Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

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OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

		Complete all entries in acco	ruance with the mount	actions to the Form 55	ии-ог.				
Part I		Identification Information							
For calenda	ar plan year 2012 or fis	scal plan year beginning 09/01/20	12	and ending	08/31/2	2013			
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer	plan (not multiemployer))	a one-particip	oant plan		
B This ret	turn/report is:	the first return/report	the final return/report	t					
		an amended return/report	a short plan year retu	rn/report (less than 12 r	months))			
C Check I	box if filing under:	Form 5558	automatic extension			DFVC progra	ım		
		special extension (enter descript	ion)						
Part II	Basic Plan Info	rmation—enter all requested inform	mation						
1a Name	of plan				1b	Three-digit			
BRODY PRI	NTING CO., INC. EMP	LOYEES PROFIT SHARING PLAN				plan number (PN)	001		
					10	Effective date o			
					'	08/18	•		
2a Plan s	ponsor's name and add	dress; include room or suite number	(employer, if for a single	e-employer plan)	2b Employer Identification Number				
BRODY PRI	İNTING CO., INC.						69458		
					2c	Sponsor's telep		,	
265 CENTR						203-384			
BRIDGEPUI	RT, CT 06607-2410				2d	Business code (ns)	
20 Dlan a	durinistratorio accesa co	d address	Nama Doma as Dia	C Adduses	2h	32310			
		d address Same as Plan Sponsor		an Sponsor Address	30	Administrator's 06-08	EIIN 69458		
RODTPRIN	TING CO., INC.	265 CENTRA BRIDGEPOR	T, CT 06607-2410		3с	Administrator's		nber	
						203-384	1-9313		
4									
		 plan sponsor has changed since the nber from the last return/report. 	e last return/report filed	for this plan, enter the	4b	EIN			
	or's name	inder treat the last retain, report.			4c	PN			
5a Total	number of participants	at the beginning of the plan year			. 5a			25	
b Total i	number of participants	at the end of the plan year			. 5b			22	
		account balances as of the end of the		•	5c			21	
	•						X Yes	No	
		during the plan year invested in elig the annual examination and report of					A 163	J 140	
		(See instructions on waiver eligibility					X Yes	No	
If you	answered "No" to eit	ther line 6a or line 6b, the plan car	not use Form 5500-SI	F and must instead us	e Form	5500.			
Caution: A	A penalty for the late of	or incomplete filing of this return/re	eport will be assessed	l unless reasonable ca	ause is	established.			
		ner penalties set forth in the instruction							
	true, correct, and comp	nd signed by an enrolled actuary, as volete.	well as the electronic ve	ersion of this return/repo	iri, and	to the best of my	knowledge a	na	
	<u> </u>			T					
SIGN HERE	Filed with authorized/\	valid electronic signature.	11/19/2013	KAREN COLLETT					
TILICE	Signature of plan ac	dministrator	Date	Enter name of indivi	dual sig	gning as plan adn	ninistrator		
SIGN									
HERE	Signature of employ		Date	Enter name of indivi	_				
Preparer's	name (including firm na	ame, if applicable) and address; inclu	ide room or suite numb	er (optional)	Prep	parer's telephone	number (opti	onal)	

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Pa	rt III Financial Information										
7			(a) Reginning of Ver				(b) End	of V	201		
') Beginning of Year			(b) End of Year 1222477			
_ <u>a</u>	·	l plan assets 7a 11652						- 14	22241	/	
	Net plan assets (subtract line 7b from line 7a)	Il plan liabilities						41	22247	7	
		7c		1165248		(I-) T		22247	/		
8	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) T	otai			
a	(1) Employers	8a(1)		0							
	(2) Participants	8a(2)	3607	75							
	(3) Others (including rollovers)	8a(3)		0							
b	Other income (loss)	8b	11309	98							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1	4917	3	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	9171	4							
е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
f	Administrative service providers (salaries, fees, commissions)	8f		0							
g	Other expenses	8g	23	80							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							9194	4	
i	Net income (loss) (subtract line 8h from line 8c)	8i							5722	9	
j	Transfers to (from) the plan (see instructions)	8j									
Pa	rt IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 3D	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instruc	tions	s:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	c Coc	les in t	he instructi	ons:			
Par	t V Compliance Questions										
10					Yes	No		A			
a	During the plan year: Was there a failure to transmit to the plan any participant contribu	tione withi	n the time period described in		162	NO		Ame	ount		
· ·	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		X					
b	, , , , , , , , , , , , , , , , , , , ,	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported n line 10a.)				X					
	Was the plan covered by a fidelity bond?			10c	X					500	0000
C	, , , , , , , , , , , , , , , , , , , ,			10d		X				000	7000
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			100							
	insurance service or other organization that provides some or all of	of the bene	efits under the plan? (See		~						
	instructions.)			10e	X					8	3439
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
Q	g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)									90	0815
h	• • •	f this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)									
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3										
Par	VI Pension Funding Compliance										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)											
11a Enter the amount from Schedule SB line 39											
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No											
12	is the distinct definition plant case joint to the imminute value of grant case of account of the case of the case of account of the case of t										
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is being the waiver.	ng amortiz	ed in this plan year, see instru		and e	_	ne date of t			ıling	
granting the waiver											
	b Enter the minimum required contribution for this plan year										
	Linei die minimum reggireg continuation for this plan veal					~					

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	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to		
1	3c(1) Name of plan(s):	3c(2) E	IN(s)	13c(3) PN(s)
Part	VIII Trust Information (optional)			
	Name of trust	14b ⊤	rust's EIN	

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Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2012

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Part I	Annual Report	Identification Information							
For calend	ar plan year 2012 or fi	scal plan year beginning	09/01/2012	and ending	08/	31/2013			
A This re	turn/report is for:	X a single-employer plan	a multiple-employer p	an (not multiemployer)	er) a one-participant plan				
B This re	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year return	n/report (less than 12 mo	onths)				
C Check	box if filing under:	Form 5558	automatic extension			FVC program			
		special extension (enter descrip	tion)						
Part II	Rasic Plan Info	prmation—enter all requested infor							
1a Name		Tillation enter an requested infor	mation		1b Thre	ee-digit			
		INC. EMPLOYEES PROFIT	SHARING PLAN			number			
1c Effective date of plan 08/18/1978									
	ponsor's name and ac	ddress; include room or suite number INC.	(employer, if for a single-	employer plan)	2b Employer Identification Number (EIN) 06-0869458				
	NTRAL AVE				2c Sponsor's telephone number 203-384-9313				
200 00				1		iness code (see instructions)			
BRIDGE	PORT	CT 06607-2410				3100			
3a Plan a	dministrator's name a	nd address Same as Plan Sponsor	Name Same as Plar	Sponsor Address		3b Administrator's EIN 06-0869458			
BRODY	PRINTING CO.,	INC.		23	3c Administrator's telephone number				
265 CE	265 CENTRAL AVE								
BRIDGE	PORT	CT 06607-2410							
		e plan sponsor has changed since the mber from the last return/report.	e last return/report filed for	or this plan, enter the	4b EIN	4b EIN			
a Spons	sor's name				4c PN				
5a Total	number of participants	at the beginning of the plan year			5a	a 2			
b Total	number of participants	at the end of the plan year			5b	22			
		account balances as of the end of the			5c	21			
6a Were	all of the plan's asset	s during the plan year invested in elig	gible assets? (See instruc	tions.)		X Yes No			
b Are y under	ou claiming a waiver of r 29 CFR 2520.104-46	of the annual examination and report of the instructions on waiver eligibility.	of an independent qualifier by and conditions.)	d public accountant (IQF	PA)	🛚 Yes 🗌 No			
		ither line 6a or line 6b, the plan car							
		or incomplete filing of this return/r							
SB or Sch	alties of perjury and of edule MB completed a true, correct, and com	ther penalties set forth in the instruction and signed by an enrolled actuary, as uplete.	ons, I declare that I have well as the electronic ver	examined this return/rep sion of this return/report,	ort, includi and to the	ng, if applicable, a Schedule best of my knowledge and			
SIGN JOHN JULY 11/18/13 KAREN COLLETT									
HERE	Signature of plan	administrator	Date	Enter name of individu	al signing	as plan administrator			
SIGN HERE COLLETT									
HERE Signature of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional)									
Preparers	name (including firm)	name, if applicable) and address; inci	ude room or suite numbe	r (optional)	Preparer	s telepriorie number (optional)			