Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2012

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension B	enefit Guaranty Corporation	▶ Complete all entries in acc	cordance with the instru	ctions to the Form 550	0-SF.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Part I	Annual Report	Identification Information						
For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 03/31/2012								
	turn/report is for:	X a single-employer plan □		olan (not multiemployer)	a one-participant plan			
B This re	turn/report is:	the first return/report	the final return/report					
		an amended return/report	x a short plan year retur	n/report (less than 12 mg	onths)	_		
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	ım	
		special extension (enter descri	ption)					
Part II	Basic Plan Info	rmation—enter all requested info	ormation					
1a Name					1b	Three-digit		
DIMAX 401	<					plan number	001	
					10	(PN) Fffective date of		
					10	Effective date of plan 01/01/2008		
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) DIMAX INC.					2b	Employer Identification Number (EIN) 41-2080749		
					2c	Sponsor's telep		
6115 N.E. 185TH STREET KENMORE, WA 98028						206-356-3506 2d Business code (see instruc		
						54151	` ,	
3a Plan a	administrator's name ar	nd address XSame as Plan Sponso	or Name Same as Pla	n Sponsor Address	3b	Administrator's	EIN	
					3с	Administrator's	telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the					4b en			
		e plan sponsor has changed since it mber from the last return/report.	ne iast retum/report liled i	or this plan, enter the	4b EIN			
a Sponsor's name					4c PN			
5a Total number of participants at the beginning of the plan year						1		
b Total	number of participants	at the end of the plan year			5b		0	
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c		0	
_		s during the plan year invested in el					X Yes No	
_	·	f the annual examination and report	•	*				
		? (See instructions on waiver eligibil					X Yes No	
If you	answered "No" to e	ither line 6a or line 6b, the plan ca	annot use Form 5500-SF	and must instead use	Form	5500.		
		or incomplete filing of this return						
SB or Scho		her penalties set forth in the instruct nd signed by an enrolled actuary, as plete.						
SIGN	Filed with authorized/	valid electronic signature.	12/25/2013	RICHARD W LUCK				
HERE	Signature of plan a	dministrator	Date	Enter name of individu	dual signing as plan administrator			
SIGN	Filed with authorized/	valid electronic signature.	12/25/2013	RICHARD W LUCK				
HERE	Signature of emplo		Date	Enter name of individu				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)				Prep	arer's telephone	number (optional)		

Form 5500-SF 2012 Page **2**

Dom	t III Financial Information		<u> </u>						
Par	•		1 () 2		1				
	Plan Assets and Liabilities	_		(a) Beginning of Year			(b) End of Year		
	Total plan assets	7a 	6414	17				0	
	Total plan liabilities	7b	0444						
	Net plan assets (subtract line 7b from line 7a)	7c		64147			0		
	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total			
	Contributions received or receivable from: (1) Employers	8a(1)							
	(2) Participants	8a(2)							
	(3) Others (including rollovers)	8a(3)							
	Other income (loss)	8b	3211						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					32	11	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)			65683					
	Certain deemed and/or corrective distributions (see instructions)	8e	12	125					
f	Administrative service providers (salaries, fees, commissions)	8f	155	0					
g	Other expenses	8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					673	358	
i	Net income (loss) (subtract line 8h from line 8c)	8i					-64	147	
j	Transfers to (from) the plan (see instructions)	8i							
Par	t IV Plan Characteristics	, ,,	l						
	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	les in t	he instructions:		
Part	V Camplianas Quastians								
10					Yes	No	A		
<u>a</u>	a Was there a failure to transmit to the plan any participant contributions within the time period described in				163	X	Amoun		
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X			
	on line 10a.)			10b	Χ				
<u>c</u>	Was the plan covered by a fidelity bond?			10c	^			7000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-	•	10d		X			
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service or other organization that provides some or all constructions.)	of the bene	efits under the plan? (See	10e		X			
f	instructions.)			10e		Χ			
<u>g</u>						X			
h —-	2520.101-3.)			10h		X			
_ I	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3								
Part	VI Pension Funding Compliance								
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							es No	
	Enter the amount from Schedule SB line 39					11a	1 -		
12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X						es X No		
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, an granting the waiver. Month				, and 6	enter th Day	e date of the letter Year	ruling	
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.					-				
b Enter the minimum required contribution for this plan year						12b			

Form 5500-SF 2012 Page 3 - 1						
Enter the amount contributed by the employer to the plan for this plan year	12c					
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A		
VII Plan Terminations and Transfers of Assets						
Has a resolution to terminate the plan been adopted in any plan year?	X	'es No				
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			X Yes	No		
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			13c(3) PN(s)			
VIII Trust Information (optional)			<u> </u>			
	Nill the minimum funding amount reported on line 12d be met by the funding deadline?	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year		

14b Trust's EIN

14a Name of trust

Letter of Explanation Mitigating Circumstances Surrounding Late Filing of Form 5500

To Whom It May Concern:

The financial crisis of 2008 had a devastating effect on DiMax, Inc., a computer consulting company that I started in 2003. Prior to the start of crisis we had 8 people working for us and were on-track to significantly increase our size and bookings in the coming year. By March of 2009 it was clear that we would have to significantly alter how we did business to survive in this new economy. We tried pivoting. That failed. We laid off employees. That only helped temporarily. In the spring of 2009 my wife developed a strange illness (chronic fatigue) that prevented her from working. Through 2010 and 2011 the downward spiral (both in her health and the company's) continued. By the end of 2011, I was the only one left at the company. And this only because I had shoveled all of our family's savings and what remained of our 401(k)'s into the company's coffers, trying to keep it afloat. We needed to. The company provided the health insurance we needed to help cover part of the costs for my wife's treatments.

By December, 2011, it was dawning on me that the company was in serious trouble. We'd also received notice that our insurance company was dropping us (too few employees). I had one short-term contract with a local company, but it was unclear about whether or not I would actually get paid. So I started applying for jobs. It took a couple of months, but I landed as an employee with another company in February, 2012 (no benefits). At the time I still held out hope that I could earn enough money to resurrect DiMax, but finally came to realize that I simply would not be able to do so. I formally dissolved DiMax with the State of Washington in early 2013 and we're filing our final 1120 with the IRS shortly. The company I tried so hard to save has gone under anyway.

All of the above is no excuse – but simply shared so that you might better understand where my head was at the time the Form 5500 was due to be filed. In early December, 2011, I requested to terminate the company's 401(k) (document attached). Honestly, I don't remember if or when I received notice that the Form 5500 needed to be filed. My primary concerns were that my wife was going through a particularly tough spell physically, we had mounting bills from her treatments, no money in the bank, and I had no work lined up for the coming year. I do recall receiving several notifications from ADP regarding the termination process and, not having done any of this before, assumed (now I know incorrectly) that the 2011 Form 5500 and the final Form 5500 were somehow the same thing and that this would be taken care of automatically after the termination of the plan.

The company is insolvent. We, personally, do not have the financial means to pay even a modest fine at this time. I hope you can see it in your heart to help us move past this, get the forms filed that need to be filed, and to continue on.

Sincerely,

Richard Luck, (former) President, DiMax, Inc.