## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

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OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

Part I	Annual Report Identification Information								
For calend	lar plan year 2012 or fiscal plan year beginning 04/01/2012	2	and ending 0	3/31/2	2013				
A This re	turn/report is for:	a multiple-employer p	olan (not multiemployer)	er) a one-participant plan					
	turn/report is: the first return/report	the final return/report							
<b>5</b> 1111510		•	n/report (less than 12 mo	onths)	1				
•			Throport (1033 than 12 mit	5111113)					
C Check	Check box if filing under: Form 5558 automatic extension				☐ DFVC program				
	special extension (enter descriptio	,							
Part II	Basic Plan Information—enter all requested information	ation				T			
1a Name of plan NORTHUP'S SERVICE CENTER, INC. PROFIT SHARING PLAN AND TRUST				1b	Three-digit plan number				
					(PN) ▶	001			
				1c	1c Effective date of plan				
					04/01/1994				
	sponsor's name and address; include room or suite number (er	mployer, if for a single	-employer plan)	2b	Employer Identif	fication Number			
NORTHUPS	S SERVICE CENTER, INC.				90685				
				<b>2c</b> Sponsor's telephone number					
P.O. BOX 6					401-783				
WAKEFIELI	D, RI 02880-0614			2d	Business code (	,			
0	🗖	——————————————————————————————————————		01	44710				
<b>3a</b> Plan a	administrator's name and address XSame as Plan Sponsor N	ame Same as Pla	n Sponsor Address	<b>3b</b> Administrator's EIN					
				3c	Administrator's t	telephone number			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the				4b EIN					
name, EIN, and the plan number from the last return/report. <b>a</b> Sponsor's name				<b>4c</b> PN					
	number of participants at the beginning of the plan year			5a		5			
_									
				5b		5			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)			•	5c		5			
	e all of the plan's assets during the plan year invested in eligible				•	X Yes No			
	ou claiming a waiver of the annual examination and report of a	•	,						
	r 29 CFR 2520.104-46? (See instructions on waiver eligibility a					X Yes No			
If you	u answered "No" to either line 6a or line 6b, the plan canno	ot use Form 5500-SF	and must instead use	Form	5500.				
Caution: /	A penalty for the late or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	se is	established.				
	alties of perjury and other penalties set forth in the instructions								
	edule MB completed and signed by an enrolled actuary, as we true, correct, and complete.	ell as the electronic ver	rsion of this return/report	, and	to the best of my	knowledge and			
SIGN	Filed with authorized/valid electronic signature.	10/29/2013	RACHEL E NORTHUF	UP					
HERE	Signature of plan administrator	Date	Enter name of individu	vidual signing as plan administrator					
SIGN HERE	Filed with authorized/valid electronic signature.	10/29/2013	RACHEL E NORTHUF	iP					
	Signature of employer/plan sponsor	Date	Enter name of individu	vidual signing as employer or plan sponsor					
Preparer's	name (including firm name, if applicable) and address; include	e room or suite numbe				number (optional)			
			-						

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Part III Financial Information									
	Plan Assets and Liabilities		(a) Beginning of Yea		-		(b) End of Year		
<u>a</u>	Total plan assets	7a	62273				670475		
<u>b</u>	Total plan liabilities	7b		0				0	
	Net plan assets (subtract line 7b from line 7a)	7c	62273	37				67047	5
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Tot	al	
а	Contributions received or receivable from:  (1) Employers	8a(1)		0					
	(2) Participants	8a(2)		0					
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	5204	13					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						5204	3
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
f	Administrative service providers (salaries, fees, commissions)	8f	430	5					
g	Other expenses	8g		0					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						430	5
i	Net income (loss) (subtract line 8h from line 8c)	8i						4773	8
j	Transfers to (from) the plan (see instructions)	8j		0					
Pa	rt IV Plan Characteristics								
9a									
b									
Par	t V Compliance Questions								
10	During the plan year:				Yes	No	Ι	nount	
a					100	110	A	ilouiit	
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X				168000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		Х			
е	Were any fees or commissions paid to any brokers, agents, or oth								
	insurance service or other organization that provides some or all o			40-		X			
	instructions.)			10e		X			
		Has the plan failed to provide any benefit when due under the plan?							
				10f					
9				10g		X			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)	(See instru	uctions and 29 CFR						
<u> </u>	If this is an individual account plan, was there a blackout period?	(See instru	d notice or one of the	10g		X			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	(See instru	d notice or one of the	10g 10h		X			
h	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	(See instrumente required 1-3	d notice or one of the  Yes," see instructions and com	10g 10h 10i		X X dule SE		Yes	X No
h i Part	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10.  If VI Pension Funding Compliance  Is this a defined benefit plan subject to minimum funding requirem	(See instrume required 1-3	d notice or one of the  Yes," see instructions and com	10g 10h 10i		X X dule SE		Yes	X No
h i Part	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 to the providing Compliance  Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)	(See instrument required 1-3	d notice or one of the  Yes," see instructions and com	10g 10h 10i		X X dule SE		Yes Yes	
h i Part 11	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 to the providing the notice applied under 29 CFR 2520.10 to the providing Compliance  Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)  If Enter the amount from Schedule SB line 39	(See instrume required to see the required to see the required to see the requirement of the requirement requireme	d notice or one of the  Yes," see instructions and coments of section 412 of the Code	10g 10h 10i		X X dule SE			
Part 11 11a 12	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10.  If VI Pension Funding Compliance  Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)  Enter the amount from Schedule SB line 39.	ents? (If "	d notice or one of the  Yes," see instructions and coments of section 412 of the Code able.)  ed in this plan year, see instructions	10g 10h 10i nplete	ction	X X dule SE 11a 302 of	ERISA?	Yes	X No
11 11a 12 a	If this is an individual account plan, was there a blackout period? (2520.101-3.)  If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 to the providing the notice applied under 29 CFR 2520.10 to the providing the notice applied under 29 CFR 2520.10 to the same state of the same state of the same state of the minimum funding requirem 5500) and line 11a below)  If the same state of the minimum funding standard for a prior year is being a same state of the minimum funding standard for a prior year is being standard for a prior y	ents? (If " requirements as applications amortized)	retions and 29 CFR d notice or one of the  Yes," see instructions and coments of section 412 of the Code able.)  ed in this plan year, see instructions and coments of section 412 of the Montage in this plan year, see instructions and 29 CFR	10g 10h 10i nplete	ction	X  X  dule SE  11a  302 of	ERISA?	Yes	X No

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	1 <b>3c(2)</b> E	IN(s)	<b>13c(3)</b> PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	<b>14b</b> ⊤	rust's EIN				