#### Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

# Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500. OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For cale	ndar plan year 2012 or fiscal plan				80/2013			
A This	eturn/report is for:	a multiemployer plan;	H '	e-employer plan; or				
		x a single-employer plan;	a DFE (s	pecify)				
		П., п.,	<b>.</b>					
<b>B</b> This	eturn/report is:	the first return/report;		eturn/report;				
		an amended return/report;		an year return/report (les		_		
C If the	If the plan is a collectively-bargained plan, check here							
<b>D</b> Chec	k box if filing under:	Form 5558;	automatio	extension;	th	e DFVC program;		
		special extension (enter desc	cription)					
Part	I Basic Plan Informati	<b>on</b> —enter all requested informa	ition				T	
	e of plan				1b	Three-digit plan number (PN) ▶	001	
ALIGN	IETWORKS, INC. 401(K) PLAN				1c	Effective date of pl	an	
						01/01/2012		
2a Plan	sponsor's name and address; inc	lude room or suite number (emp	loyer, if for a single-	employer plan)	2b	Employer Identifica	ation	
ALIONIA	IETWORKS, INC.					Number (EIN) 04-3821114		
ALIGN	IETWORKS, INC.				2c	Sponsor's telephor	ne	
					number			
7785 BA	Y MEADOWS WAY	7785 BAYI	MEADOWS WAY		904-998-0211			
SUITE 3	02 NVILLE, FL 32256	SUITE 302 JACKSON	2 IVILLE, FL 32256	2d Business code (see instructions)			е	
0,101100		U/IOIIOOII	11122, 12 02200	621498				
Caution	A penalty for the late or incom	plete filing of this return/repor	t will be assessed	unless reasonable caus	e is establi	shed.		
	enalties of perjury and other penal						edules,	
statemer	its and attachments, as well as the	e electronic version of this return	report, and to the b	est of my knowledge and	belief, it is to	rue, correct, and cor	nplete.	
SIGN HERE	Filed with authorized/valid electro	nic signature.	12/16/2013	DAVID OLSON				
	Signature of plan administrato	r	Date	Enter name of individua	ıl signing as	plan administrator		
SIGN HERE	Filed with authorized/valid electron	nic signature.	12/16/2013	DAVID OLSON				
	Signature of employer/plan sp	onsor	Date	Enter name of individua	ıl signing as	employer or plan sp	onsor	
SIGN HERE								
	Signature of DFE	nalianalah and addusan ingkuda u	Date	Enter name of individua	0 0			
Preparer	's name (including firm name, if ap	oplicable) and address; include r	oom or suite numbe	. (optional)	(optional)	telephone number		

Form 5500 (2012) Page **2** 

3a	Plan administrator's name and address Same as Plan Sponsor Name	Same as Plan Sp	onsor Address	<b>3b</b> Administr	ator's EIN
				3c Administr	ator's telephone
4 a	If the name and/or EIN of the plan sponsor has changed since the last return, EIN and the plan number from the last return/report:  Sponsor's name	/report filed for th	is plan, enter the name,	4b EIN 4c PN	
5	Total number of participants at the beginning of the plan year			5	289
6	Number of participants as of the end of the plan year (welfare plans complete	e only lines 6a, 6b	o, 6c, and 6d).		
а	Active participants			. 6a	0
b	Retired or separated participants receiving benefits			. 6b	0
С	Other retired or separated participants entitled to future benefits			. 6c	
d	Subtotal. Add lines 6a, 6b, and 6c			. 6d	0
е	Deceased participants whose beneficiaries are receiving or are entitled to rec	ceive benefits		. 6e	0
f	Total. Add lines <b>6d</b> and <b>6e</b>			. 6f	0
g	Number of participants with account balances as of the end of the plan year (complete this item)			. 6g	0
h	Number of participants that terminated employment during the plan year with less than 100% vested			. 6h	0
7	Enter the total number of employers obligated to contribute to the plan (only r	. , , .	. ,	7	
8a b	If the plan provides pension benefits, enter the applicable pension feature code 2E 2F 2G 2J 2K 3D  If the plan provides welfare benefits, enter the applicable welfare feature code				
9a	Plan funding arrangement (check all that apply)  (1)	9b Plan benef (1) (2) (3) (4)	t arrangement (check all that Insurance Code section 412(e)(3) Trust General assets of the sp	insurance cont	racts
10	Check all applicable boxes in 10a and 10b to indicate which schedules are at	ttached, and, whe	re indicated, enter the numb	per attached. (	See instructions)
а	Pension Schedules (1) R (Retirement Plan Information)  (2) MB (Multiemployer Defined Benefit Plan and Certain Money	b General S (1)	H (Financial Inform	nation – Small I	Plan)
	Purchase Plan Actuarial Information) - signed by the plan actuary  (3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(3) (4) (5) (6)	A (Insurance Infor C (Service Provide D (DFE/Participati G (Financial Trans	er Information) ng Plan Inform	

# **SCHEDULE A** (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

## **Insurance Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

▶ Insurance companies are required to provide the information

OMB No. 1210-0110

2012

This Form is Open to Public

pursuant to ERISA section 103(a)(2).				•	Inspection			
For calendar plan year 20	12 or fiscal plan	year beginning 01/01/2013	and e	nding 05/30/201	13			
A Name of plan ALIGN NETWORKS, INC.	401(K) PLAN			ee-digit n number (PN)	<b>)</b> 001			
C Plan sponsor's name as shown on line 2a of Form 5500 ALIGN NETWORKS, INC.				oyer Identification N 321114	lumber (EIN)			
	Part I Information Concerning Insurance Contract Coverage, Fees, and Commissions Provide information for each contract on a separate Schedule A. Individual contracts grouped as a unit in Parts II and III can be reported on a single Schedule A.							
1 Coverage Information:								
(a) Name of insurance ca	rrier							
JOHN HANCOCK LIFE II	NSURANCE CO	D., (U.S.A)						
	(c) NAIC	(d) Contract or	(e) Approximate number of	Pol	licy or contract year			
<b>(b)</b> EIN	code	identification number	persons covered at end of policy or contract year	(f) From	<b>(g)</b> To			
01-0233346	65838	103934	0	01/01/2013	05/30/2013			
2 Insurance fee and com- descending order of the		tion. Enter the total fees and total	commissions paid. List in line 3	3 the agents, brokers	s, and other persons in			
(a) Total a	amount of comn		(b) 1	otal amount of fees				
		1094			436			
3 Persons receiving com	missions and fe	es. (Complete as many entries a	is needed to report all persons).					
		nd address of the agent, broker, o	or other person to whom commis	sions or fees were p	paid			
NEXT FINANCIAL GROU	JP, INC.							
(b) Amount of sales ar	nd base	Fees	and other commissions paid					
commissions pa		(c) Amount	(d) Purpose		(e) Organization code			
	1094				3			
	(a) Name ar	nd address of the agent, broker, o	or other person to whom commis	sions or fees were p	paid			
PENCOR, INC.	.,	-						
(In) Assess of the In	11	Fees	and other commissions paid					
(b) Amount of sales ar commissions pai		(c) Amount	(d) Purpos	se	(e) Organization code			
		` '	A COMPENSATION / FEES		5			

Schedule A (Form 5500)	2012	Page <b>2 -</b> 1						
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were pa	aid					
	,	.,,						
(b) Amount of color and bose		Fees and other commissions paid	(a) Organization					
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	(e) Organization code					
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were pa	aid					
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization					
commissions paid	(c) Amount	(d) Purpose	code					
( ) ) !			• • • • • • • • • • • • • • • • • • • •					
<b>(a)</b> Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were pa	aid					
	T		<u> </u>					
(b) Amount of sales and base	(-) A	Fees and other commissions paid	(e) Organization					
commissions paid	(c) Amount	(d) Purpose	code					
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were pa	aid					
	, , , , , , , , , , , , , , , , , , ,							
(h) Amount of color and bose		Fees and other commissions paid	(2) Orner institut					
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	(e) Organization code					
•	, ,							
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were pa	aid					
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization					
commissions paid	(c) Amount	(d) Purpose	code					

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ay		•

Part II Investment and Annuity Contract Information Where individual contracts are provided, the entire group of such individual contracts with each carrier may be treated as a unit for purpose this report.					as a unit for purposes of	
4	Curre	nt value of plan's interest under this contract in the general account at year	end		4	
_		nt value of plan's interest under this contract in separate accounts at year e			_	0
6	Contra	acts With Allocated Funds:				
	а	State the basis of premium rates •				
		Premiums paid to carrier			6b	
	_	Premiums due but unpaid at the end of the year			6c	
		If the carrier, service, or other organization incurred any specific costs in corretention of the contract or policy, enter amount		•	6d	
	;	Specify nature of costs •				
		Type of contract: (1) individual policies (2) group deferred	d annuity			
		(3) other (specify)				
	f	If contract purchased, in whole or in part, to distribute benefits from a termin	nating plan ch	eck here		
7	Contra	acts With Unallocated Funds (Do not include portions of these contracts ma	intained in se	parate accounts)		
	а	Type of contract: (1)	ate participatio	on guarantee		
	b	Balance at the end of the previous year			7b	
		Additions: (1) Contributions deposited during the year				
		(2) Dividends and credits	7c(2)			
		(3) Interest credited during the year	7c(3)			
		(4) Transferred from separate account	7c(4)			
		(5) Other (specify below)	7c(5)			
	,					
		(6)Total additions			7c(6)	
	<b>d</b> ⊺	otal of balance and additions (add lines 7b and 7c(6))			7d	
	<b>e</b> D	Deductions:				
		1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)			
	(	2) Administration charge made by carrier	7e(2)			
	(	3) Transferred to separate account	7e(3)			
	(	4) Other (specify below)	7e(4)			
	)	•				
	(	5) Total deductions			7e(5)	
	fì	Balance at the end of the current year (subtract line 7e(5) from line 7d)				

Schedule A (Form 5500) 2012		Page <b>4</b>		
information may be combined for	Information the same group of employees of the reporting purposes if such contract al contracts with each carrier may be	s are experience-rated as a u	nit. Where contracts c	
Benefit and contract type (check all applic	able boxes)			
<b>a</b> Health (other than dental or vision)	<b>b</b> Dental	<b>c</b> Vision	d	Life insurance
e Temporary disability (accident and	sickness) <b>f</b> Long-term disab	oility <b>g</b> Supplementa	al unemployment <b>h</b>	Prescription drug
i Stop loss (large deductible)	j HMO contract	k ☐ PPO contrac	t I	Indemnity contract
m ☐ Other (specify) ▶	,		Ĺ	<b>,</b>
The Other (specify)				
Experience-rated contracts:				
a Premiums: (1) Amount received		9a(1)		
(2) Increase (decrease) in amount du	e but unpaid	9a(2)		
(3) Increase (decrease) in unearned	premium reserve	9a(3)		
(4) Earned ((1) + (2) - (3))			9a(4)	
<b>b</b> Benefit charges (1) Claims paid		9b(1)		
(2) Increase (decrease) in claim rese	rves	9b(2)		
(3) Incurred claims (add (1) and (2)).			9b(3)	
(4) Claims charged			9b(4)	
c Remainder of premium: (1) Retention	n charges (on an accrual basis)			
(A) Commissions		9c(1)(A)		
(B) Administrative service or other	er fees	9c(1)(B)		
(C) Other specific acquisition cos	ets	9c(1)(C)		
(D) Other expenses		9c(1)(D)		
<u>:_: _</u>		0-(4)/5)		

Remainder of premium: (1) Retention charges (on an accrual basis) (A) Commissions ..... (B) Administrative service or other fees ..... (C) Other specific acquisition costs..... (D) Other expenses..... (E) Taxes..... 9c(1)(E) (F) Charges for risks or other contingencies ...... 9c(1)(F) (H) Total retention ..... 9c(1)(H) (2) Dividends or retroactive rate refunds. (These amounts were paid in cash, or credited.) ...... 9c(2) d Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement...... 9d(1) (2) Claim reserves 9d(2) 9d(3) (3) Other reserves Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)..... 9e **10** Nonexperience-rated contracts: 10a Total premiums or subscription charges paid to carrier ...... If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or 10b retention of the contract or policy, other than reported in Part I, line 2 above, report amount...... Specify nature of costs

Part IV	Provision of Information			
11 Did th	e insurance company fail to provide any information necessary to complete Schedule A?	Yes	X No	

Part III

<sup>12</sup> If the answer to line 11 is "Yes," specify the information not provided.

# SCHEDULE C (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation **Service Provider Information** 

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2013	and ending 05/30/2013	
A Name of plan ALIGN NETWORKS, INC. 401(K) PLAN	<b>B</b> Three-digit plan number (PN)	001
		·
C Plan sponsor's name as shown on line 2a of Form 5500	<b>D</b> Employer Identification Nu	ımher (FIN)
ALIGN NETWORKS, INC.	04-3821114	imber (Liny)
	04 0021114	
Part I Service Provider Information (see instructions)		
You must complete this Part, in accordance with the instructions, to report the informatic or more in total compensation (i.e., money or anything else of monetary value) in conner plan during the plan year. If a person received <b>only</b> eligible indirect compensation for we answer line 1 but are not required to include that person when completing the remainder	ction with services rendered to the p hich the plan received the required of	lan or the person's position with the
1 Information on Persons Receiving Only Eligible Indirect Compension	sation	
a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder		nly eligible
indirect compensation for which the plan received the required disclosures (see instruction	ons for definitions and conditions)	Yes No
<b>b</b> If you answered line 1a "Yes," enter the name and EIN or address of each person prov received only eligible indirect compensation. Complete as many entries as needed (see	•	e service providers who
(b) Enter name and EIN or address of person who provided you	u disclosures on eligible indirect com	npensation
JOHN HANCOCK LIFE INSURANCE CO. P.O. BOX 600 BUFFALO, NY 14201-060	0	
01-0233346		
(b) Enter name and EIN or address of person who provided yo	u disclosure on eligible indirect com	pensation
(b) Enter name and EIN or address of person who provided you	u disclosures on eligible indirect com	pensation
(b) Enter name and EIN or address of person who provided you	u disclosures on eligible indirect com	neneation
Lines manie and Line of address of person who provided you	a disclosures on eligible indirect com	iponodilon

Schedule C (Form 5500) 2012	Pa	age <b>2-</b> 1	
(b) Enter name and FIN or a	address of person who provided vo	ou disclosures on eligible indirect co	mpensation
(4) = 110			
(b) Enter name and EIN or a	address of person who provided yo	ou disclosures on eligible indirect co	mpensation
	<u></u>	<del>-</del>	<u>·</u>
(b) Enter name and EIN or a	ddress of person who provided yo	ou disclosures on eligible indirect co	mpensation
(b) Enter name and EIN or a	ddress of person who provided yo	u disclosures on eligible indirect cor	mpensation
(h) =			
(D) Enter name and EIN or a	ddress of person who provided yo	ou disclosures on eligible indirect co	mpensation
(b) Enter name and EIN or a	ddress of person who provided vo	ou disclosures on eligible indirect co	mpensation
(4) = 110			
(b) Enter name and EIN or a	ddress of person who provided yo	ou disclosures on eligible indirect co	mpensation
(b) Enter name and EIN or a	ddress of person who provided yo	ou disclosures on eligible indirect co	mpensation

Page	3 ·	- 1	Ī
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answered	I "Yes" to line 1a above	e, complete as many	entries as needed to list ea	r Indirect Compensation ch person receiving, directly or ne plan or their position with the	indirectly, \$5,000 or more in t	total compensation			
		(	a) Enter name and EIN or	address (see instructions)					
(b) Service Code(s)	Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g) Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?			
			Yes No	Yes No		Yes No			
		(	a) Enter name and EIN or	address (see instructions)					
(b) Service Code(s)	Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	(g)  Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element	(h) Did the service provider give you a formula instead of an amount or estimated amount?			
			Yes No	Yes No	(f). If none, enter -0	Yes No			
		(	a) Enter name and EIN or	address (see instructions)					
(b) Service Code(s)	Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?			
			Yes No	Yes No		Yes No			

Page	3	-	2
<sup>2</sup> age	3	-	2

answered	I "Yes" to line 1a above	e, complete as many	entries as needed to list ea	r Indirect Compensation ich person receiving, directly or ne plan or their position with the	indirectly, \$5,000 or more in t	total compensation
			(a) Enter name and EIN or	address (see instructions)		
			,			
(b) Service Code(s)	Relationship to employer, employer organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	
			Yes No	Yes No		Yes No
			(a) Enter name and EIN or	address (see instructions)		
(b) Service Code(s)	Relationship to employer, employee organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	
			Yes No	Yes No		Yes No
<u> </u>		(	(a) Enter name and EIN or	address (see instructions)		
(b) Service Code(s)	Relationship to employer, employer organization, or person known to be a party-in-interest	(d) Enter direct compensation paid by the plan. If none, enter -0	(e) Did service provider receive indirect compensation? (sources other than plan or plan sponsor)	(f) Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures?	Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered "Yes" to element (f). If none, enter -0	(h) Did the service provider give you a formula instead of an amount or estimated amount?
			Yes No	Yes No		Yes No

3 If you reported on line 2 receipt of indirect compensation, other than eligible indirect compens	ation, by a service provider, and th	ne service provider is a fiduciary
or provides contract administrator, consulting, custodial, investment advisory, investment mar questions for (a) each source from whom the service provider received \$1,000 or more in indi provider gave you a formula used to determine the indirect compensation instead of an amou many entries as needed to report the required information for each source.	nagement, broker, or recordkeepin irect compensation and (b) each so	g services, answer the following ource for whom the service
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
	(coo mondono)	compensation
(d) Enter name and EIN (address) of source of indirect compensation	formula used to determine	compensation, including any ethe service provider's eligibility the indirect compensation.
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(c) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	formula used to determine	compensation, including any ethe service provider's eligibility the indirect compensation.
(a) Enter service provider name as it appears on line 2	(b) Service Codes (see instructions)	(C) Enter amount of indirect compensation
(d) Enter name and EIN (address) of source of indirect compensation	formula used to determine	compensation, including any the service provider's eligibility the indirect compensation.

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P	Part II Service Providers Who Fail or Refuse to Provide Information					
4	Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule.					
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
_						
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(C) Describe the information that the service provider failed or refused to provide			
	(a) Enter name and EIN or address of service provider (see instructions)	(b) Nature of Service Code(s)	(c) Describe the information that the service provider failed or refused to provide			

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Pa	rt III	Termination Information on Accountants and Enrolled Actuaries (see ins	structions)		
a	Name:	(complete as many entries as needed)	<b>b</b> EIN:		
C	Positio		B EIIV.		
d	Addres		<b>e</b> Telephone:		
•	/ lauro		С госраново.		
Ex	olanatio	):			
_	Nissa		h rivi		
<u>a</u>	Name:		b EIN:		
d d	Position Address		<b>e</b> Telephone:		
u	Addie	is.	С тегерпопе.		
Ex	olanatio	n:			
a	Name:		<b>b</b> EIN:		
C	Positio				
d	Addres	SS:	e Telephone:		
Exi	olanatio	);			
а	Name:		<b>b</b> EIN:		
С	Positio	n:			
d	Addres	ss:	<b>e</b> Telephone:		
Evi	olanatio	<u> </u>			
ᅜᄭ	piariatio	l.			
а	Name:		b EIN:		
C	Positio				
d	Addres		e Telephone:		
Ex	Explanation:				

# SCHEDULE D (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

# **DFE/Participating Plan Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal p	olan year beginning	01/01/2013 and	ending 05/30/2013	
A Name of plan ALIGN NETWORKS, INC. 401(K) PLAN		and and	B Three-digit plan number (PN)	
C Plan or DFE sponsor's name as sho	own on line 22 of Form	5500	D Employer Identification Number (EIN)	
ALIGN NETWORKS, INC.	JWIT OIT IIIIe Za OI FOIIII	3300	, ,	
· · · · · · · · · · · · · · · · · · ·			04-3821114	
		Ts, PSAs, and 103-12 IEs (to be contour to report all interests in DFEs)	mpleted by plans and DFEs)	
a Name of MTIA, CCT, PSA, or 103-		. ,		
<b>b</b> Name of sponsor of entity listed in	(a): JOHN HANCO	OCK LIFE INSURANCE COMPANY		
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P	<b>e</b> Dollar value of interest in MTIA, CCT, P 103-12 IE at end of year (see instructio		
a Name of MTIA, CCT, PSA, or 103-	12 IE: RETIREMENT	CHOICES AT 2020		
	JOHN HANCO	CK LIFE INSURANCE COMPANY		
<b>b</b> Name of sponsor of entity listed in	(a):			
C EIN-PN 01-0233346-000	<b>d</b> Entity P code	e Dollar value of interest in MTIA, CCT, P 103-12 IE at end of year (see instruction		
a Name of MTIA, CCT, PSA, or 103-	12 IE: RETIREMENT	CHOICES AT 2040		
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE COMPANY		
	· ,	A D III A COL ANTIA COT D	0.4	
C EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, P     103-12 IE at end of year (see instruction		
a Name of MTIA, CCT, PSA, or 103-	12 IE: RETIREMENT	CHOICES AT 2045		
<b>b</b> Name of sponsor of entity listed in	(a): JOHN HANCO	CK LIFE INSURANCE COMPANY		
C EIN-PN 01-0233346-000	<b>d</b> Entity P	e Dollar value of interest in MTIA, CCT, P 103-12 IE at end of year (see instruction		
a Name of MTIA, CCT, PSA, or 103-		CHOICES AT 2050		
<b>b</b> Name of sponsor of entity listed in		CK LIFE INSURANCE COMPANY		
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P	Dollar value of interest in MTIA, CCT, P     103-12 IE at end of year (see instruction)		
a Name of MTIA, CCT, PSA, or 103-		LIVING THROUGH 2035	,	
Name of Witth, COT, FOA, OF 103-		CK LIFE INSURANCE COMPANY		
<b>b</b> Name of sponsor of entity listed in	(a):			
C EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, P     103-12 IE at end of year (see instruction	•	
a Name of MTIA, CCT, PSA, or 103-12 IE: RETIREMENT LIVING THROUGH 2045				
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE COMPANY		
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P	e Dollar value of interest in MTIA, CCT, P 103-12 IE at end of year (see instruction		

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a Name of MTIA, CCT, PSA, or 103-	12 IE: RETIRE	ENT LIVING THROUGH 2050			
<b>b</b> Name of sponsor of entity listed in	<b>b</b> Name of sponsor of entity listed in (a):				
C EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-	12 IE: LIFESTY	E CONSERVATIVE			
<b>b</b> Name of sponsor of entity listed in		NCOCK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-	12 IE: LIFESTY	E MODERATE			
<b>b</b> Name of sponsor of entity listed in	(a):	NCOCK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-	12 IE: LIFESTY	E BALANCED			
<b>b</b> Name of sponsor of entity listed in	(a): JOHN HA	NCOCK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)	· · · · · · · · · · · · · · · · · · ·		
a Name of MTIA, CCT, PSA, or 103-	12 IE: LIFESTY	E GROWTH			
<b>b</b> Name of sponsor of entity listed in	JOHN HA	NCOCK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-12 IE: LIFESTYLE AGGRESSIVE					
<b>b</b> Name of sponsor of entity listed in (a):  JOHN HANCOCK LIFE INSURANCE CO					
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-	12 IE: JOHN HA	ICOCK STABLE VAL			
b Name of sponsor of entity listed in (a):  JOHN HANCOCK LIFE INSURANCE CO					
<b>c</b> EIN-PN <sup>01-0233346-000</sup>	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-12 IE: US GOV'T SECURITIES					
<b>b</b> Name of sponsor of entity listed in	JOHN HA	ICOCK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)			
a Name of MTIA, CCT, PSA, or 103-12 IE: TOTAL BOND MARKENT FUND					
<b>b</b> Name of sponsor of entity listed in	(a):	ICOCK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	d Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)	0		
a Name of MTIA, CCT, PSA, or 103-	12 IE: PIMCO T	TAL RETURN			
<b>b</b> Name of sponsor of entity listed in	<b>b</b> Name of sponsor of entity listed in (a):  JOHN HANCOCK LIFE INSURANCE CO				
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PS     103-12 IE at end of year (see instruction)	·		

a Name of MTIA, CCT, PSA, or 103-12 IE: TEMPLETON GLOBAL BOND FUND					
Name of sponsor of entity listed in (a):  JOHN HANCOCK LIFE INSURANCE CO					
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: PIMCO REAL I	RETURN			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: US HIGH YIEL	D BOND FUND			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: BLACKROCK (	GLOBAL ALLOCATION			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: INCOME FUND	O OF AMERICA			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103-12 IE: INVESTMENT CO OF AMERICA					
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: 500 INDEX FU	ND			
<b>b</b> Name of sponsor of entity listed in		CK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: DAVIS NEW YO	ORK VENTURE			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: DODGE & COX	STOCK FUND			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>C</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
a Name of MTIA, CCT, PSA, or 103	-12 IE: EATON VANCE	ELARGE-CAP VALUE			
<b>b</b> Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO			
<b>c</b> EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0		
· · · · · · · · · · · · · · · · · · ·					

<b>b</b> Name of sponsor of entity listed in (a):  JOHN HANCOCK LIFE INSURANCE CO				
0				
0				
0				
0				
0				
0				
0				
0				
0				
0				

а	Name of MTIA, CCT, PSA, or 103-	12 IE: REAL EST SEC	CURITIES FUND	
b	Name of sponsor of entity listed in	JOHN HANCO	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: FIDELITY ADVI	ISOR GOLD FD	
b	Name of sponsor of entity listed in	JOHN HANCO(	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: THE GROWTH	FUND OF AMERICA	
b	Name of sponsor of entity listed in	(a): JOHN HANCO	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: GROWTH INDE	EX FUND	
b	Name of sponsor of entity listed in	(a): JOHN HANCO	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	<b>d</b> Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: JOHN HANCO	CK DISCIPLINED VALUE	
b	Name of sponsor of entity listed in	JOHN HANCO(	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: TOTAL STOCK	MARKET INDEX FUND	
b	Name of sponsor of entity listed in	JOHN HANCO(	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: JPM MIDCAP V	/ALUE	
b	Name of sponsor of entity listed in	JOHN HANCO( (a):	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	d Entity P	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: CAPITAL WOR	LD GROWTH & INCOME	
b	Name of sponsor of entity listed in	JOHN HANCOC (a):	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	d Entity P	<b>e</b> Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: BLUE CHIP GR	OWTH FUND	
b	Name of sponsor of entity listed in	(a): JOHN HANCOC	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0
а	Name of MTIA, CCT, PSA, or 103-	12 IE: SMALL CAP VA	ALUE INDEX	
b	Name of sponsor of entity listed in	JOHN HANCOC	CK LIFE INSURANCE CO	
С	EIN-PN 01-0233346-000	<b>d</b> Entity P code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)	0

Page	2	-
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a Name of MTIA, CCT, PSA, or 103-	12 IE: ASTON/FAIRP	OINTE MID CAP FUND					
<b>b</b> Name of sponsor of entity listed in (a):							
C EIN-PN 01-0233346-000	d Entity P	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or     103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-	12 IE:						
<b>b</b> Name of sponsor of entity listed in	<b>b</b> Name of sponsor of entity listed in (a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					
a Name of MTIA, CCT, PSA, or 103-12 IE:							
<b>b</b> Name of sponsor of entity listed in	(a):						
C EIN-PN	<b>d</b> Entity code	Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)					

F	Part II	Information on Participating Plans (to be completed by DFEs) (Complete as many entries as needed to report all participating plans)	
а	Plan na		
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN
а	Plan na	me	
b	Name o		C EIN-PN

# SCHEDULE H (Form 5500)

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

#### **Financial Information**

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2013		and e	ending 05/30/2013		
A Name of plan ALIGN NETWORKS, INC. 401(K) PLAN			B Three-digit plan number (PN	v) <b>•</b>	001
C Plan sponsor's name as shown on line 2a of Form 5500			<b>D</b> Employer Identific	cation Number (	EIN)
ALIGN NETWORKS, INC.			04-3821114		
B (1 A ) 11111111 O()			010021111		
Part I Asset and Liability Statement  1 Current value of plan assets and liabilities at the beginning and end of the plan	n voor Combin	a the velv	a of plan accets hold in	mara than and	truct Dancet
1 Current value of plan assets and liabilities at the beginning and end of the plan the value of the plan's interest in a commingled fund containing the assets of lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurant benefit at a future date. Round off amounts to the nearest dollar. MTIAs, (and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. So	more than one ice contract wh CCTs, PSAs, a	plan on a nich guaran nd 103-12	line-by-line basis unles itees, during this plan y	s the value is re rear, to pay a spe	portable on ecific dollar
Assets		<b>(a)</b> B	eginning of Year	<b>(b)</b> End	of Year
a Total noninterest-bearing cash	1a				
<b>b</b> Receivables (less allowance for doubtful accounts):					
(1) Employer contributions	1b(1)				
(2) Participant contributions	1b(2)				
(3) Other	1b(3)				
<b>C</b> General investments:					
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)				
(2) U.S. Government securities	1c(2)				
(3) Corporate debt instruments (other than employer securities):					
(A) Preferred	1c(3)(A)				
(B) All other	1c(3)(B)				
(4) Corporate stocks (other than employer securities):					
(A) Preferred	1c(4)(A)				
(B) Common	1c(4)(B)				
(5) Partnership/joint venture interests	1c(5)				
(6) Real estate (other than employer real property)	1c(6)				
(7) Loans (other than to participants)	1c(7)				
(8) Participant loans	1c(8)				
(9) Value of interest in common/collective trusts	1c(9)				
(10) Value of interest in pooled separate accounts	1c(10)		509099		0
(11) Value of interest in master trust investment accounts	1c(11)				
(12) Value of interest in 103-12 investment entities	1c(12)				
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)				
(14) Value of funds held in insurance company general account (unallocated	1c(14)			<del></del>	

1c(15)

1d	Employer-related investments:		(a) Beginning of Year	(b) End of Year	
	(1) Employer securities	1d(1)			
	(2) Employer real property	1d(2)			
е	Buildings and other property used in plan operation	1e			
f	Total assets (add all amounts in lines 1a through 1e)	1f	509099	0	
	Liabilities		·		
g	Benefit claims payable	1g			
h	Operating payables	1h			
i	Acquisition indebtedness	1i			
j	Other liabilities	1j			
k	Total liabilities (add all amounts in lines 1g through1j)	1k			
	Net Assets				
I	Net assets (subtract line 1k from line 1f)	11	509099	0	
Pa	art II Income and Expense Statement				
2	Distriction of the control of the co			(-)	

Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
а	Contributions:			
	(1) Received or receivable in cash from: (A) Employers	2a(1)(A)		
	(B) Participants	2a(1)(B)		
	(C) Others (including rollovers)	2a(1)(C)		
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines 2a(1)(A), (B), (C), and line 2a(2)	2a(3)		
b	Earnings on investments:			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines 2b(1)(A) through (F)	2b(1)(G)		
	(2) Dividends: (A) Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines 2b(2)(A), (B), and (C)	2b(2)(D)		
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: (A) Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line 2b(4)(B) from line 2b(4)(A) and enter result	2b(4)(C)		
	(5) Unrealized appreciation (depreciation) of assets: (A) Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines 2b(5)(A) and (B)	2b(5)(C)		

		г					i	
		(-)		(a)	Amount		(b)	Total
	(6) Net investment gain (loss) from common/collective trusts							
	(7) Net investment gain (loss) from pooled separate accounts							34720
	(8) Net investment gain (loss) from master trust investment accounts							
	(9) Net investment gain (loss) from 103-12 investment entities	2b(9)						
	(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)						
С	Other income							-
d	Total income. Add all <b>income</b> amounts in column (b) and enter total	2d						34720
	Expenses						l	
е	Benefit payment and payments to provide benefits:							
	(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)				1081		
	(2) To insurance carriers for the provision of benefits	2 (2)						
	(3) Other	0 (0)					•	
	(4) Total benefit payments. Add lines 2e(1) through (3)	0-(4)						1081
f		· -						
g		_						
	Interest expense	Oh						
ï	Administrative expenses: (1) Professional fees	0:(4)						
٠	(2) Contract administrator fees						-	
	(3) Investment advisory and management fees	0:/0)					-	
	(4) Other	2:/4)				150		
	` '	0:/5)						150
i	(5) Total administrative expenses. Add lines 2i(1) through (4)  Total expenses. Add all expense amounts in column (b) and enter total	··						1231
J	Net Income and Reconciliation							
k	Net income (loss). Subtract line 2j from line 2d	2k						33489
ı	Transfers of assets:							
٠	(1) To this plan	2l(1)						
	(2) From this plan	,						542588
	(2) From this plan	:(-/						
P	art III Accountant's Opinion							
	Complete lines 3a through 3c if the opinion of an independent qualified public attached.	accountant is	attache	ed to th	is Form 5	500. Com	plete line 3d if a	an opinion is not
а	The attached opinion of an independent qualified public accountant for this plant	an is (see instr	uctions	):				
	(1) Unqualified (2) Qualified (3) Disclaimer (4)	Adverse						
b	Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.10	3-8 and/or 10	3-12(d)	?			× Yes	No
С	Enter the name and EIN of the accountant (or accounting firm) below:							
	(1) Name: LBA CPA, PA		(2)	EIN: 59	9-130228	4		
d	The opinion of an independent qualified public accountant is <b>not attached</b> be (1) This form is filed for a CCT, PSA, or MTIA. (2) It will be attached		ext Form	n 5500	pursuant	to 29 CFI	R 2520.104-50.	
Pa	art IV Compliance Questions							
4	CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete		lines 4a	a, 4e, 4	f, 4g, 4h,	4k, 4m, 4ı	n, or 5.	
	During the plan year:	2		Ī	Yes	No	Am	nount
а		in the time						
	period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any until fully corrected. (See instructions and DOL's Voluntary Fiduciary Corrected.	prior year failu		4a		X		
b		_	,	-tu				
_	close of the plan year or classified during the year as uncollectible? Disrega	ard participant						
	secured by participant's account balance. (Attach Schedule G (Form 5500) checked.)			4b		X		

			Yes	No	Amo	unt
С	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X		
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X		
_	,		X			1000000
e	Was this plan covered by a fidelity bond?	4e				1000000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X		
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X		
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X		
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i		X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and	4:		X		
le.	see instructions for format requirements.)	4j		^		
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k	X			
I	Has the plan failed to provide any benefit when due under the plan?	41		X		
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m	X			
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3	4n	X			
5a	Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?  If "Yes," enter the amount of any plan assets that reverted to the employer this year	Yes	s X No	Amou	nt:	
5b	If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s) transferred. (See instructions.)	), ident	ify the pla	ın(s) to w	nich assets or liabi	lities were
	5b(1) Name of plan(s)					
SMA	RTCOMP, LLC 401(K) PLAN					
				<b>5b(3)</b> PN(s)		
			30-0469	9665		001
Part	V Trust Information (optional)	1				1
	ame of trust			6b ⊺	rust's EIN	

# **SCHEDULE R** (Form 5500)

Department of the Treasury Internal Revenue Service

Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Department of Labor

**Retirement Plan Information** 

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

File as an attachment to Form 5500.

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For	calendar pl	an year 2012 or fiscal plan year beginning 01/01/2013 and	endir	ng	05/30/20	013				
	A Name of plan  B Three-digit									
ALIGN NETWORKS, INC. 401(K) PLAN  plan number 001										
				(PN	1) l	•				
		r's name as shown on line 2a of Form 5500	D	Emp	loyer Ide	entifica	ition Numb	er (EIN	)	
ALIG	SN NETWO	RKS, INC.		04	1-382111	4				
					. 002	•				
Pa	art I Di	stributions								
All	references	to distributions relate only to payments of benefits during the plan year.								
1	Total valu	e of distributions paid in property other than in cash or the forms of property specified in the	۵							
•		IS			1					
2	Enter the	EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries do	ırina	the ves		than	two enter	FINs o	the tw	·/O
_		no paid the greatest dollar amounts of benefits):	annig '	uio you	11 (11 111010	, triair	two, critor	LII 10 0	tile tv	
	EIN(s):	01-0233346								
	Profit-sha	aring plans, ESOPs, and stock bonus plans, skip line 3.								
3	Numbere	f participants (living or deceased) whose benefits were distributed in a single sum, during t	ha nla	n .						
5		r participants (iiving or deceased) whose benefits were distributed in a single suni, during t			3					
P	art II	Funding Information (If the plan is not subject to the minimum funding requirements	s of se	ection o	f 412 of	the Int	ernal Reve	nue Co	de or	
		ERISA section 302, skip this Part)								
4	Is the plan	$administrator\ making\ an\ election\ under\ Code\ section\ 412(d)(2)\ or\ ERISA\ section\ 302(d)(2)?$				Yes		No		N/A
	If the plan	n is a defined benefit plan, go to line 8.								
5	If a waive	r of the minimum funding standard for a prior year is being amortized in this								
		see instructions and enter the date of the ruling letter granting the waiver. Date: Mc	onth _		Da	у	Y	ear		_
	If you cor	mpleted line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the r	emai	nder of	f this scl	hedule	э.			
6	<b>a</b> Enter	the minimum required contribution for this plan year (include any prior year accumulated fu	ınding	J	60					
	deficie	ency not waived)			6a					
	<b>b</b> Enter	the amount contributed by the employer to the plan for this plan year			6b					
		act the amount in line 6b from the amount in line 6a. Enter the result								
	(enter	a minus sign to the left of a negative amount)			6c					
	-	mpleted line 6c, skip lines 8 and 9.								
7	Will the m	inimum funding amount reported on line 6c be met by the funding deadline?			П	Yes	ı	No		N/A
8		e in actuarial cost method was made for this plan year pursuant to a revenue procedure or								
	authority p	providing automatic approval for the change or a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does the plan sponsor of a class ruling letter, does not be a class ruling letter, does not be a class ruling letter, does not be a class ruling letter, and does not be a class ruling letter, does not be a class ruling letter, and do	or pla	n	П	Yes		lo	<b> </b>	N/A
_										—
Pa	art III	Amendments								
9		defined benefit pension plan, were any amendments adopted during this plan								
	•	ncreased or decreased the value of benefits? If yes, check the appropriate check the "No" box.	rease	, [	Decre	ase	Both	า	No	)
Pa	rt IV	<b>ESOPs</b> (see instructions). If this is not a plan described under Section 409(a) or 497:	5(6)/7	L						
ı a		skip this Part.	J( <del>e</del> )(/	<i>)</i> 01 tile	miemai		nue coue,			
10	Were una	llocated employer securities or proceeds from the sale of unallocated securities used to rep	pay a	ny exer	mpt loan?	?		Yes		No
11	<b>a</b> Does	s the ESOP hold any preferred stock?						Yes		No
	<b>b</b> If the	ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a	"bac	k-to-ba	ck" loan?			Yes		No
		instructions for definition of "back-to-back" loan.)					<u> </u>	162	<u> </u>	No
12	Does the	ESOP hold any stock that is not readily tradable on an established securities market?						Yes		No

Pa	rt V	Additional Information for Multiemployer Defined Benefit Pension Plans						
13		ter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in ollars). See instructions. Complete as many entries as needed to report all applicable employers.						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).) (1) Contribution rate (in dollars and cents) (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						
	а	Name of contributing employer						
	b	EIN C Dollar amount contributed by employer						
	d	Date collective bargaining agreement expires (If employer contributes under more than one collective bargaining agreement, check box and see instructions regarding required attachment. Otherwise, enter the applicable date.) Month Day Year						
	е	Contribution rate information (If more than one rate applies, check this box and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)  (1) Contribution rate (in dollars and cents)  (2) Base unit measure: Hourly Weekly Unit of production Other (specify):						

_		•
Н	age	
•	~5~	

14	ter the number of participants on whose behalf no contributions were made by an employer as an employer of the rticipant for:						
	a The current year	14a					
	b The plan year immediately preceding the current plan year	14b					
	C The second preceding plan year	14c					
15	Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to ma employer contribution during the current plan year to:	ke an					
	a The corresponding number for the plan year immediately preceding the current plan year	15a					
	<b>b</b> The corresponding number for the second preceding plan year	15b					
16	Information with respect to any employers who withdrew from the plan during the preceding plan year:						
	a Enter the number of employers who withdrew during the preceding plan year	16a					
	<b>b</b> If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	16b					
17	If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, cl supplemental information to be included as an attachment.						
P	art VI Additional Information for Single-Employer and Multiemployer Defined Benefi	t Pens	ion Plans				
18	If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see in information to be included as an attachment	struction	ns regarding supplemental				
19	If the total number of participants is 1,000 or more, complete lines (a) through (c)  a Enter the percentage of plan assets held as: Stock:% Investment-Grade Debt:% High-Yield Debt:% Real Estate:% Other:%  b Provide the average duration of the combined investment-grade and high-yield debt:						
	C What duration measure was used to calculate line 19(b)?  ☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):						

FINANCIAL REPORT

PERIOD FROM JANUARY 1, 2013 TO MAY 31, 2013 AND DECEMBER 31, 2012

# TABLE OF CONTENTS

Report of independent certified public accountants		
Financial statements:		
Statements of net assets available for benefits	3	
Statement of changes in net assets available for benefits	4	
Notes to financial statements	5	



LBA Certified Public Accountants, PA

#### **Report of Independent Certified Public Accountants**

To the Trustees of Align Networks, Inc. 401(k) Plan Jacksonville, Florida

We were engaged to audit the accompanying statements of net assets available for benefits of Align Networks, Inc. 401(k) Plan (the Plan) as of May 31, 2013 and December 31, 2012, and the related statement of changes in net assets available for benefits for the period from January 1, 2013 to May 31, 2013, and the related notes to the financial statements.

#### Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

#### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, however, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

#### **Basis for Disclaimer of Opinion**

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information described in Notes 3 and 5, which was certified or provided by John Hancock Life Insurance Company, the custodian of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian held the Plan's investment assets and executed investment transactions. The plan administrator has obtained a certification from the custodian as of May 31, 2013 and December 31, 2012, and for the period from January 1, 2013 to May 31, 2013, that the information provided to the plan administrator by the custodian was complete and accurate.



### **Disclaimer of Opinion**

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

#### Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements, other than that derived from information certified or provided by the custodian, has been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

#### **Basis of Accounting**

As described in Note 1 to the financial statements, the Plan trustees froze the Plan effective December 31, 2012 with the intention of terminating the Plan and transferring the assets to another plan. In accordance with accounting principles generally accepted in the United States of America, the Plan changed its basis of accounting used to value Plan assets from the ongoing plan basis to the liquidation basis of accounting for the period from January 1, 2013 to May 31, 2013. Our opinion is not modified with respect to that matter.

LBA Certified Public Duountants, P.A.

December 11, 2013

STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS MAY 31, 2013 AND DECEMBER 31, 2012

	2013		2012	
Net assets available for benefits:				
Investments, at fair value	\$	<u>-</u>	\$	509,099

See accompanying notes to financial statements and report of independent certified public accountants.

# STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS PERIOD FROM JANUARY 1, 2013 TO MAY 31, 2013

Additions to net assets attributed to:  Net appreciation in fair value of investments	\$ 34,719
Total additions	34,719
Deductions from net assets attributed to:	
Assets transferred to other plan	542,588
Benefits paid to participants	1,080
Administrative expenses	150
Total deductions	543,818
Net decrease	(509,099)
Net assets available for benefits:	
December 31, 2012	509,099
May 31, 2013	<u>\$</u>

See accompanying notes to financial statements and report of independent certified public accountants.

Notes to Financial Statements Period From January 1, 2013 to May 31, 2013 and December 31, 2012

#### 1. Description of Plan

The following description of Align Networks, Inc. 401(k) Plan (the Plan) provides only general information. Participants should refer to the Plan document for a more complete description of the Plan's provisions.

#### General

The Plan was a defined contribution 401(k) plan covering all employees of Align Networks, Inc. (the Company) who had 90 days of service and were age twenty-one or older. The Plan was formed on December 21, 2011, and effective January 1, 2012. All contributions to the Plan were participant-directed. The Plan was subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA).

#### Plan Termination

By action of the Plan trustees, the Plan was amended, effective December 31, 2012, to prevent any new employees from becoming eligible to participate in the Plan, to discontinue all contributions to the Plan, and to authorize the transfer of all the Plan's assets to the SmartComp, LLC 401(k) Plan (SmartComp Plan). Effective with the transfer of Plan assets on May 31, 2013, the Plan was merged into the SmartComp Plan, and the name of the Smartcomp Plan was changed to Align Network, Inc. 401(k) Plan. Participants retained all credit for all prior service toward vesting in any future Company matching, but only service subsequent to February 11, 2000, the effective date of the Smartcomp Plan, will be counted for profit sharing contribution vesting purposes.

#### **Participant Accounts**

Each participant's account was credited with Plan earnings and charged with an allocation of administrative expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

#### Vesting

Participants were vested immediately in their contributions plus actual earnings thereon. In addition, since the Company had not made any discretionary contributions to the Plan since inception, there have not been any forfeitures.

### 2. Summary of Significant Accounting Policies

This summary of significant accounting policies of the Plan is presented to assist in understanding the financial statements. The financial statements and accompanying notes are representations of the Plan's management. These accounting policies conform to accounting principles generally accepted in the United States of America and have been consistently applied in the presentation of the financial statements.

Notes to Financial Statements Period From January 1, 2013 to May 31, 2013 and December 31, 2012

#### 2. Summary of Significant Accounting Policies (Continued)

#### **Basis of Accounting**

As described in Note 1, effective December 31, 2012, the Plan was terminated. Accordingly, the financial statements of the Plan are prepared on a liquidation basis of accounting. The change in accounting had no effect on the financial statements as the Plan's assets were already reported at current market values.

#### <u>Investment Valuation and Income Recognition</u>

Investments were stated at fair value. Fair value was the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. See Note 5 for discussion of fair value measurements.

Purchases and sales of securities were recorded on a trade-date basis. Interest income was recorded on the accrual basis. Dividends were recorded upon receipt. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year, net of investment management fees

#### Payment of Benefits

Benefits were recorded when paid.

#### Administrative Expenses

Certain Plan expenses were deducted from participant's accounts and the remaining expenses were paid by the Company.

#### **Subsequent Events**

The Plan has evaluated events through the date of the report of independent certified public accountants, the date the financial statements were available to be issued.

#### 3. Information Certified by the Plan's Custodian

The investments included in the statements of net assets available for benefits at May 31, 2013, and the related investment income included in the statement of changes in net assets available for benefits for the period from January 1, 2013 to May 31, 2013, as well as the information provided in Note 4, have been derived from information certified as complete and accurate by John Hancock Life Insurance Company (John Hancock), the custodian of the Plan, in accordance with Section 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under ERISA.

Notes to Financial Statements Period From January 1, 2013 to May 31, 2013 and December 31, 2012

#### 4. Investments

The following table presents individual investments that represent 5% or more of the Plan's net assets at December 31, 2012:

Lifestyle Fund - Moderate Portfolio	\$ 206,992
Lifestyle Fund - Growth Portfolio	92,209
Lifestyle Fund - Balanced Portfolio	50,537

During 2013, the Plan had net appreciation (including gains and losses on investments bought and sold, as well as held during the year) in the fair value of pooled separate accounts of \$34,719.

#### 5. Fair Value Measurements

Authoritative guidance provides the framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under authoritative guidance are described as follows:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Plan has the ability to access.
- Level 2 Inputs to the valuation methodology include: quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable for the asset or liability; inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.
- Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The asset's or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

Following is a description of the valuation methodology used for assets measured at fair value:

Pooled separate accounts: Valued at net asset value (NAV) provided by the custodian. The NAV is based on the fair value of the underlying assets owned by the trust, minus its liabilities, divided by the number of units outstanding. There were no unfunded commitments to purchase investments at December 31, 2012. Participant-directed redemptions had no restrictions and were recorded at the end of every business day. The fair value of this fund has been estimated based on the fair value of the underlying investment contracts in the fund as reported by the issuer of the fund.

Notes to Financial Statements Period From January 1, 2013 to May 31, 2013 and December 31, 2012

#### 5. Fair Value Measurements (Continued)

The preceding method described above may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, while the Plan believes its valuation method is appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following table sets forth by level, within the fair value hierarchy, the Plan's assets at fair value at December 31, 2012:

	L	evel 1	 Level 2	Le	vel 3	 Total
Pooled separate accounts:						
Income	\$	-	\$ 208,130	\$	-	\$ 208,130
Growth		-	114,511		-	114,511
Asset allocation		-	91,827		-	91,827
Growth and income		-	56,911		-	56,911
Aggressive growth		-	37,463		-	37,463
Conservative			 257	-		 257
Total assets at fair value	\$		\$ 509,099	\$	_	\$ 509,099

There were no transfers between Level 1, Level 2 and Level 3 investments during the year ended December 31, 2012 and from the period of January 1, 2013 to May 31, 2013.

#### 6. Party-In-Interest Transactions

Certain Plan investments were held in separate accounts managed by John Hancock. John Hancock was the custodian as defined by the Plan and, therefore, these transactions qualified as party-in-interest transactions.

#### 7. Tax Status

The Plan adopted the SunGard Corbel Volume Submitter Profit Sharing Plan with CODA. The Internal Revenue Service informed SunGard by letter dated March 31, 2008, that the Plan, as then designed, was in compliance with applicable sections of the Internal Revenue Code (IRC). Although the Plan has been amended since adoption, the plan administrator believes that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC. Therefore, no provision for income taxes has been included in the Plan's financial statements.

Accounting principles generally accepted in the United States require plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of May 31, 2013, there were no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress.

#### Form 5500

Department of the Treasury Internal Revenue Service

## Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 8047(e), 8057(b), and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

Department of Labor Employee Benefits Society Administration	Complete all entries in accordance with the instructions to the Form 5500.			This Form is Open to Public Inspection			
Pension Benefit Gustarnty Corporation	the Instru						
Parti Annual Repo	rt identification information						
For calendar plan year 2012	or fiscal plan year beginning	01/01/2013	and ending 05/3	0/2013			
A This return/report is for:	a multiemployer plan;		a multiple-employer	plan; or			
	K a single-employer plan;		a DFE (specify)				
B This return/report is:	the first return/report; an amended return/report;		X the final return/repo	rt; lum/report (less than 12 r	πonths).		
C If the plan is a collectively-b	ergained plan, check here				▶□		
D Check box if filing under:	Form 5558;	ntion)	automatic extension	the DFVC	program;		
Party Basic Plan In	formation — enter all requested			····			
1a Name of plan	Tormation — enter air requested	i miorinadori		1b Three-digit plan	I		
Align Networks, I	Inc. 401 (K) Plan	•		number (PN) ▶	001		
imagii iimanozas, .	101 101 (11) 1111			1C Effective date of p	1		
				01/01/2012	(Cital)		
	d address; include room or suite numbe	er (employer, if for a sing	<del>lo e</del> mployer plan)	2b Employer Identific Number (EIN) 04-3821114	ation		
Align Networks, 3	LIIC.			2C Sponsor's telepho number (904) 998-02	11		
7785 Bay Meadows	Way			1	2d Business code (see		
Suite 302				Instructions)			
US Jacksonville	FL 32256			621498	8 H 55 8 SSS 10 1 4 5 K		
	te or incomplete filing of this return/r						
	other penalties set forth in the instructions well as the electronic version of this r						
SIGN JUM	L	12/15/0	David Olson				
Signature of plan	ı administrator	Date	Enter name of individual	signing as plan administr	8tor		
BIGH MUML		12/15/13	David Olson	·			
Signature of emp	ployer/plan sponsor	Date	Enter name of individual	signing as employer or p	lan sponsor		
SIGN HERE							
Signature of DFE		Date	Enter name of individual	signing as DFE			
Preparer's name (including f	firm name, if applicable) and address; in	nclude room or suite nu	mber. (optional) F	reparer's telephone num optional)	ber		
		•					
				A Section of the section of the sec	Astronomic		

_	Form 5500 (2012) Page <b>2</b>					
3a	Plan administrator's name and address X Same as Plan Sponsor Name Same as Plan Sponsor Address	3b /	Administrator's EIN			
			Administrator's telephone number			
4	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:		4b EIN			
a	Sponsor's name		4c PN			
5	Total number of participants at the beginning of the plan year	5	289			
6	Number of participants as of the end of the plan year (welfare plans complete only lines 6a, 6b, 6c, and 6d).					
а	Active participants	6a	0			
b	Retired or separated participants receiving benefits	6b	0			
С	Other retired or separated participants entitled to future benefits	6с				
d	Subtotal. Add lines <b>6a</b> , <b>6b</b> , and <b>6c</b>	6d	0			
е	Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	6e	0			
f	Total. Add lines 6d and 6a	6f	О			
g	Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	6g	0			
	Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested		0			
7	Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)  If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Co	7	L			
b	2E 2F 2G 2J 2K 3D  If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Cod	es in th	ne instructions:			
9a	Plan funding arrangement (check all that apply)  9b Plan benefit arrangement (check all that apply)  (1) x Insurance  (1) x Insurance	at app	ly)			
	(2) Code section 412(e)(3) insurance contracts (2) Code section 412(e)(3) insurance	ince co	ontracts			
	(3) X Trust (3) X Trust	11100 00	intracts			
	(4) General assets of the sponsor (4) General assets of the sponsor	ſ				
10	Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached	ed. (Se	e instructions)			
a	Pension Schedules b General Schedules					
	(1) X R (Retirement Plan Information) (1) X H (Financial Inform	ation)				
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan (3) x 1 A (Insurance Information)	ation -	•			
	actuary (4) X C (Service Provide	r Inforr	mation)			
	(3) SB (Single-Employer Defined Benefit Plan Actuarial (5) X D (DFE/Participation Information) - signed by the plan actuary (6) G (Financial Trans	-	•			

Form 5500 (2012)

#### **Sponsor Location Information**

Sponsorname: Align Networks, Inc.

Sponsor DBA name: Sponsor care of name:

7785 Baymeadows Way

Suite 302

US Jacksonville FL 32256