Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

		• •	Complete all entries in a	ccordance	with the instruc	tions to the Form 550	0-SF.					
	rt I		Identification Information									
For	calenda	ar plan year 2012 or fis		/2012		and ending (06/14/2	2013				
A	This ret	urn/report is for:	X a single-employer plan	a mult	tiple-employer pla	an (not multiemployer)		a one-partici	pant plan			
B 1	This ret	urn/report is:	the first return/report	X the fin	al return/report							
			an amended return/report	a shor	t plan year return	/report (less than 12 m	onths))				
C	Check b	oox if filing under:	Form 5558				DFVC program					
		ox ii iiii ig ariaoii	special extension (enter desc	cription)			braðismi					
Pa	rt II	Rasic Plan Info	rmation—enter all requested in									
			imation—enter all requested in	iioiiialioii			1h	Three-digit				
	1a Name of plan THOMAS A. ERICKSON, D.M.D., P.S. PROFIT SHARING PLAN						plan number					
								(PN) •	002			
							1c	Effective date o	•			
0-		 					01	01/01				
Za THO	Plan sp MAS A.	onsor's name and add ERICKSON, D.M.D.,	dress; include room or suite numb P.S.	er (employe	er, if for a single-	employer plan)	2b	fication Number 44717				
		,					20					
21E N	1 \\\/ 70'	TU CT					2c Sponsor's telephone number 360-693-2577					
	I.W. 78 COUVE	R, WA 98665-7972					2d	(see instructions)				
								6212				
3a	Plan ad	dministrator's name an	nd address XSame as Plan Spon	sor Name	Same as Plan	Sponsor Address	3b	EIN				
			_		_		_					
							3C	Administrator's	telephone number			
4	4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the						4b EIN					
			mber from the last return/report.		·		10 2.11					
a Sponsor's name							4c PN					
5a Total number of participants at the beginning of the plan year						5a						
b Total number of participants at the end of the plan year						5b	b					
 Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)								C				
		•		-	•	,			X Yes No			
b			the annual examination and report (See instructions on waiver eligit						X Yes No			
			ther line 6a or line 6b, the plan									
Cau			or incomplete filing of this retur									
			ner penalties set forth in the instru						able, a Schedule			
			nd signed by an enrolled actuary,	as well as th	ne electronic vers	sion of this return/report	t, and	to the best of my	knowledge and			
belle	et, it is t	rue, correct, and comp	Diete.									
SIGN HERE		Filed with authorized/	valid electronic signature.	10	0/18/2013	THOMAS A ERICKSO	ERICKSON					
		Signature of plan a	dministrator	Da	ate	Enter name of individ	ninistrator					
CIC		Signature of plan administrator			ato	Enter name of marvia	uui oig	griirig do pidir ddi	imiotrator			
SIG												
		Signature of employer/plan sponsor Date Enter name of individual name (including firm name, if applicable) and address; include room or suite number (optional)				dual signing as employer or plan sponsor Preparer's telephone number (optional)						
rieparer's		s name (including limi name, il applicable) and address; include room or suite number (optional)				1 16	arer s telepriorie	namber (optional)				

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Year			(b) End of Year					
a	Total plan assets	7a	104422			0					
b	Total plan liabilities	7b									
С	Net plan assets (subtract line 7b from line 7a)	7c	10442	104422)	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	(a) Amount			(b) Total				
а	Contributions received or receivable from:		,								
	(1) Employers	8a(1)									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
	Other income (loss)	8b	526								
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					5269				
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10969	109691							
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							10969	1	
i_	Net income (loss) (subtract line 8h from line 8c)	8i						-	10442	2	
<u>j</u>	Transfers to (from) the plan (see instructions)	8j									
Pai	rt IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J	feature co	des from the List of Plan Char	acteris	tic Code	es in	the instr	uctions	S:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristi	c Codes	in t	he instru	ctions:			
Par	t V Compliance Questions										
10	<u> </u>					No		Λm	ount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in					X		AIII	ount		
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X					
	on line 10a.)					X					
d											
	or dishonesty?					X					
е	e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance service or other organization that provides some or all of the benefits under the			40-		X					
	instructions.)			10e 10f		X					
f											
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X					
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3										
Part	VI Pension Funding Compliance										
11	1 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form										
11a	5500) and line 11a below)				11		<u> </u>	··			
12							X No				
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							ling			
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
	Enter the minimum required contribution for this plan year				12	2b					
		-		_				_			

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Enter the amount contributed by the employer to the plan for this plan year	12c							
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
VII Plan Terminations and Transfers of Assets								
Has a resolution to terminate the plan been adopted in any plan year?	X	'es No						
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the confidence of the PBGC?	ontrol	rol X Yes						
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
3c(1) Name of plan(s):	3 c(2) El	N(s)	13c(3) PN(s)					
VIII Trust Information (optional)			<u> </u>					
	Nill the minimum funding amount reported on line 12d be met by the funding deadline?	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year				

14b Trust's EIN

14a Name of trust