Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

	rt I	Annual Report Identification Infor	mation							
For c	calenda	r plan year 2012 or fiscal plan year beginning	01/01/2012		and ending 1	2/31/2	2012			
A T	This ret	urn/report is for:	an a mu	ultiple-employer pl	an (not multiemployer)		a one-particip	oant plan		
Вт	This retu	urn/report is: the first return/report	the f	final return/report						
		an amended return/r	eport a sho	ort plan year returi	n/report (less than 12 m	onths)	1			
C (Check b	ox if filing under: X Form 5558	auto	matic extension			DFVC progra	ım		
		special extension (er	nter description)			_				
Pa	rt II	Basic Plan Information—enter all requ	uested information							
1a Name of plan							Three-digit			
ASSO	CIATE	D FAMILY DENTAL CARE 401(K) PLAN					plan number (PN)	001		
						10	Effective date o			
							12/31/1980			
		onsor's name and address; include room or su ESS DMD, PSC	ite number (emplo	yer, if for a single-	employer plan)	2b Employer Identification Number (EIN) 61-1287653				
						2c	C Sponsor's telephone number			
2134 I LEXIN	NICHO NGTON	LASVILLE ROAD, STE. 7 , KY 40503-2521				2d		see instructions)		
							621210			
3a	Plan ad	Iministrator's name and address XSame as Pl	an Sponsor Name	Same as Plar	Sponsor Address	3b	EIN			
						3с	Administrator's	telephone number		
								·		
4	If the n	ame and/or EIN of the plan sponsor has chang	ed since the last re	eturn/report filed fo	or this plan, enter the	4b EIN 61-1283693				
		EIN, and the plan number from the last return/		starry roport mod re	or time plant, enter the	75	4b EIN 61-1283693			
		r's nameBILLY FORBESS DMD, PSC				4c	PN	001		
5a	Total n	umber of participants at the beginning of the pl	an year			5a				
		Total number of participants at the end of the plan year						14		
С		er of participants with account balances as of the this item)				5c		14		
6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)							X Yes No			
b		u claiming a waiver of the annual examination a 29 CFR 2520.104-46? (See instructions on wai						X Yes No		
		answered "No" to either line 6a or line 6b, tl						M 100 110		
		penalty for the late or incomplete filing of the								
		Ities of perjury and other penalties set forth in t						able, a Schedule		
		dule MB completed and signed by an enrolled a rue, correct, and complete.	actuary, as well as	the electronic ver	sion of this return/report	, and	to the best of my	knowledge and		
SIGN	N	Filed with authorized/valid electronic signature.	-	10/14/2013	BILLY FORBESS	LLY FORBESS				
HER	E	Signature of plan administrator	ı	Date	Enter name of individ	er name of individual sig		al signing as plan administrator		
SIGN	SN .	Filed with authorized/valid electronic signature		10/14/2013	BILLY FORBESS					
HER		Signature of employer/plan sponsor		Date	Enter name of individu		dual signing as employer or plan sponsor			
Preparer's					Preparer's telephone number (optional)					

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Part III Financial Information											
<u>га</u>	Plan Assets and Liabilities		(a) Beginning of Vec				(b) End	of V			
'		7-	(a) Beginning of Yea		(b) End of Yea					14	
_ <u>a</u>	·								99130	0	
	Total plan liabilities	7b	169429	0							
	,	·		1684284			1991301				
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b)	Γotal			
	(1) Employers	8a(1)	7726	1							
	(2) Participants	8a(2)	6452	.4							
	(3) Others (including rollovers)	8a(3)		0							
b	Other income (loss)	8b	17245	8							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							31424	3	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	nefits paid (including direct rollovers and insurance premiums		1822							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	8f	540	4							
g	Other expenses	8g		0							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							722	26	
i	Net income (loss) (subtract line 8h from line 8c)	8i					307017				
j	Transfers to (from) the plan (see instructions)	8j		0							
Pa	rt IV Plan Characteristics										
9a											
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cteristi	c Cod	les in t	he instruc	ions			
Par	t V Compliance Questions										
10					Yes	No		A			
a	During the plan year: Was there a failure to transmit to the plan any participant contribution.	tions within	n the time period described in		162	NO		AM	ount		
· ·	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X					•
	Was the plan covered by a fidelity bond?			10c	X					200	0000
C		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X				200	<u>7000</u>
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d							
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See			_					
	instructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the plan?					X					
Q	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Par	VI Pension Funding Compliance										
11											
11:	Enter the amount from Schedule SB line 39										
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
12	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b Enter the minimum required contribution for this plan year											
							•				

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	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No					
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)				
Part	VIII Trust Information (optional)							
	Name of trust	14b ⊤	rust's EIN					