Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2012

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in ac	cordance with the instru	ctions to the Form 550	0-SF.				
Part I		Identification Information							
For calenda	ar plan year 2012 or fi	scal plan year beginning 01/01/	2012	and ending 1	2/31/2	2012			
	turn/report is for:	a single-employer plan		olan (not multiemployer)		a one-participant plan			
B This ret	turn/report is:	the first return/report	the final return/report						
		x an amended return/report	a short plan year retu	rn/report (less than 12 mg	onths)				
C Check I	box if filing under:	X Form 5558	automatic extension			DFVC program			
		special extension (enter descr	iption)						
Part II	Basic Plan Info	ermation—enter all requested inf	ormation						
1a Name		·			1b	Three-digit			
GEN-X ENERGY GROUP, INC 401 K PLAN						plan number			
						(PN) ▶ 001			
					1c	Effective date of plan			
30 Diame		des es la chada accesa a su la constant			Ol-	07/01/2010			
	ponsor's name and ad RGY GROUP INC	dress; include room or suite numbe	er (employer, if for a single	-employer plan)	20	2b Employer Identification Number (EIN) 20-5765111			
					2c	Sponsor's telephone number			
	EN BLVD STE E					509-547-2447			
	ON M BENAVIDES A 99301-8501				2d	Business code (see instructions) 325900			
3a Plan a	dministrator's name ar	nd address XSame as Plan Spons	or Name Same as Pla	n Sponsor Address	3b	Administrator's EIN			
					3c	Administrator's telephone number			
		e plan sponsor has changed since	the last return/report filed f	or this plan, enter the	4b EIN				
	·	mber from the last return/report.			4.				
Sponsor's name Total number of participants at the beginning of the plan year				4c PN					
					5a	11			
b Total i	number of participants	at the end of the plan year			5b	b 8			
		account balances as of the end of t	• • •	•	5c	8			
6a Were	all of the plan's assets	s during the plan year invested in e	ligible assets? (See instru	ctions.)		X Yes No			
b Are yo	ou claiming a waiver o	f the annual examination and repor	t of an independent qualifi	ed public accountant (IQI	PA)				
		? (See instructions on waiver eligib				- -			
lf you	answered "No" to e	ither line 6a or line 6b, the plan c	annot use Form 5500-SF	and must instead use	Form	5500.			
		or incomplete filing of this returr							
		her penalties set forth in the instruc							
	true, correct, and com	nd signed by an enrolled actuary, a plete.	s well as the electronic ve	rsion of this return/report	, and	to the best of my knowledge and			
	r			<u> </u>					
SIGN	Filed with authorized	valid electronic signature.	10/15/2013	JAIME ADAMS					
HERE	Signature of plan a	dministrator	Date	Enter name of individu	Enter name of individual signing as plan administrator				
SIGN									
HERE	Signature of emplo	wor/plan sponsor	Date	Enter name of individu	ual cia	gning as employer or plan sponsor			
Preparer's		name, if applicable) and address; in				parer's telephone number (optional)			
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	t III Financial Information Plan Assets and Liabilities		(a) Beginning of Ver				(h) End of Voor		
		7-	(a) Beginning of Yea				(b) End of Year		
	Total plan assets Total plan liabilities	7a 7b	10002	100625			127683		
	Net plan assets (subtract line 7b from line 7a)	7b	10063	0			407000		
		76		100625		127683			
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total		
	(1) Employers	8a(1)	2603	26039					
	(2) Participants	8a(2)	3094	19					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	1842	18429					
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					75417		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	4719	95					
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e	106	1064					
f	Administrative service providers (salaries, fees, commissions)	8f	10	00					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					48359		
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i				27058			
j	Transfers to (from) the plan (see instructions)	8j		0					
Par	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:		
Part	V Compliance Questions								
10	•				Yes	No	Amount		
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 					X	Amount		
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X			
				10c	X		05000		
d	, ,			100			25000		
	or dishonesty?			10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all cinstructions.)	of the bene	efits under the plan? (See	10e		X			
f	Has the plan failed to provide any benefit when due under the plan			10f		Х			
						Χ			
g h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X			
i	,								
D1	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i					
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
11a	5500) and line 11a below)								
12									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiverMonth Day Year								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
b Enter the minimum required contribution for this plan year									
							· ·		

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	. Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				