## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

			/ Complete an entires in a	ccordance with the instruc	cions to the Form 550	U-3F.				
P	art I	Annual Report	Identification Information	า						
For	calenda	ar plan year 2012 or fis	scal plan year beginning 01/01	1/2013	and ending 0	06/14/2	2013			
Α	This ret	urn/report is for:	X a single-employer plan	a multiple-employer pla	an (not multiemployer)		a one-participant plan			
В	This retu	urn/report is:	rn/report is:							
			x an amended return/report	X a short plan year return	n/report (less than 12 mo	onths)				
C Check box if filling under:						DFVC progra	am			
			special extension (enter desc	, ,						
Pa	art II	Basic Plan Info	rmation—enter all requested in	nformation		1		T		
	Name of	•				1b	Three-digit			
THOI	MAS A.	ERICKSON, D.M.D., F	.S. PROFIT SHARING PLAN			plan number	000			
							(PN)	002		
							1c Effective date of plan 01/01/1988			
<b>2a</b> Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) THOMAS A. ERICKSON, D.M.D., P.S.						<b>2b</b> Employer Identification Number (EIN) 91-1144717				
245 1	VI VV - <b>7</b> 0'	ти ет				<b>2c</b> Sponsor's telephone number 360-693-2577				
215 N.W. 78TH ST. VANCOUVER, WA 98665-7972						2d	d Business code (see instructions) 621210			
3a Plan administrator's name and address X Same as Plan Sponsor Name Same as Plan Sponsor Address						3b Administrator's EIN				
						30	Administrator's	talanhana numbar		
						30	Auministrator 5 i	telephone number		
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the			r this plan, enter the	4b EIN					
_	name,	EIN, and the plan nun	mber from the last return/report.							
	a Sponsor's name					4c PN				
			at the beginning of the plan year.				5a			
D	<b>b</b> Total number of participants at the end of the plan year			5b		0				
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						5c		0		
6a			s during the plan year invested in					X Yes No		
b			the annual examination and repo					₩ v □ v.		
			? (See instructions on waiver eligil					X Yes   No		
	If you	answered "No" to ei	ther line 6a or line 6b, the plan	cannot use Form 5500-SF	and must instead use	Form	5500.			
Cau	ıtion: A	penalty for the late of	or incomplete filing of this retur	rn/report will be assessed ι	unless reasonable cau	ıse is	established.			
			ner penalties set forth in the instru							
		dule MB completed ar rue, correct, and comp	nd signed by an enrolled actuary, plete.	as well as the electronic vers	sion of this return/report	i, and t	to the best of my	knowledge and		
SIG		Filed with authorized/	valid electronic signature.	10/18/2013	THOMAS A ERICKSON					
HEI	RE	Signature of plan ac	dministrator	Date	Enter name of individual signing as plan administrator					
SIG										
HEI	RE	Signature of employer/plan sponsor Date Enter name of individu			ual signing as employer or plan sponsor					
Preparer's		er's name (including firm name, if applicable) and address; include room or suite number (optional)			Preparer's telephone number (optional)					

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Pa	rt III Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Year			(b) End of Year				
a	Total plan assets	7a	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `	104422			0			
b	Total plan liabilities	7b								
С	Net plan assets (subtract line 7b from line 7a)	7c	10442	104422						)
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount	ount			(b) Total			
а	Contributions received or receivable from:		,							
	(1) Employers	8a(1)								
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
	Other income (loss)	8b	526	5269						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							5269	)
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	10969	1						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							10969	1
i_	Net income (loss) (subtract line 8h from line 8c)	8i						-	10442	2
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J	feature co	des from the List of Plan Char	acteris	tic Code	es in	the instr	uctions	S:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristi	c Codes	in t	he instru	ctions:		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Λm	ount	
a	Was there a failure to transmit to the plan any participant contributions within the time period described in					X		AIII	ount	
b						X				
	on line 10a.)					X				
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd that was caused by fraud	10c						
	or dishonesty?			10d		X				
е	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See	40-		X				
	instructions.)			10e		X				
f				10f						
g				10g		X				
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	•		10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form									
11a	5500) and line 11a below)				11		<u> </u>	··		
12							X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
а	<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver						ling			
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
	Enter the minimum required contribution for this plan year				12	2b				
		-		_				_		

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Enter the amount contributed by the employer to the plan for this plan year	12c							
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
VII Plan Terminations and Transfers of Assets								
Has a resolution to terminate the plan been adopted in any plan year?	X	'es No						
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the confidence of the PBGC?	ontrol	rol X Yes						
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
3c(1) Name of plan(s):	3 <b>c(2)</b> El	N(s)	<b>13c(3)</b> PN(s)					
VIII Trust Information (optional)			<u> </u>					
	Nill the minimum funding amount reported on line 12d be met by the funding deadline?	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year				

14b Trust's EIN

14a Name of trust