Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Pension E	Benefit Guaranty Corporation	► Complete all entries in accord	dance with the instru	ctions to the Form 55	00-SF.	Ins	spection		
Part I	Annual Report lo	dentification Information				_ !			
For calend	dar plan year 2012 or fisc		2	and ending	12/31/2	2012			
A This re	eturn/report is for:	a single-employer plan	a multiple-employer p	olan (not multiemployer)	er) a one-participant plan				
B This re	eturn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	rn/report (less than 12 n	nonths))			
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	ım		
	•	special extension (enter description	on)			_			
Part II	Basic Plan Infor	mation—enter all requested inform	ation						
1a Name		The street an requested when the	dion		1b	Three-digit			
		RATION 401K PROFIT SHARING PL	AN & TRU			plan number			
						(PN) •	001		
					1c	Effective date of 01/01/	•		
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) SUPERIOR JAMESTOWN CORP.				2b	Employer Identif				
					20	(=114)			
154 FAIRM					2C	Sponsor's telep 716-483			
JAMESTO\	VN, NY 14702				2d	Business code (
3a Plan a	administrator's name and	l address XSame as Plan Sponsor N	Name Same as Pla	n Sponsor Address	3b	Administrator's I			
					30	Administrator's t	telephone number		
						Administrator 5	telephone number		
4 If the	name and/or EIN of the I	plan sponsor has changed since the l	last return/report filed f	or this plan, enter the	4b	EIN			
	•	ber from the last return/report.							
	sor's name				4c	PN			
5a Total	number of participants a	t the beginning of the plan year			· 5a	ı			
b Total	number of participants a	t the end of the plan year			. 5b	ib			
		ccount balances as of the end of the	• • •	•	. 5c 3				
6a Were	e all of the plan's assets	during the plan year invested in eligib	ole assets? (See instru-	ctions.)			X Yes No		
,	9	he annual examination and report of		ed public accountant (IC	QPA)				
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
If yo	u answered "No" to eith	ner line 6a or line 6b, the plan cann	ot use Form 5500-SF	and must instead use	e Form	5500.			
Caution:	A penalty for the late or	r incomplete filing of this return/rep	port will be assessed	unless reasonable ca	use is	established.			
		er penalties set forth in the instruction I signed by an enrolled actuary, as we							
	true, correct, and complete		ell as the electronic ve	rsion of this return/repo	π, and	to the best of my	knowledge and		
				_					
SIGN HERE		alid electronic signature.	10/14/2013	BEVIN CONNELL					
	Signature of plan ad	ministrator	Date	Enter name of individual signing as plan administration			ninistrator		
SIGN									
HERE	Signature of employer/plan sponsor Date Enter name of in		Enter name of individ	ividual signing as employer or plan sponsor					
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)		Prep	arer's telephone	number (optional)					

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Part III Financial Information The plan research and Liabilities Table The plan research and Liabilities Table Test plan research Test plan researc	Dor	t III. Financial Information		Ŭ							
a Total plan assets		•		· · · · · · · · · · · · · · · · · · ·							
b Total plan liabilities. 7b 7b 212 232				, , , , ,	` '						
C Net plan assets (subtract line 7b from line 7a)		·		21	2			232			
8 Contributions received or receivable from: 9 Contributions received or receivable from: 9 Set Contributions (seel instructions) 9 Set				0.4	0						
a Contributions received or receivable from: (1) Employers			7c								
(1) Employers				(a) Amount				(b) Total			
(2) Participants.			8a(1)								
(3) Others (including rollovers)		• • • •	8a(2)								
b Other income (loss)											
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		· · · · · · · · · · · · · · · · · · ·		2	20						
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). Be Certain deemed and/or corrective distributions (see instructions). Be f Administrative service providers (salaries, [ses, commissions)			8c					20			
f Administrative service providers (salaries, fees, commissions)	d	Benefits paid (including direct rollovers and insurance premiums	8d								
g Other expenses (add lines 8d, 8e, 8f, and 8g)	е	Certain deemed and/or corrective distributions (see instructions)	8e								
h Total expenses (add lines 8d, 8e, 8l, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f								
i Net income (loss) (subtract line 8h from line 8c)	g	Other expenses	8g								
Transfers to (from) the plan (see instructions) 8	h	Total expenses (add lines 8d, 8e, 8f, and 8g)						0			
Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2F 2F 2G 2J 2T 3D b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	i	Net income (loss) (subtract line 8h from line 8c)	8i					20			
9a	j	Transfers to (from) the plan (see instructions)	8i								
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions Yes No Amount	Par	t IV Plan Characteristics				•					
Part V Compliance Questions Yes No Amount	9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:			
10 During the plan year: Yes No Amount	b		eature cod	les from the List of Plan Chara	cteristi	ic Coc	les in t	ne instructions:			
10 During the plan year: Yes No Amount	Part	V Compliance Questions									
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a						Voc	No	Amount			
b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)		Was there a failure to transmit to the plan any participant contributions within the time period described in				100		Amount			
C Was the plan covered by a fidelity bond?	b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported					X				
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		· · · · · · · · · · · · · · · · · · ·				X					
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)								1000			
insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)		or dishonesty?					X				
f Has the plan failed to provide any benefit when due under the plan?	е	insurance service or other organization that provides some or all of the benefits under the plan? (See					X				
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)							Χ				
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)							X				
i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3		If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR									
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the									
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	Dort		1-0		101						
11a Enter the amount from Schedule SB line 39	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form										
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver	11a										
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?									
granting the waiver		(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
		granting the waiver.		Mor	ıth	and e	_				
b Enter the minimum required contribution for this plan year											
	b	b Enter the minimum required contribution for this plan year									

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			1					
С	Enter the amount contributed by the employer to the plan for this plan year.			12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding	ng deadline?				Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?			X	Yes	No)	
	If "Yes," enter the amount of any plan assets that reverted to the employer	this year		13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?						Yes	X No
С	If during this plan year, any assets or liabilities were transferred from this pl which assets or liabilities were transferred. (See instructions.)	lan to another plan(s), identify the p	lan(s) t	0				
13c(1) Name of plan(s):				13c(2) EIN(s) 13			13c(3) PN(s)
Part	VIII Trust Information (optional)	_						
14a Name of trust				14b Trust's EIN				