Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2011

This Form is Open to Public Inspection

	Complete all entries in actions	cordance wit	h the instructions to the Form 550	0-SF.			
Pa	art I Annual Report Identification Information						
For	calendar plan year 2011 or fiscal plan year beginning 01/01/	2011	and ending 1	2/31/2	011		
Α.	This return/report is for:	a multiple	e-employer plan (not multiemployer)		a one-particip	ant plan	
	This return/report is: the first return/report	=	eturn/report	l		•	
Ь		H	·				
	x an amended return/report	a short pla	an year return/report (less than 12 mo	onths)	_		
С	Check box if filing under: Form 5558	automatio	extension		DFVC progra	m	
	special extension (enter description)	ription)					
Pa	art II Basic Plan Information—enter all requested inf	ormation					
	Name of plan	omation		1h	Three-digit		
	NDA A. MARQUIS MD PC 401(K) PROFIT SHARING PLAN				plan number		
DELI	NEW TO THE TO TO THE TO THE TO THE				(PN) ▶	001	
				1c	Effective date of	plan	
					01/01/		
2a	Plan sponsor's name and address; include room or suite number	er (employer, it	for a single-employer plan)	2h	Employer Identif	ication Number	
BELI	INDA A. MARQUIS M.D., PC	(- 1 - 3 - 7	3 1 1 3 1 4 7		(EIN) 84-16		
					Sponsor's telepl	none number	
4540	OTD ALCUT DATE				631-643		
	STRAIGHT PATH NDANCH, NY 11798			2d	Business code (see instructions	:)
	,,				62410		7
3a	Plan administrator's name and address (if same as plan sponso	r enter "Same	۵")	3h	Administrator's E	-INI	
		RAIGHT PATH			84-16		
	WYANDA	NCH, NY 117	98	3c	Administrator's t	elephone numb	er
					631-643	-6006	
4	If the name and/or EIN of the plan sponsor has changed since	he last return/	report filed for this plan, enter the	4b	EIN		
	name, EIN, and the plan number from the last return/report.						
	Sponsor's name			4c	PN		
5a	Total number of participants at the beginning of the plan year			5a			4
b	b Total number of participants at the end of the plan year						Ę
С	Number of participants with account balances as of the end of	he plan vear (defined benefit plans do not				
	complete this item)		•	5c			4
6a	Were all of the plan's assets during the plan year invested in e	ligible assets?	(See instructions.)			X Yes	No
b	Are you claiming a waiver of the annual examination and repor	t of an indeper	ndent qualified public accountant (IQI	PA)			
	under 29 CFR 2520.104-46? (See instructions on waiver eligib	lity and condit	ions.)			X Yes	No
	If you answered "No" to either 6a or 6b, the plan cannot us	e Form 5500-	SF and must instead use Form 55	00.			
Pa	rt III Financial Information						
7	Plan Assets and Liabilities		(a) Beginning of Year		(b) End	of Year	
а	Total plan assets	7a	5767			11870	
b	Total plan liabilities	7b					
С	Net plan assets (subtract line 7b from line 7a)		5767			11870	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount		/b) T	otal	
a	Contributions received or receivable from:		(a) Amount		(b) T	Ulai	
а	(1) Employers	8a(1)					
	(2) Participants		6053				
	(3) Others (including rollovers)	, ,	50	_			
b	Other income (loss)		50				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c				6103	
d	Benefits paid (including direct rollovers and insurance premium						
	to provide benefits)						
е	Certain deemed and/or corrective distributions (see instructions						
f	Administrative service providers (salaries, fees, commissions).	8f					
g	Other expenses	8g					
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h				0	
i	Net income (loss) (subtract line 8h from line 8c)	8i				6103	
i	Transfers to (from) the plan (see instructions)						
	· · · · · · · · · · · · · · · · · ·	····· 8j					

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Part IV	Plan Characteristics
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- If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 9a 2E 2F 2G 2J 3D
- If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:

0 a	V Compliance Questions		.,		i			
а	During the plan year:		Yes	No		Am	ount	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)	10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)	10b		X				
С	Was the plan covered by a fidelity bond?	10c	X					100
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?		Χ					
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	10e		Χ				
f	Has the plan failed to provide any benefit when due under the plan?	10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	10g		Χ				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR	10h		Х				
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	10i						
art	VI Pension Funding Compliance		<u> </u>	<u>_</u>				
1	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and comp 5500))					Г	Yes	□ N
2	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code of						Yes	X N
а	(If "Yes," complete 12a or 12b, 12c, 12d, and 12e below, as applicable.) If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instruct	tions	and e	nter th	e date c	of the le	etter ruli	ina
	granting the waiver							
lf y	ou completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.			12b				
b	Enter the minimum required contribution for this plan year							
	C Enter the amount contributed by the employer to the plan for this plan year							
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left o negative amount)			12d	<u></u>			
	Will the minimum funding amount reported on line 12d be met by the funding deadline?				Yes		No	N/A
е								
e art	VII Plan Terminations and Transfers of Assets							
art	VII Plan Terminations and Transfers of Assets Has a resolution to terminate the plan been adopted in any plan year?			Y	'es X	No		
art				Y	'es X	No		
art 3a	Has a resolution to terminate the plan been adopted in any plan year?	1	3a	<u> </u>	'es X	1	Yes	
art 3a b	Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought up	1	3a the co	<u> </u>	es X	1	Yes	× N
art 3a b c	Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	1	the co	<u> </u>			Yes	
art 3a b c	Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year	1	the co	ntrol				

belief, it is true, correct, and complete.

SIGN	Filed with authorized/valid electronic signature.	11/05/2013	BELINDA MARQUIS
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN			
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor