Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

			Complete all entries in a	accordance with the motivity	ctions to the Form 55	00-31.				
	Part I		Identification Information							
Fo	r calenda	ar plan year 2012 or fis		1/2012	and ending	12/31/2012				
Α	This ret	urn/report is for:	X a single-employer plan ☐		lan (not multiemployer)	a one-partic	ipant plan			
В	This ret	urn/report is:	the first return/report	the final return/report						
			x an amended return/report	a short plan year return	n/report (less than 12 n	months)				
С	Check b	oox if filing under:	Form 5558	X automatic extension		X DFVC progr	ram			
special extension (enter description)										
Р	art II	Basic Plan Info	rmation—enter all requested in	nformation						
1a	Name	of plan				1b Three-digit				
BEL	INDA A.	. MARQUIS MD PC 401(K) PROFIT SHARING PLAN				plan number	001			
						(PN) 1c Effective date				
							1/2010			
		consor's name and address; include room or suite number (employer, if for a single-employer plan)			2b Employer Iden	tification Number				
BEL	INDA A.	DA A. MARQUIS M.D., PC				(E114)	663955			
						2c Sponsor's telephone number 631-643-6006				
		GHT PATH CH, NY 11798				2d Business code				
		,				6241				
3a	Plan ad	dministrator's name an	nd address XSame as Plan Spor	nsor Name Same as Plar	n Sponsor Address	3b Administrator's	EIN			
						3c Administrator's	telephone number			
4	If the n	name and/or EIN of the	e plan sponsor has changed since	e the last return/report filed for	or this plan, enter the	4b EIN				
_		•	mber from the last return/report.							
_	•	Sponsor's name				4c PN				
		Total number of participants at the beginning of the plan year				5a				
r -		Total number of participants at the end of the plan year				5b	7			
C		Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					4			
complete this item)						X Yes No				
b			the annual examination and repo				V vaa □ Na			
			? (See instructions on waiver eliging ther line 6a or line 6b, the plan				X Yes No			
			or incomplete filing of this retuner penalties set forth in the instru				cable a Schedule			
			nd signed by an enrolled actuary,							
be	lief, it is t	rue, correct, and comp	olete.							
SIG	GN	Filed with authorized/	valid electronic signature.	10/14/2013	BELINDA MARQUIS					
HE	RE	Signature of plan administrator Date Enter name of individu		dual signing as plan administrator						
SI	GN					<u> </u>				
HE	RE	Signature of employ	ver/plan sponsor	Date	Enter name of individ	dual signing as employ	er or plan sponsor			
Pr	eparer's		ame, if applicable) and address;				e number (optional)			

Form 5500-SF 2012 Page **2**

Pai	t III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) En	d of Y	ear		
a	Total plan assets	7a		11870			(b) End of Year 19891				
	Total plan liabilities	7b									
	Net plan assets (subtract line 7b from line 7a)	7c	1187	' 0					1989 ⁻	1	
	Income, Expenses, and Transfers for this Plan Year		(a) Amount		1		(b) Total				
	Contributions received or receivable from:		(a) Amount				(10)	Total			
	(1) Employers	8a(1)									
	(2) Participants	8a(2)	634	19							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	179	7							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							8146	6	
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	12	.5							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							12	5	
	Net income (loss) (subtract line 8h from line 8c)	8i							802	1	
	Transfers to (from) the plan (see instructions)	8j									
Par	t IV Plan Characteristics	٠,									
	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
b	2E 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions:			
Par	•					T	1				
10	During the plan year:			1	Yes	No		Am	ount		
a	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu	ıciary Corı	rection Program)	10a		X					
	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					20	000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X					
е	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See	10-		X					
	instructions.)			10e		X	-				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		^					
g			· · · · · · · · · · · · · · · · · · ·	10g	X						672
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	`		10h		X					
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i							
Part	VI Pension Funding Compliance										
11											
11a						11a					
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?					No					
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						_				
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b Enter the minimum required contribution for this plan year											

	Form 5500-SF 2012 Page 3 - 1						
	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	1 3c(2) E	IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
` ` '				14b Trust's EIN			