2012 was approximately $2,088,596, determined by using interest rates currently available for issuance of debt with similar terms, maturity dates, and nonperformance risk. The scheduled amortization of the loan for the next 5 years and thereafter is as follows: 2013 - $189,415; 2014 - $198,886; 2015 - $208,830; 2016 - $219,271; 2017 - $230,235; and thereafter - $1,041,959.

Note 6: Party-In-Interest Transactions

During the plan year ending December 31, 2012, the Plan purchased 56,321 shares of stock for a total purchase cost of approximately $2,652,705. The Plan did not make any share purchases for the Plan year ending December 31, 2011. Additionally, the Plan did not sell any shares of stock during the plan years ending December 31, 2012 and 2011.

Certain plan investments are managed by Charles Schwab Bank, the Custodian of the Plan. Therefore, these transactions qualify as party-in-interest transactions; however, they are exempt from the prohibited transactions rules under ERISA.

Note 7: Risks and Uncertainties

The Plan invests in various investment securities that are exposed to various risks such as interest rates, market and credit risks. Market values of investments may decline for a number of reasons, including changes in prevailing market and interest rates, increases in defaults and credit rating downgrades. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in values of investment securities will occur in the near term and that some changes could materially affect participant account balances and the assets reported in the statement of net assets available for benefits

Note 8: Plan Tax Status

The Plan applied for a determination letter on February 26, 2010. The Plan did receive a response from the IRS, stating that because the determination request was filed off-cycle that it is pended until all on-cycle filings have been completed. The determination letter has not been received; however, Plan management believes that the Plan and related trust are currently designed and being operated in compliance with the applicable requirements of the Internal Revenue Code.

US GAAP requires Plan management to evaluate uncertain tax positions taken by the Plan. The financial statement effects of a tax position are recognized when the position is more likely than not, based on the technical merits, to be sustained upon examination by the IRS. Plan management has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2012, there are no uncertain positions taken or expected to be taken. The Plan has recognized no interest or penalties related to uncertain tax positions. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

Note 9: Subsequent Events

On May 6, 2013, the Plan entered into a $1,104,977 term loan agreement with the Company. The agreement provides for the loan to be repaid over 10 years and bears interest at 5 percent.

Supplementary Information

LJA Engineering, Inc. 2006 ESOP #2
EIN 76-0540328 PN 003
Schedule H, Line 4i – Schedule of Assets Held (at End of Year)
December 31, 2012

(a)	(b) Identity of issue, borrower, lessor or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par or maturity value	(d) Cost	(e) Current Value
*	LJA Engineering, Inc.	Common Stock – 220,291 shares	$ 11,751,967	$ 12,307,218
*	Charles Schwab	Schwab Money Market Fund	92,168	92,168
	AQR	AQR Managed Futures Strategy I	539,410	548,964
	Artisan	Artisan International Value Fund	202,839	203,980
	Artisan	Artisan Small Cap Value Investor	46,502	46,726
	Brown	Brown Cap Mgmt Small Co Fd Inv	21,656	25,024
	Doubleline	Doubleline Total Return Bond Fund I	871,547	880,376
	Eaton Vance	Eaton Vance Parametric Struct Emrg I	50,025	49,967
	Fairholme	Fairholme Fund	33,938	46,091
	First Eagle	First Eagle Overseas Fund	80,980	85,461
	First Pacific Advisors	FPA Crescent Fd Inst Cl Shs	61,938	67,743
	First Pacific Advisors	FPA New Income	313,081	312,612
	Harbor	Harbor International Fd Instl	104,518	105,409
	Jensen	Jensen Quality Growth Fund Cl I	129,840	142,418
	Masters	Litman Gregory Funds TR	819,226	832,126
	Longleaf Funds	Longleaf Partners Fund	77,730	76,972
	Loomis Sayles	Loomis Sayles Bond Cl I	253,478	266,074
	Oakmark	Oakmark Global SL Ct I	81,955	82,346
	PIMCO Funds	PIMCO Emerging Local Bond Fd Instl	153,195	167,372
	PIMCO Funds	PIMCO Low Duration Fund Instl Class	534,400	540,748
	PIMCO Funds	PIMCO Total Return Fund Instl Class	634,719	656,324
	Prime Cap	PrimeCap Odyssey Growth	49,921	57,940
*	Schwab	Schwab Fundamental US large Co Index	175,545	194,366
	Wintergreen	Wintergreen Fund Inc Instl Shs	100,885	107,309
	Blackrock	ISHARES MSCI ETF	95,850	101,650
	Blackrock	ISHARES MSCI ETF	169,747	169,855
	Barclays	ISHARES TR Lehman Bond Fund	192,995	194,234
	Blackrock	ISHARES TR Russell 1000	73,275	70,336
*	Charles Schwab	Schwab Emerging Market Eq ETF	50,428	51,329
	SPDR	SPDR Gold Trust SPDR Gold Shs	289,213	290,989
	Total investments		$ 18,052,971	$ 18,774,127

* Party-in-interest

LJA Engineering, Inc. 2006 ESOP #2
EIN 76-0540328 PN 003

Schedule H, Line 4i – Schedule of Assets Held (at End of Year)

December 31, 2012

The following pages represent the reportable transactions for the year ended December 31, 2012 of the LJA Engineering, Inc. 2006 ESOP #2.

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 152

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

	TRADE DATE	SHARES/PV		PURCH/SALE PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
			AQR MANAGED FUTURES STRATEGY I				
			TICKER: AQMIX				
	1/13/12	11,173	PURCHASED	9.62	25.00	-107,512.00	107,512.00
	9/14/12	20,316	PURCHASED	9.65	25.00	-196,071.00	196,071.00
T						303,583.00	
			DOUBLELINE TOTAL RETURNBD FD CL I				
			TICKER: DBLTX				
	5/31/12	15,650	PURCHASED	11.19	25.00	-175,147.00	175,147.00
	9/14/12	35,573	PURCHASED	11.40	25.00	-405,552.00	405,552.00
	11/ 2/12	6,621	SOLD	11.37	25.00	75,254.00	74,904.17
T						655,953.00	
			FIRST EAGLE OVERSEAS FUND A				
			TICKER: SGOVX				
	1/13/12	6,107	PURCHASED	20.47	0.00	-125,005.00	125,005.00
	9/14/12	2,263	PURCHASED	22.65	0.00	-51,247.00	51,247.00
	12/26/12	7,703	SOLD	21.91	0.00	168,771.00	160,713.53
T						345,023.00	

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 153

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

	TRADE DATE	SHARES/PV		PURCH/SALE PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
			FPA NEW INCOME TICKER: FPNIX				
	1/13/12	20,911	SOLD	10.67	0.00	223,118.00	228,623.95
	9/14/12	10,063	PURCHASED	10.68	25.00	-107,499.00	107,499.00
	12/26/12	4,702	SOLD	10.63	25.00	50,000.00	51,055.83
T						380,617.00	
			LITMAN GREGORY FDS TR TICKER: MASFX				
	1/13/12	28,205	PURCHASED	10.38	25.00	-292,797.00	292,797.00
	9/14/12	14,844	PURCHASED	11.07	25.00	-164,349.00	164,349.00
	12/26/12	32,530	PURCHASED	11.13	25.00	-362,080.00	362,080.00
T						819,226.00	
			PIMCO LOW-DURATION FUND INSTL CLASS TICKER: PTLDX				
	9/14/12	20,704	PURCHASED	10.63	25.00	-220,105.00	220,105.00
	11/ 2/12	8,761	SOLD	10.65	25.00	93,276.00	91,875.02
	12/26/12	12,911	SOLD	10.54	25.00	136,062.00	135,405.65
T						449,443.00	

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 154

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV		PURCH/SALE PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
		RUSSELL 1000 ETF TICKER: LVOL				
5/31/12	1,463	PURCHASED	49.61	8.95	-72,573.75	72,573.75
9/14/12	458	PURCHASED	54.27	4.28	-24,854.90	24,854.90
9/14/12	500	PURCHASED	54.26	4.67	-27,131.67	27,131.67
10/24/12	2,421	SOLD	53.66	0.00	129,900.32	124,560.32
T					254,460.64	
		SCH ADVISOR CASH RESERVE PREMIER TICKER: SWZXX				
1/ 3/12	7,588	PURCHASED	1.00	0.00	-7,588.41	7,588.41
1/ 6/12	6,147	SOLD	1.00	0.00	6,147.00	6,147.00
1/17/12	195,706	SOLD	1.00	0.00	195,705.61	195,705.61
1/17/12	2	PURCHASED	1.00	0.00	-2.33	2.33
1/19/12	201,652	SOLD	1.00	0.00	201,651.65	201,651.65
1/24/12	611	PURCHASED	1.00	0.00	-610.56	610.56
2/ 1/12	6,624	PURCHASED	1.00	0.00	-6,624.11	6,624.11
2/ 2/12	5,481	SOLD	1.00	0.00	5,481.15	5,481.15
2/15/12	2,703	SOLD	1.00	0.00	2,703.41	2,703.41
2/15/12	1	PURCHASED	1.00	0.00	-0.94	0.94
2/22/12	4,823	SOLD	1.00	0.00	4,822.56	4,822.56
2/23/12	764	PURCHASED	1.00	0.00	-763.51	763.51
2/29/12	1,169	SOLD	1.00	0.00	1,168.87	1,168.87
3/ 1/12	6,266	PURCHASED	1.00	0.00	-6,265.66	6,265.66
3/ 9/12	1,406	SOLD	1.00	0.00	1,406.25	1,406.25

Charles Schwab Bank, 211 Main Street, 14th Floor, San Francisco, CA 94105-1965

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 155

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV	PURCH/SALE	PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
3/15/12	1	PURCHASED	1.00	0.00	-0.87	0.87
3/21/12	1,624	SOLD	1.00	0.00	1,623.97	1,623.97
3/22/12	711	PURCHASED	1.00	0.00	-710.52	710.52
3/26/12	771	PURCHASED	1.00	0.00	-770.85	770.85
4/ 2/12	8,462	PURCHASED	1.00	0.00	-8,461.61	8,461.61
4/ 3/12	1,922	PURCHASED	1.00	0.00	-1,921.55	1,921.55
4/ 5/12	6,438	SOLD	1.00	0.00	6,438.00	6,438.00
4/16/12	1	PURCHASED	1.00	0.00	-0.99	0.99
4/24/12	754	PURCHASED	1.00	0.00	-753.72	753.72
5/ 1/12	6,691	PURCHASED	1.00	0.00	-6,690.60	6,690.60
5/11/12	250	PURCHASED	1.00	0.00	-250.18	250.18
5/15/12	1	PURCHASED	1.00	0.00	-1.09	1.09
5/16/12	1,682	SOLD	1.00	0.00	1,681.88	1,681.88
5/23/12	1,586	SOLD	1.00	0.00	1,586.27	1,586.27
5/24/12	777	PURCHASED	1.00	0.00	-776.97	776.97
6/ 1/12	7,326	PURCHASED	1.00	0.00	-7,326.14	7,326.14
6/ 4/12	1,791	PURCHASED	1.00	0.00	-1,791.27	1,791.27
6/ 8/12	16	PURCHASED	1.00	0.00	-16.05	16.05
6/13/12	350	SOLD	1.00	0.00	350.00	350.00
6/15/12	1	PURCHASED	1.00	0.00	-1.24	1.24
6/21/12	305	PURCHASED	1.00	0.00	-304.74	304.74
6/22/12	25	SOLD	1.00	0.00	25.00	25.00
6/25/12	738	PURCHASED	1.00	0.00	-737.81	737.81
6/29/12	541	PURCHASED	1.00	0.00	-541.02	541.02
7/ 2/12	9,621	PURCHASED	1.00	0.00	-9,620.59	9,620.59
7/ 3/12	4,129	PURCHASED	1.00	0.00	-4,128.75	4,128.75
7/ 5/12	3,130	SOLD	1.00	0.00	3,129.55	3,129.55
7/ 6/12	6,366	SOLD	1.00	0.00	6,366.00	6,366.00

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 156

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV	PURCH/SALE	PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
7/10/12	15	PURCHASED	1.00	0.00	-15.05	15.05
7/16/12	1	PURCHASED	1.00	0.00	-1.24	1.24
7/19/12	400	SOLD	1.00	0.00	400.00	400.00
7/24/12	772	PURCHASED	1.00	0.00	-772.07	772.07
8/ 1/12	6,643	PURCHASED	1.00	0.00	-6,642.92	6,642.92
8/ 6/12	195	PURCHASED	1.00	0.00	-195.04	195.04
8/ 8/12	15	PURCHASED	1.00	0.00	-15.25	15.25
8/15/12	1	PURCHASED	1.00	0.00	-1.20	1.20
8/21/12	2,250	SOLD	1.00	0.00	2,250.00	2,250.00
8/22/12	18,199	SOLD	1.00	0.00	18,198.62	18,198.62
8/24/12	819	PURCHASED	1.00	0.00	-818.57	818.57
9/ 4/12	7,116	PURCHASED	1.00	0.00	-7,115.92	7,115.92
9/11/12	14	PURCHASED	1.00	0.00	-14.17	14.17
9/12/12	2,040,000	PURCHASED	1.00	0.00	-2,040,000.00	2,040,000.00
9/14/12	522,196	SOLD	1.00	0.00	522,196.40	522,196.40
9/17/12	1,164,561	SOLD	1.00	0.00	1,164,560.80	1,164,560.80
9/17/12	4	PURCHASED	1.00	0.00	-3.77	3.77
9/19/12	461,455	SOLD	1.00	0.00	461,455.03	461,455.03
9/21/12	1,569	SOLD	1.00	0.00	1,568.53	1,568.53
9/24/12	1,091	SOLD	1.00	0.00	1,091.44	1,091.44
9/26/12	1,582	SOLD	1.00	0.00	1,581.76	1,581.76
9/27/12	247	SOLD	1.00	0.00	247.00	247.00
10/ 1/12	12,548	PURCHASED	1.00	0.00	-12,548.08	12,548.08
10/ 2/12	2,727	PURCHASED	1.00	0.00	-2,726.60	2,726.60
10/ 4/12	8,765	SOLD	1.00	0.00	8,765.00	8,765.00
10/ 9/12	255	PURCHASED	1.00	0.00	-255.29	255.29
10/15/12	0	PURCHASED	1.00	0.00	-0.41	0.41
10/23/12	3,914	SOLD	1.00	0.00	3,914.27	3,914.27

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 157

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV	PURCH/SALE	PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
10/25/12	129,900	PURCHASED	1.00	0.00	-129,900.32	129,900.32
10/31/12	213	PURCHASED	1.00	0.00	-213.37	213.37
11/ 1/12	9,970	PURCHASED	1.00	0.00	-9,970.45	9,970.45
11/ 6/12	462,932	PURCHASED	1.00	0.00	-462,932.00	462,932.00
11/ 7/12	454,430	SOLD	1.00	0.00	454,429.64	454,429.64
11/ 8/12	124,736	SOLD	1.00	0.00	124,735.97	124,735.97
11/ 9/12	194	PURCHASED	1.00	0.00	-194.05	194.05
11/14/12	12,061	SOLD	1.00	0.00	12,061.00	12,061.00
11/15/12	14,029	PURCHASED	1.00	0.00	-14,029.49	14,029.49
11/15/12	1	PURCHASED	1.00	0.00	-0.99	0.99
11/21/12	1,242	PURCHASED	1.00	0.00	-1,242.21	1,242.21
11/23/12	2,160	SOLD	1.00	0.00	2,159.77	2,159.77
11/27/12	9,854	SOLD	1.00	0.00	9,854.37	9,854.37
11/28/12	3,500	SOLD	1.00	0.00	3,500.00	3,500.00
11/29/12	21,581	SOLD	1.00	0.00	21,580.63	21,580.63
11/30/12	21,581	PURCHASED	1.00	0.00	-21,580.63	21,580.63
12/ 3/12	8,753	PURCHASED	1.00	0.00	-8,752.50	8,752.50
12/10/12	247	PURCHASED	1.00	0.00	-247.18	247.18
12/12/12	350	PURCHASED	1.00	0.00	-349.85	349.85
12/13/12	21,707	PURCHASED	1.00	0.00	-21,707.44	21,707.44
12/14/12	18,520	PURCHASED	1.00	0.00	-18,519.73	18,519.73
12/17/12	4,598	PURCHASED	1.00	0.00	-4,597.81	4,597.81
12/18/12	10,096	SOLD	1.00	0.00	10,095.78	10,095.78
12/19/12	174	SOLD	1.00	0.00	174.38	174.38
12/20/12	1,479	PURCHASED	1.00	0.00	-1,479.09	1,479.09
12/21/12	15,882	PURCHASED	1.00	0.00	-15,881.68	15,881.68
12/27/12	443	PURCHASED	1.00	0.00	-442.82	442.82
12/31/12	1	PURCHASED	1.00	0.00	-0.91	0.91

Charles Schwab Bank, 211 Main Street, 14th Floor, San Francisco, CA 94105-1965

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 158

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY ISSUE
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV	PURCH/SALE PRICE	TRANSACTION EXPENSE	PURCHASE/SALE PROCEEDS	ADJUSTED HISTORICAL COST
12/31/12	13,446 SOLD	1.00	0.00	13,445.69	13,445.69
T					
				6,128,383.43	

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 159

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY BROKER
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV		DESCRIPTION	TRANSACTION EXPENSE	CASH	ASSET COST
	CHARLES SCHWAB & CO.					
1/13/12	11,173	B	AQR MANAGED FUTURES STRATEGY I TICKER: AQMIX	25.00	-107,512.00	107,512.00
1/13/12	3,221	S	SELECTED AMERICAN SHARES CL D TICKER: SLADX	25.00	130,695.15	-114,022.74
1/13/12	28,205	B	LITMAN GREGORY FDS TR TICKER: MASFX	25.00	-292,797.00	292,797.00
1/13/12	638	B	SPDR GOLD TRUST SPDR GOLD SHARES TICKER: GLD	8.95	-101,200.85	101,200.85
5/31/12	3,097	S	PRIMECAP ODYSSEY GROWTH TICKER: POGRX	25.00	47,852.00	-43,735.30
5/31/12	4,601	S	LITMAN GREGORY MASTERSINTL FD INST TICKER: MSILX	25.00	56,064.00	-53,496.89
5/31/12	4,026	S	JENSEN QUALITY GROWTH FD CL I TICKER: JENIX	25.00	110,092.00	-96,595.96
5/31/12	15,650	B	DOUBLELINE TOTAL RETURNBD FD CL I TICKER: DBLTX	25.00	-175,147.00	175,147.00
5/31/12	94	B	ISHARES TR LEHMAN BD FD TICKER: IEF	8.95	-10,216.22	10,216.22
5/31/12	935	B	ISHARES MSCI ETF TICKER: EFAV	8.95	-45,206.76	45,206.76
5/31/12	1,463	B	RUSSELL 1000 ETF TICKER: LVOL	8.95	-72,573.75	72,573.75
6/21/12	7,067	B	WINTERGREEN FD INCINSTL SHS TICKER: WGRIX	25.00	-97,898.48	97,898.48
9/14/12	1,680	B	JENSEN QUALITY GROWTH FD CL I	25.00	-50,025.00	50,025.00

Charles Schwab Bank, 211 Main Street, 14th Floor, San Francisco, CA 94105-1965

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 160

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY BROKER
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV		DESCRIPTION	TRANSACTION EXPENSE	CASH	ASSET COST
			TICKER: JENIX			
9/14/12	3,360	B	WINTERGREEN FD INCINSTL SHS	25.00	-50,025.00	50,025.00
			TICKER: WGRIX			
9/14/12	10,063	B	FPA NEW INCOME	25.00	-107,499.00	107,499.00
			TICKER: FPNIX			
9/14/12	8,677	B	LOOMIS SAYLES BOND CL I	25.00	-130,786.00	130,786.00
			TICKER: LSB1Z			
9/14/12	14,844	B	LITMAN GREGORY FDS TR	25.00	-164,349.00	164,349.00
			TICKER: MASFX			
9/14/12	20,316	B	AQR MANAGED FUTURES STRATEGY I	25.00	-196,071.00	196,071.00
			TICKER: AQMIX			
9/14/12	20,704	B	PIMCO LOW-DURATION FUND INSTL CLASS	25.00	-220,105.00	220,105.00
			TICKER: PTLDX			
9/14/12	35,573	B	DOUBLELINE TOTAL RETURNBD FD CL I	25.00	-405,552.00	405,552.00
			TICKER: DBLTX			
9/14/12	224	B	ISHARES MSCI ETF	2.17	-12,284.09	12,284.09
			TICKER: EFAV			
9/14/12	458	B	RUSSELL 1000 ETF	4.28	-24,854.90	24,854.90
			TICKER: LVOL			
9/14/12	500	B	RUSSELL 1000 ETF	4.67	-27,131.67	27,131.67
			TICKER: LVOL			
9/14/12	700	B	ISHARES MSCI ETF	6.78	-38,359.08	38,359.08
			TICKER: EFAV			
9/14/12	1,074	B	ISHARES TR RUSSELL 1000	8.95	-73,275.08	73,275.08
			TICKER: IWF			
9/14/12	600	B	SPDR GOLD TRUST SPDR GOLD SHARES	8.95	-103,201.75	103,201.75
			TICKER: GLD			
9/14/12	1,713	B	ISHARES TR LEHMAN BD FD	8.95	-182,779.20	182,779.20

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY BROKER
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV	DESCRIPTION	TRANSACTION EXPENSE	CASH	ASSET COST
		TICKER: IEF			
10/24/12	2,421 S	RUSSELL 1000 ETF	0.00	129,900.32	-124,560.32
		TICKER: LVOL			
11/ 2/12	6,621 S	DOUBLELINE TOTAL RETURNBD FD CL I	25.00	75,254.00	-74,904.17
		TICKER: DBLTX			
11/ 2/12	7,008 S	PIMCO TOTAL RETURN FUND INSTL CLASS	25.00	81,198.00	-76,551.78
		TICKER: PTTRX			
11/ 2/12	8,761 S	PIMCO LOW-DURATION FUND INSTL CLASS	25.00	93,276.00	-91,875.02
		TICKER: PTLDX			
11/ 2/12	3,505 S	FPA CRESCENT FD INST CL SHARES	25.00	100,232.00	-89,744.96
		TICKER: FPACX			
11/ 2/12	10,414 S	PIMCO EMRG LOCAL BD FD INSTL	25.00	112,972.00	-110,906.23
		TICKER: PELBX			
12/26/12	1,877 S	LITMAN GREGORY MASTERSINTL FD INST	25.00	28,152.72	-21,826.27
		TICKER: MSILX			
12/26/12	4,702 S	FPA NEW INCOME	25.00	50,000.00	-51,055.83
		TICKER: FPNIX			
12/26/12	1,600 S	FAIRHOLME FUND	25.00	50,000.00	-43,768.05
		TICKER: FAIRX			
12/26/12	3,315 S	LOOMIS SAYLES BOND CL I	25.00	50,000.00	-46,784.08
		TICKER: LSB1Z			
12/26/12	3,340 B	EATON VANCE PARAMETRIC STRUCT EMRG I	25.00	-50,025.00	50,025.00
		TICKER: EIEMX			
12/26/12	1,997 S	LONGLEAF PARTNERS FUND	25.00	53,217.00	-46,013.98
		TICKER: LLPFX			
12/26/12	3,378 S	FPA CRESCENT FD INST CL SHARES	25.00	98,927.00	-86,490.12
		TICKER: FPACX			
12/26/12	1,697 B	HARBOR INTERNATIONAL FUND INSTL	25.00	-104,518.00	104,518.00

charles SCHWAB
BANK

LJA ENGINEERING, INC. 2006 ESOP #2
ACCOUNT NUMBER:
REPORTING PERIOD: 12/31/11 TO 12/31/12
PAGE : 162

SCHEDULE OF REPORTABLE 5% TRANSACTIONS
BY BROKER
COMPUTED ON A 12/31/11 VALUE OF $4,917,541.31

TRADE DATE	SHARES/PV		DESCRIPTION	TRANSACTION EXPENSE	CASH	ASSET COST
			TICKER: HAINX			
12/26/12	12,911	S	PIMCO LOW-DURATION FUND INSTL CLASS	25.00	136,062.00	-135,405.65
			TICKER: PTLDX			
12/26/12	14,301	S	PIMCO TOTAL RETURN FUND INSTL CLASS	25.00	162,288.00	-156,213.00
			TICKER: PTTRX			
12/26/12	32,530	B	LITMAN GREGORY FDS TR	25.00	-362,080.00	362,080.00
			TICKER: MASFX			
12/26/12	4,260	S	SCHWAB ETFS-INTL EQTY ETF	2.55	113,996.33	-100,450.80
			TICKER: SCHF			
12/26/12	5,849	B	ISHARES MSCI ETF	8.95	-169,746.93	169,746.93
			TICKER: USMV			
T						
				892.05	5,055,398.28	

Please see pages 5-15 of the auditor's report.

Please see page 17 of the auditor's report.

Please see page 18-29 of the auditor's report.