Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

1 01101011 2	onem Guaranty Corporation	Complete all entries in a	ccordance with the instru	uctions to the Form 550	<u>0-SF.</u>				
Part I	Annual Report	Identification Information	1						
For calend	lar plan year 2012 or fis	scal plan year beginning 01/01	1/2013	and ending 0	05/09/2	2013			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer	plan (not multiemployer)		a one-partici	pant plan		
	This return/report is: the first return/report the final return/report								
		an amended return/report	x a short plan year retu	ırn/report (less than 12 mo	onths)	1			
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	am		
special extension (enter description)									
Part II	Basic Plan Info	rmation—enter all requested ir	nformation						
1a Name		chier an requested in	iioiiiiddoii		1b	Three-digit			
AIOLIAN LLC 401 K PROFIT SHARING PLAN TRUST						plan number			
						(PN) •	001		
					1c	Effective date o	of plan /2006		
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) AIOLIAN LLC 601 UNION ST STE 3900					2b	2b Employer Identification Number (EIN) 55-0868104			
					2c	2c Sponsor's telephone number 206-624-8111			
SEATTLE, WA 98101-4054					2d	2d Business code (see instructions) 524210			
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address					3b Administrator's EIN				
					3c	Administrator's	telephone number		
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.				4b EIN					
	sor's name				4c PN				
5a Total number of participants at the beginning of the plan year						5a			
b Total	b Total number of participants at the end of the plan year						0		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not					5b				
complete this item)							0		
6a Were	all of the plan's assets	during the plan year invested in	eligible assets? (See instru	uctions.)			X Yes No		
		the annual examination and repo							
		(See instructions on waiver eligi					X Yes No		
If you	ı answered "No" to ei	ther line 6a or line 6b, the plan	cannot use Form 5500-S	F and must instead use	Form	5500.			
		or incomplete filing of this retur							
SB or Sch		ner penalties set forth in the instrund signed by an enrolled actuary, plete.							
SIGN	Filed with authorized/v	valid electronic signature.	11/12/2013	AIOLIAN LLC					
HERE	Signature of plan ac	dministrator	Date	Enter name of individu	Enter name of individual signing as plan adminis				
SIGN									
HERE	Signature of omple	vor/nlan enoneer	Data	Enter name of individ	of individual cianing of apple and a series				
Preparer's					dual signing as employer or plan sponsor Preparer's telephone number (optional)				
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Part III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ır			(b) Er	nd of \	'ear	
a	Total plan assets	7a	13514			(b) End of Year				0
	Total plan liabilities	7b		0			0			
	'		13514	9						0
8	Income, Expenses, and Transfers for this Plan Year	7c	(a) Amount			(b) Total				
	Contributions received or receivable from:		(a) runount					, . 		
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)	105	52						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	980	9807						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							1085	9
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	14473	144736						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f	127	2						
q	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							14600	8
ī	Net income (loss) (subtract line 8h from line 8c)						-135149			
Ť	Transfers to (from) the plan (see instructions)	8j		0					10011	
Pa	rt IV Plan Characteristics	0)		0						
	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:									
b	2E 2F 2G 2J 2T 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instru	ctions	:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
a	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	Χ					20000
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all of	ner person	s by an insurance carrier,							
	instructions.)			10e		X				
f Has the plan failed to provide any benefit when due under the plan?						X				
	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					Χ				
	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X				
i	,									
D	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
Par										
11	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
<u>11a</u>	Enter the amount from Schedule SB line 39									
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No									
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	b Enter the minimum required contribution for this plan year									

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Enter the amount contributed by the employer to the plan for this plan year	12c				
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A	
VII Plan Terminations and Transfers of Assets					
Has a resolution to terminate the plan been adopted in any plan year?	X	'es No			
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			X Yes	No	
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	0		_		
13c(1) Name of plan(s):			13c(3) PN(s)		
VIII Trust Information (optional)			<u> </u>		
	Nill the minimum funding amount reported on line 12d be met by the funding deadline?	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	

14b Trust's EIN

14a Name of trust