Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A This return/report is for: ☐ a multiemployer plan; ☐ a multiple-employer plan; or ☒ a single-employer plan; ☐ a DFE (specify) ____

B This return/report is: ☐ the first return/report; ☐ the final return/report; ☐ an amended return/report; ☐ a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ ☐

D Check box if filing under: ☒ Form 5558; ☐ automatic extension; ☐ the DFVC program; ☐ special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 03/01/1999

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

NORTHEAST ORTHOPAEDICS LLP

EVERETT ROAD HEALTH PARK
121 EVERETT ROAD
ALBANY, NY 12205

2b Employer Identification Number (EIN)
14-1777794

2c Sponsor's telephone number
518-453-9088

2d Business code (see instructions)
621111

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2013	DEBRA PICCOLO
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	10/11/2013	DEBRA PICCOLO
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	199
6 Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a Active participants	**6a**	176
b Retired or separated participants receiving benefits	**6b**	0
c Other retired or separated participants entitled to future benefits	**6c**	40
d Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	216
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f Total. Add lines **6d** and **6e**	**6f**	216
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	200
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	7
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2A 2E 2F 2G 2J 2K 2T 3D 3H

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]	**H** (Financial Information)
(2)	[ ]	**I** (Financial Information – Small Plan)
(3)	[ ]	**A** (Insurance Information)
(4)	[X]	**C** (Service Provider Information)
(5)	[X]	**D** (DFE/Participating Plan Information)
(6)	[ ]	**G** (Financial Transaction Schedules)

SCHEDULE C (Form 5500)	Service Provider Information	OMB No. 1210-0110
Department of the Treasury Internal Revenue Service Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation	This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA). ▶ **File as an attachment to Form 5500.**	**2012** **This Form is Open to Public Inspection.**

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
NORTHEAST ORTHOPAEDICS LLP

D Employer Identification Number (EIN)
14-1777794

Part I Service Provider Information (see instructions)

You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part.

1 Information on Persons Receiving Only Eligible Indirect Compensation

a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . [X] Yes [ ] No

b If you answered line 1a "Yes," enter the name and EIN or address of each person providing the required disclosures for the service providers who received only eligible indirect compensation. Complete as many entries as needed (see instructions).

(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

FID. INV. INST.OPERATIONS CO, INC.

04-2647786

(b) Enter name and EIN or address of person who provided you disclosure on eligible indirect compensation

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(b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

FIDELITY INVESTMENTS INSTITUTIONAL

04-2647786

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
37 64 65	RECORDKEEPER	1050	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

AMERPRISE FINANCIAL SERVICES INC.

707 2ND AVE S
MINNEAPOLIS, MN 55402

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
61	ADVISOR	0	Yes [X] No [ ]	Yes [X] No [ ]	0	Yes [X] No [ ]

(a) Enter name and EIN or address (see instructions)

INDEPENDENT FINANCIAL ADVISORS

59-3331424

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
61	ADVISOR	5467	Yes [ ] No [X]	Yes [ ] No [X]	0	Yes [ ] No [X]

2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered "Yes" to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions).

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

(a) Enter name and EIN or address (see instructions)

(b) Service Code(s)	(c) Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0-.	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0-.	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes ☐ No ☐	Yes ☐ No ☐		Yes ☐ No ☐

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
CALVERT INCOME A	BOSTON FINANCIAL P.O. BOX 8480 BOSTON, MA 02266	.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COL MAR 21ST CENTURY A COLUMBIA MGT	P.O. BOX 219463 KANSAS CITY, MO 64121	.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COL/ACORN A COLUMBIA MGT	P.O. BOX 219643 KANSAS CITY, MO 64121	.25%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA ACORN A	COLUMBIA MANAGEMENT P.O. BOX 219643 KANSAS, MO 64121	.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTEMENT INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EATON LG CAP VALUE A BNY MELLON INV	P.O. BOX 9793 PROVIDENCE, RI 02940	.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation		**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
EV DIV BUILDER A BNY MGT INV	P.O. BOX 9793 PROVIDENCE, RI 02940	.25%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
FIDELITY INVESTMENTS INSTITUTIONAL	60	

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPHMR SMMIDCP VAL A OPPENHEIMER FD P.O. BOX 5270 COLORADO, CO 80217	.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
AMERIPRISE FINANCIAL SERVICES, INC.	61	

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COL MAR 21ST CENT A - COLUMBIA MGT P.O. BOX 219643 KANSAS CITY, MO 64121	$0- $3M=1.00% $3M- $50M=0.50% $50M +=0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
AMERIPRISE FINANCIAL SERVICES, INC.	61	

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COL ACORN INTL A - B OF A DISTRIB 04-3156901	$0- $3M=1.00% $3M- $5M=0.50% $50M +=0.25%

Part I Service Provider Information (continued)

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source.

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
AMERIPRISE FINANCIAL SERVICES, INC.	61	

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
COLUMBIA ACORN A - B OF A DISTRIB 04-3156901	$0- $3M=1.00% $3M- $50M=0.50% $5M +=.025%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation
AMERIPRISE FINANCIAL SERVICES, INC.	61	

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.
OPPHMR SMMIDCP VAL - A OPPENHEIMER 13-2953455	$5M+=0.25%

(a) Enter service provider name as it appears on line 2	**(b)** Service Codes (see instructions)	**(c)** Enter amount of indirect compensation

(d) Enter name and EIN (address) of source of indirect compensation	**(e)** Describe the indirect compensation, including any formula used to determine the service provider's eligibility for or the amount of the indirect compensation.

Part II Service Providers Who Fail or Refuse to Provide Information

4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

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(a) Enter name and EIN or address of service provider (see instructions)	**(b)** Nature of Service Code(s)	**(c)** Describe the information that the service provider failed or refused to provide

Part III Termination Information on Accountants and Enrolled Actuaries (see instructions)

(complete as many entries as needed)

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

a	Name:	**b** EIN:
c	Position:	
d	Address:	**e** Telephone:

Explanation:

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
NORTHEAST ORTHOPAEDICS LLP

D Employer Identification Number (EIN)
14-1777794

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: FA STABLE VALUE

b Name of sponsor of entity listed in (a): FIDELITY MANAGEMENT TRUST COMPANY

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
04-3022712-026	C	0

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
NORTHEAST ORTHOPAEDICS LLP

D Employer Identification Number (EIN)
14-1777794

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a		
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)		
(2) Participant contributions	1b(2)		33963
(3) Other	1b(3)		86213
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)		
(2) U.S. Government securities	1c(2)		
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)		
(B) All other	1c(3)(B)		
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)		
(B) Common	1c(4)(B)		
(5) Partnership/joint venture interests	1c(5)		
(6) Real estate (other than employer real property)	1c(6)		
(7) Loans (other than to participants)	1c(7)		
(8) Participant loans	1c(8)	152523	249269
(9) Value of interest in common/collective trusts	1c(9)	524768	
(10) Value of interest in pooled separate accounts	1c(10)		
(11) Value of interest in master trust investment accounts	1c(11)		
(12) Value of interest in 103-12 investment entities	1c(12)		
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)	10854252	13604425
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		

		(a) Beginning of Year	(b) End of Year
1d Employer-related investments:			
(1) Employer securities	1d(1)		
(2) Employer real property	1d(2)		
e Buildings and other property used in plan operation	1e		
f Total assets (add all amounts in lines 1a through 1e)	1f	11531543	13973870
Liabilities			
g Benefit claims payable	1g		
h Operating payables	1h	0	4533
i Acquisition indebtedness	1i		
j Other liabilities	1j		
k Total liabilities (add all amounts in lines 1g through1j)	1k	0	4533
Net Assets			
l Net assets (subtract line 1k from line 1f)	1l	11531543	13969337

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

Income		(a) Amount	(b) Total
a Contributions:			
(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	727710	
(B) Participants	2a(1)(B)	654016	
(C) Others (including rollovers)	2a(1)(C)	90631	
(2) Noncash contributions	2a(2)		
(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		1472357
b Earnings on investments:			
(1) Interest:			
(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
(B) U.S. Government securities	2b(1)(B)		
(C) Corporate debt instruments	2b(1)(C)		
(D) Loans (other than to participants)	2b(1)(D)	0	
(E) Participant loans	2b(1)(E)	7837	
(F) Other	2b(1)(F)		
(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		7837
(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
(B) Common stock	2b(2)(B)		
(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)	214730	
(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		214730
(3) Rents	2b(3)		
(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		0
(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
(B) Other	2b(5)(B)		
(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		0

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		-12583
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		928664
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		2611005
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	166758	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		166758
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		42
h Interest expense	2h		
i Administrative expenses: **(1)** Professional fees	2i(1)	1878	
(2) Contract administrator fees	2i(2)	4533	
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		6411
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		173211
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		2437794
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: WOJESKI & CO. CPAS PC **(2)** EIN: 14-1798364

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j	X		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m	X		
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n	X		

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
NORTHEAST ORTHOPAEDICS LLP

D Employer Identification Number (EIN)
14-1777794

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 04-6568107 11-3665754

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a	The current year	**14a**	
b	The plan year immediately preceding the current plan year	**14b**	
c	The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a	The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b	The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a	Enter the number of employers who withdrew during the preceding plan year	**16a**	
b	If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

NORTHEAST ORTHOPAEDICS LLP
401(K) PROFIT SHARING PLAN

Financial Statements and Supplemental Schedules

December 31, 2012 and 2011

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Financial Statements and Supplemental Schedules

December 31, 2012 and 2011

Independent Auditors' Report 1

Financial Statements

Statements of Net Assets Available for Benefits 3
Statements of Changes in Net Assets Available for Benefits 4
Notes to Financial Statements 5

Supplemental Schedules

Schedule H, Line 4i – Schedule of Assets (Held at End of Year) 14
Schedule H, Line 4j – Schedule of Reportable Transactions 15

WOJESKI & COMPANY CPAs, P.C.

75 Troy Road
East Greenbush, New York 12061
518-477-1102 • 518-477-1302 (Fax)
www.wojeskico.com

MEMBER: AICPA, NYSSCPA

INDEPENDENT AUDITORS' REPORT

To the Participants and Administrator of
Northeast Orthopaedics LLP 401(k) Profit Sharing Plan

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Northeast Orthopaedics LLP 401(k) Profit Sharing Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2012 and 2011, and the related statements of changes in net assets available for benefits for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the Plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note D, which was certified by Fidelity Management Trust Company, the trustee of the Plan through August 14, 2012 and Frontier Trust Company, the trustee of the Plan from August 15, 2012 through December 31, 2012, except for comparing

the information with the related information included in the financial statements and supplemental schedule. We have been informed by the Plan administrator that the trustees hold the Plan's investment assets and executed investment transactions. The Plan administrator has obtained certifications from the trustees as of and for the years ended December 31, 2012 and 2011, that the information provided to the plan administrator by the trustees is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

We were engaged for the purpose of forming an opinion on the financial statements as a whole. The supplemental schedules, which are the responsibility of plan management, are presented for the purpose of additional analysis and are not a required part of the financial statements but are required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, it is inappropriate to and we do not express an opinion on the supplemental schedules referred to above.

Report on Form and Content in Compliance With DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedules, other than that derived from the information certified by the trustees, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Wojeski + Company CPA, P.C.

East Greenbush, New York
October 3, 2013

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Statements of Net Assets Available for Benefits

	December 31, 2012	December 31, 2011
ASSETS		
Investments:		
Registered investment companies	$ 13,604,425	$ 10,854,252
Common collective funds	-	524,768
	13,604,425	11,379,020
Receivables:		
Notes receivable from participants	249,269	152,523
Sponsor contributions	86,213	17,273
Participant contributions	33,963	13,567
	369,445	183,363
TOTAL ASSETS	$ 13,973,870	$ 11,562,383
LIABILITIES		
Other liabilities	4,533	-
TOTAL LIABILITIES	4,533	-
NET ASSETS AVAILABLE FOR BENEFITS AT FAIR VALUE	13,969,337	11,562,383
Adjustment from fair value to contract value for fully benefit-responsive investment contracts	-	(16,753)
NET ASSETS AVAILABLE FOR BENEFITS	$ 13,969,337	$ 11,545,630

See accompanying notes to financial statements.

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Statements of Changes in Net Assets Available for Benefits

	Year Ended December 31	
	2012	2011
ADDITIONS:		
Additions to net assets attributed to:		
Investment income (loss):		
Net appreciation (depreciation) in fair value of investments	$ 932,834	$ (651,049)
Interest and dividends	222,567	363,408
	1,155,401	(287,641)
Contributions:		
Employers'	710,437	659,590
Participants'	640,449	624,778
Rollovers	90,631	15,266
	1,441,517	1,299,634
TOTAL ADDITIONS	2,596,918	1,011,993
DEDUCTIONS:		
Deductions from net assets attributed to:		
Payments to withdrawn and retired participants	166,800	135,041
Adminstrative expenses	6,411	1,600
TOTAL DEDUCTIONS	173,211	136,641
NET CHANGE	2,423,707	875,352
NET ASSETS AVAILABLE FOR BENEFITS		
Beginning of year	11,545,630	10,670,278
END OF YEAR	$ 13,969,337	$ 11,545,630

See accompanying notes to financial statements.

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Notes to Financial Statements

December 31, 2012

NOTE A--DESCRIPTION OF THE PLAN

The following description of the Northeast Orthopaedics LLP (the "Company") 401(k) Profit Sharing Plan (the "Plan") provides only general information. Participants should refer to the plan document and adoption agreement for a more complete description of the Plan's provisions.

General

The Plan is a contributory savings and profit sharing plan which covers all employees of Northeast Orthopaedics, LLP and Executive Woods Ambulatory Surgery Center, LLC.

The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended (ERISA).

Employees are eligible to make participant contributions to the Plan after 30 days of service with a participating employer and be a minimum age of 21. Employees are eligible to receive employer profit sharing contributions after one year of service with a participating employer and be a minimum age of 21 years old. Employees enter the profit sharing plan on the first day of January or July following satisfaction of the eligibility requirements.

Contributions

Participants may defer up to 75% of eligible compensation, as defined in the Plan. Participants who have attained the age of 50 before the close of the plan year are allowed an additional catch-up contribution ($5,500 in 2012). Participants are allowed to defer 100% of any bonus into the Plan. Participants may also roll over distributions from other qualified defined benefit or contribution plans.

The Company made discretionary profit sharing contributions of 5% of the employees' salary in 2012 and 2011. Discretionary contributions totaled $710,437 and $659,590 for the years ended December 31, 2012 and 2011, respectively.

Contributions are subject to applicable Internal Revenue Code (IRC) limitations.

Participant Accounts

Each participant's account is credited with the participant's contribution and allocations of Plan earnings and expenses. Allocations are based on participant deferrals and account balances. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

NOTE A--DESCRIPTION OF THE PLAN--Continued

Vesting

Participants are immediately vested in their salary deferral contributions plus actual earnings thereon. Vesting in the Company's discretionary profit sharing contribution is based on years of service. Vesting for employees occurs ratably over five years (years two through six) for all employees.

At December 31, 2012 and 2011, forfeited non-vested accounts totaled $23,505 and $630, respectively. These accounts will be used to reduce future employer contributions.

Investment Options

Upon enrollment in the Plan, a participant may direct employee and employer contributions to be invested in any of the investment choices available under the Plan. Investment fund choices include trustee registered investment companies. Participants may change the mix of investments from one fund to another on a daily basis.

All investments are participant-directed.

Payment of Benefits

On termination of service due to death, disability or retirement, a participant receives a lump-sum amount equal to the value of the participant's vested interest in his or her account. For termination of service due to other reasons, a participant may receive the value of the vested interest in his or her account as a lump-sum distribution. The Plan allows certain hardship withdrawals, as defined by the Internal Revenue Code (IRC).

Notes receivable from Participants

Participants may borrow from their fund accounts up to a maximum of the lesser of $50,000 or 50% of their vested account balance. Loan terms range from one year to five years or for a reasonable term exceeding five years where the loan is used for the purchase of a primary residence. The loans are collateralized by the balance in the participant's account and bear interest at a rate determined by the Plan sponsor. Interest rates range from 4% to 8.25% at December 31, 2012. Principal and interest is paid ratably through monthly payroll deductions.

Plan Expenses

Administrative expenses include certain trustee fees and other investment-related expenses which are paid by the Plan. Other plan expenses such as legal fees and audit fees are paid by the Plan sponsor.

NOTE B--SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting
The financial statements of the Plan are prepared under the accrual method of accounting.

Investment contracts held by a defined-contribution plan are required to be reported at fair value. However, contract value is the relevant measurement attribute for that portion of the net assets available for benefits of a defined-contribution plan attributable to fully benefit-responsive investment contracts because contract value is the amount participants would receive if they were to initiate permitted transactions under the terms of the Plan. The statement of net assets available for benefits presents the fair value of the investment contracts as well as the adjustment of the fully benefit-responsive investment contracts from fair value to contract value. The statement of changes in net assets available for benefits is prepared on a contract value basis.

Use of Estimates
The preparation of financial statements in conformity with accounting principles generally accepted in the United States requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein. Actual results could differ from those estimates.

Investment Valuation and Income Recognition
The Plan's investments are stated at fair value. Shares of registered investment companies are valued at quoted market prices, which represent the net asset value of shares held by the Plan at year-end. Participant loans are valued at cost, which approximates fair value.

Purchases and sales of investments are recorded on a trade-date basis. Interest income is accrued when earned. Dividend income is recorded on the ex-dividend date.

The Plan presents in the statement of changes in net assets available for benefits the net appreciation (depreciation) in the fair value of its investments which consists of the realized gains or losses (computed using an average cost) and the unrealized appreciation (depreciation) on those investments.

Payment of Benefits
Benefits are recorded when paid.

NOTE B--SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES--Continued

Notes Receivable from Participants

Notes receivable from participants are measured at their unpaid balance plus any accrued but unpaid interest. Delinquent participant loans are reclassified as distributions based on the terms of the Plan document.

Subsequent Events

In accordance with generally accepted accounting principles, the Plan has evaluated for subsequent events between the statement of net assets available for benefits date of December 31, 2012 and October 3, 2013, the date the financial statements were available to be issued.

NOTE C--FAIR VALUE MEASUREMENTS

The Plan's investments are reported at fair value in the accompanying statement of net assets available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted market prices for identical assets, and Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 inputs were available to the Plan, and Level 3 inputs were only used when Level 1 or Level 2 inputs were not available.

Level 1 Fair Value Measurements

The fair value of investments held at registered investment companies is based on the quoted net asset values of the investments held by the Plan throughout the year.

NOTE C--FAIR VALUE MEASUREMENTS--Continued

Level 3 Fair Value Measurements

The common collective funds are not actively traded and significant other observable inputs are not available. Thus, the fair value of common collective funds is determined by discounting the related cash flows based on current yields of similar instruments with comparable durations.

The Plan's investments are reported at fair value in the accompanying statements of net assets available for benefits.

		Fair Value Measurements Using:	
	Fair Value	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Unobservable Inputs (Level 3)
December 31, 2012			
Registered investment companies	$ 13,604,425	$ 13,604,425	$ -
	$ 13,604,425	$ 13,604,425	$ -
December 31, 2011			
Registered investment companies	$ 10,854,252	$ 10,854,252	$ -
Common collective funds	524,768	-	524,768
	$ 11,379,020	$ 10,854,252	$ 524,768

NOTE C--FAIR VALUE MEASUREMENTS--Continued

The following table provides further details of the Level 3 fair value measurements.

Common Collective Funds	December 31, 2012	December 31, 2011
Beginning balance	$ 524,768	$ 606,553
Total gains or losses included in changes in net assets available for benefits	-	-
Purchases, sales, and settlements:		
Purchases	103,136	12,807
Sales	(627,904)	(100,002)
Settlements	-	5,410
Ending balance	$ -	$ 524,768

NOTE D--INVESTMENTS

The following presents investments that represent 5% or more of the Plan's net assets:

	December 31, 2012	December 31, 2011
Registered investment companies:		
Vanguard Balanced Index Signal	$ 2,587,948	*
Vanguard Total Bond Market Index Signal	2,449,444	*
iShares S&P 500 Index Fund ETF	1,494,294	*
iShares S&P 500 Growth ETF	1,106,391	*
Vanguard Total Intl Stock Index Signal	962,242	*
Morley Stable Value Fund Fee Class 125	932,297	*
Vanguard Intrmd-Term Govt Bond Signal	899,155	*
Vanguard Equity Income Inv	758,512	*

NOTE D--INVESTMENTS--Continued

	December 31, 2012	December 31, 2011
Registered investment companies:		
FA Freedom 2020 Fund – Class A	*	$ 2,008,396
FA Freedom 2030 Fund – Class A	*	1,384,228
FA Strategic Income Fund – Class A	*	1,112,552
FA Freedom 2010 Fund – Class A	*	920,441
FA Freedom 2015 Fund – Class A	*	795,506
FA Freedom 2035 Fund – Class A	*	779,908
FA Freedom 2025 Fund – Class A	*	703,387

* - Not more than 5% of Plan Assets

The investments reported in the December 31, 2012 and 2011 statement of net assets available for benefits, the investment activities included in the statement of changes in net assets available for benefits for the year ended December 31, 2012 and 2011, and the accompanying notes to the financial statements were prepared in part or entirely from information certified by the Trustees in accordance with 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA. The information certified includes total investments of $13,604,425 and $11,379,020 at December 31, 2012 and 2011, respectively, and the related income (loss) of $1,155,401 and $(287,641) for the years ended December 31, 2012 and 2011, respectively.

During 2012 and 2011, the Plan's investments (including investments bought, sold, and held during the year) (depreciated) appreciated in value, as follows:

	2012	2011
Registered investment companies:	$ 932,834	$ (651,049)

NOTE E--PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

NOTE F--TAX STATUS

The Internal Revenue Service has determined and informed the Company by a letter dated October 5, 2001, that the Plan and related trust are designed in accordance with applicable sections of the IRC. Although the Plan has been amended since receiving the determination letter, the Plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC and therefore believes that the Plan is qualified and the related trust is tax-exempt.

The Plan is subject to routine audits by federal taxing authorities; however, there are currently no audits for any tax periods in progress. The Plan Administrator believes it is no longer subject to tax examinations for years prior to 2009.

NOTE G--RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statements of net assets available for benefits.

NOTE H--RELATED PARTY TRANSACTIONS

The Plan invested in shares of mutual funds managed by Fidelity. Fidelity Management Trust Company was the trustee and custodian of the Plan and Fidelity Investment Institutional Operations Company was the record keeper of the Plan through August 14, 2012. Transactions in such investments qualify as party-in-interest transactions which are exempt from the prohibited transaction rules.

NOTE I--RECONCILIATION OF FINANCIAL STATEMENTS TO FORM 5500

The following is a reconciliation of net assets available for benefits per the financial statements to IRS Form 5500 at December 31:

	2012	2011
Net assets available for benefits, per the financial statements	$ 13,969,337	$ 11,545,630
Add: adjustment from contract value to fair value for fully benefit-responsive investment contracts	-	16,753
Less: contribution receivable at December 31, 2012	-	(30,840)
Net assets available for benefits, per Form 5500	$ 13,969,337	$ 11,531,543

The following is a reconciliation of the change in net assets available for benefits per the financial statements to the net income per IRS Form 5500 for the year ended December 31, 2012:

Change in net assets available for benefits, per the financial statements	$ 2,423,707
Add: contribution receivable at December 31, 2011	30,840
Less: adjustment from contract value to fair value for fully benefit-responsive investment contracts	(16,753)
Net income, per Form 5500	$ 2,437,794

SUPPLEMENTAL SCHEDULES

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Supplemental Schedule--Schedule H, Line 4i - Schedule of Assets (Held at End of Year)

EIN #14-1777794 Plan #001

December 31, 2012

(a)	(b) Identity of Issue, Borrower, Lessor, or Similar Party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost[1]	(e) Current Value
*	Ascensus	Vanguard Balanced Index Signal		$ 2,587,948
*	Ascensus	Vanguard Total Bond Market Index Signal		2,449,444
*	Ascensus	iShares S&P 500 Index Fund ETF		1,494,294
*	Ascensus	iShares S&P 500 Growth ETF		1,106,391
*	Ascensus	Vanguard Total Intl Stock Index Signal		962,242
*	Ascensus	Morley Stable Value Fund Fee Class 125		932,297
*	Ascensus	Vanguard Intrmd-Term Govt Bond Signal		899,155
*	Ascensus	Vanguard Equity Income Inv		758,512
*	Ascensus	BlackRock High Yield Bond Port BlackRock		423,571
*	Ascensus	Vanguard Materials ETF		318,396
*	Ascensus	iShares S&P Mid-Cap 400 Growth ETF		315,347
*	Ascensus	iShares S&P Mid-Cap 400 Index ETF		257,745
*	Ascensus	iShares S&P Mid-Cap 400 Value ETF		201,837
*	Ascensus	Vanguard MSCI Emerging Markets ETF		199,615
*	Ascensus	Vanguard REIT ETF		149,422
*	Ascensus	iShares S&P Small-Cap 600 Index ETF		143,646
*	Ascensus	SPDR S&P 600 Small-Cap Value ETF		133,906
*	Ascensus	Templeton Global Bond Adv		120,934
*	Ascensus	iShares S&P Small-Cap 600 Growth ETF		118,555
*	Ascensus	American Funds New Perspective R6		31,168
				13,604,425
*	Participant loans	4% to 8.25%, maturities through October 2021	$ -	249,269
				$ 13,853,694

[1] Column (d) has been omitted as the Plan is 100% participant directed.

* Represents party-in-interest to the Plan.

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Supplemental Schedule--Schedule H, Line 4j - Schedule of Reportable Transactions

EIN #14-1777794 Plan #001

December 31, 2012

(a) Identity of Party Involved	(b) Description of Asset (including interest rate and maturity in case of loan)	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current Value of Asset on Transaction Date	(i) Net gain or (loss)
Ascensus	Vanguard Balance Index Signal	2,390,796				2,390,796	2,390,796	
Ascensus	Vanguard Total Bond Market Index Signal	2,335,593				2,335,593	2,335,593	
Fidelity	FA Freedom 2020	2,032,023	2,189,877				2,189,877	157,854
Fidelity	FA Freedom 2030	1,448,109	1,571,467				1,571,467	123,358
Ascensus	iShares S&P 500 Index Fund ETF	1,415,183				1,415,183	1,415,183	
Ascensus	iShares S&P 500 Growth ETF	1,052,572				1,052,572	1,052,572	
Fidelity	FA Freedom 2010	953,114	1,017,523				1,017,523	64,409
Fidelity	FA Strat Income A	941,467	1,007,077				1,007,077	65,610
Ascensus	Morley Stable Value Fund Fee Class 125	914,744				914,744	914,744	
Fidelity	FA Freedom 2015	832,081	887,738				887,738	55,657
Ascensus	Vanguard Intrmd-Term Govt Bond Signal	857,834				857,834	857,834	
Ascensus	Vanguard Total Intl Stock Index Signal	856,485				856,485	856,485	
Fidelity	FA Freedom 2035	759,264	834,215				834,215	74,951
Ascensus	Vanguard Equity Income	722,215				722,215	722,215	
Fidelity	FA Freedom 2025	603,653	659,812				659,812	56,159
Fidelity	EV Div Builder	584,781	628,585				628,585	43,804
Fidelity	FA Stable Value	606,734	610,900				610,900	4,166
Fidelity	FA New Insights	491,383	548,153				548,153	56,770
Fidelity	FA Freedom 2040	477,590	518,402				518,402	40,812
Ascensus	BlackRock High Yield Bond Port BlackRock	398,078				398,078	398,078	
Fidelity	Calvert Income	323,533	340,265				340,265	16,732
Ascensus	Vanguard Materials ETF	286,037				286,037	286,037	
Ascensus	iShares S&P Mid-Cap 400 Growth ETF	284,583				284,583	284,583	
Fidelity	Col Acorn Intl	247,710	271,001				271,001	23,291
Fidelity	FA Freedom 2045	232,918	253,868				253,868	20,950
Ascensus	iShares S&P Mid-Cap 400 Index ETF	236,650				236,650	236,650	
Fidelity	FA Freedom 2005	185,950	196,461				196,461	10,511
Ascensus	iShares S&P Mid-Cap 400 Value ETF	187,702				187,702	187,702	
Ascensus	Vanguard MSCI Emerg Markets ETF	177,514				177,514	177,514	
Ascensus	Vanguard REIT ETF	145,690				145,690	145,690	
Ascensus	iShares S&P Small-Cap 600 Index ETF	135,707				135,707	135,707	
Fidelity	FA Emerg Market	128,697	135,049				135,049	6,352
Ascensus	SPDR S&P 600 Small-Cap Value ETF	127,804				127,804	127,804	
Fidelity	FA Energy	115,232	118,641				118,641	3,409
Fidelity	Columbia Acorn	110,415	116,799				116,799	6,384

NORTHEAST ORTHOPAEDICS LLP 401(K) PROFIT SHARING PLAN

Supplemental Schedule--Schedule H, Line 4j - Schedule of Reportable Transactions

EIN #14-1777794 Plan #001

December 31, 2012

(a) Identity of Party Involved	(b) Description of Asset (including interest rate and maturity in case of loan)	(c) Purchase Price	(d) Selling Price	(e) Lease Rental	(f) Expense incurred with Transaction	(g) Cost of Asset	(h) Current Value of Asset on Transaction Date	(i) Net gain or (loss)
Ascensus	iShares S&P Small-Cap 600 Growth ETF	113,707				113,707	113,707	
Ascensus	Templeton Global Bond Adv	103,502				103,502	103,502	
Fidelity	FA Lev Co Stock	87,233	98,636				98,636	11,403
Fidelity	FA Mid Cap II	73,082	78,162				78,162	5,080
Fidelity	FA Freedom 2050	47,843	51,696				51,696	3,853
Fidelity	Eaton Large Cap	41,439	42,945				42,945	1,506
Fidelity	Opphmr Smmidcap	41,336	40,772				40,772	(564)
Fidelity	FA Real Estate	30,451	33,083				33,083	2,632
Fidelity	FA Utilities	31,149	30,681				30,681	(468)
Ascensus	American Funds New Perspective R6	29,291				29,291	29,291	
Fidelity	FA Small Cap	31,237	28,600				28,600	(2,637)
Fidelity	FA Financial	12,424	15,061				15,061	2,637
Fidelity	FA Intl Discovery	13,991	14,562				14,562	571
Fidelity	Col Mar 21st Cent A	12,634	13,607				13,607	973
Fidelity	FA Industrials	12,753	12,703				12,703	(50)
Fidelity	FA Health Care	11,183	12,698				12,698	1,515
Fidelity	FA Freedom Inc	4,114	4,249				4,249	135

Schedule H, line 4i
Schedule of Assets (Held At End of Year)

For the plan year beginning **01/01/2012** and ending **12/31/2012**

Name of plan
Northeast Orthopaedics LLP 401(k) Profit Sharing Plan

Employer Identification Number
14-1777794

Three-digit plan number ► **001**

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest, collateral, par, or maturity value	(d) Cost	(e) Current value
*	Ascensus	Vanguard Balanced Index		2,587,948
*	Ascensus	Vanguard Total Intl Stock		962,242
*	Ascensus	Vanguard Intrmd Term Govt		899,154
*	Ascensus	Vanguard Total Bond Mkt		2,449,444
*	Ascensus	iShares S&P 500 Index Fd		1,494,294
*	Ascensus	iShares S&P 500 Growth Index		1,106,391
*	Ascensus	Vanguard Eqty Income Inv		758,512
*	Ascensus	iShares S&P MidCap 400 Gr		315,347
*	Ascensus	iShares S&P MidCap 400 Value		201,837
*	Ascensus	Templeton Global Bond Adv		120,935
*	Ascensus	iShares S&P SmallCap 600 Index		143,646
*	Ascensus	iShares S&P SmallCap 600 Gr		118,555
*	Ascensus	American Funds New Perspective		31,168
*	Ascensus	Morley Stable Value Fund		932,297
*	Ascensus	BlackRock High Yield Bond		423,571
*	Ascensus	SPDR S&P 600 Small Cap		133,906
*	Ascensus	Vanguard MSCI Emerging		199,615
*	Ascensus	Vanguard Materials		318,396
*	Ascensus	Vanguard REIT Index		149,422
*	Ascensus	iShares S&P MidCap 400 Index		257,745
	Participant Loans	4%-8.25%,maturities Oct 2021		250,410

Schedule H, line 4j
Schedule of Reportable Transactions.

Name of Employer: **Northeast Orthopaedics LLP**

Employer identification number: **14-1777794**

Plan Year **01/01/2012** to **12/31/2012**

Three-digit plan number ▶ **001**

(a) Identity of party involved	(b) Description of asset (include interest rate and maturity in case of a loan)	(c) Purchase Price	(d) Selling price	(e) Lease rental	(f) Expense incurred with transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
Fidelity	Calvert Income	323533	340265				340265	16732
Fidelity	Col Mar 21st Cent A	12634	13607				13607	973
Fidelity	Col Acorn Intl	247710	271001				271001	23291
Fidelity	Columbia Acorn	110415	116799				116799	6384
Fidelity	Eaton Large Cap	41439	42945				42945	1506
Fidelity	Opphmr SMMIDCAP	41336	40772				40772	564
Fidelity	EV Div Builder	584781	628585				628585	43804
Fidelity	FA LEV Co Stock	87233	98636				98636	11403
Fidelity	FA Health Care	11183	12698				12698	1515
Fidelity	FA Financial	12424	15061				15061	2637
Fidelity	FA Industrials	12753	12703				12703	-50
Fidelity	FA Utilities	31149	30681				30681	-468
Fidelity	FA Energy	115232	118641				118641	3409
Fidelity	FA Strat Income A	941467	1007077				1007077	65610
Fidelity	FA Small Cap	31237	28600				28600	-2637
Fidelity	FA Stable Fund	606734	610900				610900	4166
Fidelity	FA Real Estate	30451	33083				33083	2632
Fidelity	FA Freedom 2010	953114	1017523				1017523	64409
Fidelity	FA Freedom 2020	2032023	2189877				2189877	157854
Fidelity	FA Freedom 2030	1448109	1571467				1571467	123358
Fidelity	FA Freedom 2040	477590	518402				518403	40812
Fidelity	FA Freedom Inc	4114	4249				4249	135
Fidelity	FA New Insights	491383	548153				548153	56770
Fidelity	FA Emerg Market	128697	135049				135049	6352
Fidelity	FA Freedom 2005	185950	196461				196461	10511
Fidelity	FA Freedom 2015	832081	887738				887738	55657
Fidelity	FA Freedom 2025	603653	659812				659812	56159
Fidelity	FA Freedom 2035	759264	834215				834215	74951
Fidelity	FA Mid Cap II	73082	78162				78162	5080
Fidelity	FA Intl Discovery	13991	14562				14562	571
Fidelity	FA Freedom 2045	232918	253868				253868	20950
Fidelity	FA Freedom 2050	47843	51696				51696	3853

Schedule H, line 4j
Schedule of Reportable Transactions.

Name of Employer: **Northeast Orthopaedics LLP**

Employer identification number: **14-1777794**

Plan Year **01/01/2012** to **12/31/2012**

Three-digit plan number ▶ **001**

(a) Identity of party involved	(b) Description of asset (include interest rate and maturity in case of a loan)	(c) Purchase Price	(d) Selling price	(e) Lease rental	(f) Expense incurred with transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
Fielity	FA Gov Inc	51439	52360				52361	921
Fidelity	FA Cons Staples	13624	14343				14344	719
Fidelity	FA Gold	303036	257758				257758	-45278
Fidelity	FA Materials	87834	95291				95291	7457
Fidelity	FA Total Intl	84842	85475				85475	633
Fidelity	FA Freedom 2055	20	21				21	1

Schedule H, line 4j
Schedule of Reportable Transactions.

Name of Employer: **Northeast Orthopaedics LLP**

Employer identification number: **14-1777794**

Plan Year **01/01/2012** to **12/31/2012**

Three-digit plan number ▶ **001**

(a) Identity of party involved	(b) Description of asset (include interest rate and maturity in case of a loan)	(c) Purchase Price	(d) Selling price	(e) Lease rental	(f) Expense incurred with transaction	(g) Cost of asset	(h) Current value of asset on transaction date	(i) Net gain or (loss)
Ascensus	Vanguard Balance Index Signal	2390796				2390796	2390796	
Ascensus	Vanguard Total Itl Stk Index	856485				856485	856485	
Ascensus	Vanguard Intmd Term Gov Bond	857834				857834	857834	
Ascenss	Vanguard Total Bond Mkt	2335593				2335593	2335593	
Ascensus	iShares S&P 500 Index Fund	1415183				1415183	1415183	
Ascensus	iShares S&P 500 Gr. Index	1052572				1052572	1052572	
Ascensus	Vanguard Equity Income	722215				722215	722215	
Ascensus	iShares S&P Midcap 400 Index	236650				236650	236650	
Ascensus	iShares S&P Midcap 400 Growth	284583				284583	284583	
Ascensus	iShares S&P Midcap 400 Value	187702				187702	187702	
Ascensus	Templeton Globla Bond Adv	103502				103502	103502	
Ascensus	iShares S&P Smallcap 600 Ind	135707				135707	135707	
Ascensus	iShares S&P Smallcap 600 Gr	113707				113707	113707	
Ascensus	American Fds New Perspective	29291				29291	29291	
Ascensus	Morley Stable Value Fd Fee	914744				914744	914744	
Ascensus	BlackRock High Yield Bond	398078				398078	398078	
Ascensus	SPDR S&P 600 Small Cap Value	127804				127804	127804	
Ascensus	Vanguard MSCI Emerg Mkts	177514				177514	177514	
Ascensus	Vanguard Materials ETF	286037				286037	286037	
Ascensus	Vanguard REIT Index EFT	145690				145690	145690	