Form 5500

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ **Complete all entries in accordance with the instructions to the Form 5500.**

OMB Nos. 1210-0110
1210-0089

2012

This Form is Open to Public Inspection

Part I Annual Report Identification Information

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A This return/report is for:
- [ ] a multiemployer plan;
- [ ] a multiple-employer plan; or
- [X] a single-employer plan;
- [ ] a DFE (specify) ____

B This return/report is:
- [ ] the first return/report;
- [ ] the final return/report;
- [X] an amended return/report;
- [ ] a short plan year return/report (less than 12 months).

C If the plan is a collectively-bargained plan, check here. . . . . ▶ [ ]

D Check box if filing under:
- [X] Form 5558;
- [ ] automatic extension;
- [ ] the DFVC program;
- [ ] special extension (enter description)

Part II Basic Plan Information—enter all requested information

1a Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

1b Three-digit plan number (PN) ▶ 001

1c Effective date of plan 01/01/1995

2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan)

COMFORT WINDOW CO., INC.

60 JOHN GLENN BOULEVARD
SYRACUSE, NY 13209

2b Employer Identification Number (EIN) 16-1263149

2c Sponsor's telephone number 315-457-0022

2d Business code (see instructions) 339900

Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.

Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including accompanying schedules, statements and attachments, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.

SIGN HERE	Filed with authorized/valid electronic signature.	12/30/2013	LAURIE GENTILE
	Signature of plan administrator	Date	Enter name of individual signing as plan administrator
SIGN HERE	Filed with authorized/valid electronic signature.	12/30/2013	LAURIE GENTILE
	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor
SIGN HERE			
	Signature of DFE	Date	Enter name of individual signing as DFE

Preparer's name (including firm name, if applicable) and address; include room or suite number. (optional)

Preparer's telephone number (optional)

3a Plan administrator's name and address [X] Same as Plan Sponsor Name [ ] Same as Plan Sponsor Address	**3b** Administrator's EIN	
	3c Administrator's telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN and the plan number from the last return/report:	**4b** EIN	
a Sponsor's name	**4c** PN	
5 Total number of participants at the beginning of the plan year	**5**	143
6 Number of participants as of the end of the plan year (welfare plans complete only lines **6a, 6b, 6c,** and **6d**).		
a Active participants	**6a**	162
b Retired or separated participants receiving benefits	**6b**	6
c Other retired or separated participants entitled to future benefits	**6c**	4
d Subtotal. Add lines **6a**, **6b**, and **6c**	**6d**	172
e Deceased participants whose beneficiaries are receiving or are entitled to receive benefits	**6e**	0
f Total. Add lines **6d** and **6e**	**6f**	172
g Number of participants with account balances as of the end of the plan year (only defined contribution plans complete this item)	**6g**	148
h Number of participants that terminated employment during the plan year with accrued benefits that were less than 100% vested	**6h**	7
7 Enter the total number of employers obligated to contribute to the plan (only multiemployer plans complete this item)	**7**	

8a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristics Codes in the instructions:
2E 2J 2K 3D 2D

b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristics Codes in the instructions:

9a Plan funding arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

9b Plan benefit arrangement (check all that apply)

(1)	[ ]	Insurance
(2)	[ ]	Code section 412(e)(3) insurance contracts
(3)	[X]	Trust
(4)	[ ]	General assets of the sponsor

10 Check all applicable boxes in 10a and 10b to indicate which schedules are attached, and, where indicated, enter the number attached. (See instructions)

a Pension Schedules

(1)	[X]	**R** (Retirement Plan Information)
(2)	[ ]	**MB** (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary
(3)	[ ]	**SB** (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary

b General Schedules

(1)	[X]	**H** (Financial Information)
(2)	[ ]	**I** (Financial Information – Small Plan)
(3)	[ ]	**A** (Insurance Information)
(4)	[ ]	**C** (Service Provider Information)
(5)	[X]	**D** (DFE/Participating Plan Information)
(6)	[ ]	**G** (Financial Transaction Schedules)

SCHEDULE D (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

DFE/Participating Plan Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan or DFE sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I **Information on interests in MTIAs, CCTs, PSAs, and 103-12 IEs (to be completed by plans and DFEs)**
(Complete as many entries as needed to report all interests in DFEs)

a Name of MTIA, CCT, PSA, or 103-12 IE: JOHN HANCOCK OF NEW YORK

b Name of sponsor of entity listed in (a): JOHN HANCOCK OF NEW YORK

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)
13-3646501-000	M	4601935

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

a Name of MTIA, CCT, PSA, or 103-12 IE:

b Name of sponsor of entity listed in (a):

c EIN-PN	**d** Entity code	**e** Dollar value of interest in MTIA, CCT, PSA, or 103-12 IE at end of year (see instructions)

Part II Information on Participating Plans (to be completed by DFEs)

(Complete as many entries as needed to report all participating plans)

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

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b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

a Plan name

b Name of plan sponsor	**c** EIN-PN

SCHEDULE H (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Financial Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA), and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Asset and Liability Statement

1 Current value of plan assets and liabilities at the beginning and end of the plan year. Combine the value of plan assets held in more than one trust. Report the value of the plan's interest in a commingled fund containing the assets of more than one plan on a line-by-line basis unless the value is reportable on lines 1c(9) through 1c(14). Do not enter the value of that portion of an insurance contract which guarantees, during this plan year, to pay a specific dollar benefit at a future date. **Round off amounts to the nearest dollar.** MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 1b(1), 1b(2), 1c(8), 1g, 1h, and 1i. CCTs, PSAs, and 103-12 IEs also do not complete lines 1d and 1e. See instructions.

Assets		(a) Beginning of Year	(b) End of Year
a Total noninterest-bearing cash	1a	0	7386
b Receivables (less allowance for doubtful accounts):			
(1) Employer contributions	1b(1)	0	
(2) Participant contributions	1b(2)	0	
(3) Other	1b(3)	0	
c General investments:			
(1) Interest-bearing cash (include money market accounts & certificates of deposit)	1c(1)	0	
(2) U.S. Government securities	1c(2)	0	
(3) Corporate debt instruments (other than employer securities):			
(A) Preferred	1c(3)(A)	0	
(B) All other	1c(3)(B)	0	
(4) Corporate stocks (other than employer securities):			
(A) Preferred	1c(4)(A)	0	
(B) Common	1c(4)(B)	0	
(5) Partnership/joint venture interests	1c(5)	0	
(6) Real estate (other than employer real property)	1c(6)	0	
(7) Loans (other than to participants)	1c(7)	0	
(8) Participant loans	1c(8)	0	
(9) Value of interest in common/collective trusts	1c(9)	0	
(10) Value of interest in pooled separate accounts	1c(10)	0	
(11) Value of interest in master trust investment accounts	1c(11)	3712580	4601935
(12) Value of interest in 103-12 investment entities	1c(12)	0	
(13) Value of interest in registered investment companies (e.g., mutual funds)	1c(13)		
(14) Value of funds held in insurance company general account (unallocated contracts)	1c(14)		
(15) Other	1c(15)		35623

			(a) Beginning of Year	(b) End of Year
1d	Employer-related investments:			
	(1) Employer securities	1d(1)		
	(2) Employer real property	1d(2)		
e	Buildings and other property used in plan operation	1e		
f	Total assets (add all amounts in lines 1a through 1e)	1f	3712580	4644944
	Liabilities			
g	Benefit claims payable	1g		
h	Operating payables	1h		
i	Acquisition indebtedness	1i		
j	Other liabilities	1j		
k	Total liabilities (add all amounts in lines 1g through1j)	1k		
	Net Assets			
l	Net assets (subtract line 1k from line 1f)	1l	3712580	4644944

Part II Income and Expense Statement

2 Plan income, expenses, and changes in net assets for the year. Include all income and expenses of the plan, including any trust(s) or separately maintained fund(s) and any payments/receipts to/from insurance carriers. Round off amounts to the nearest dollar. MTIAs, CCTs, PSAs, and 103-12 IEs do not complete lines 2a, 2b(1)(E), 2e, 2f, and 2g.

	Income		(a) Amount	(b) Total
a	**Contributions:**			
	(1) Received or receivable in cash from: **(A)** Employers	2a(1)(A)	189098	
	(B) Participants	2a(1)(B)	319003	
	(C) Others (including rollovers)	2a(1)(C)	23740	
	(2) Noncash contributions	2a(2)		
	(3) Total contributions. Add lines **2a(1)(A), (B), (C),** and line **2a(2)**	2a(3)		531841
b	**Earnings on investments:**			
	(1) Interest:			
	(A) Interest-bearing cash (including money market accounts and certificates of deposit)	2b(1)(A)		
	(B) U.S. Government securities	2b(1)(B)		
	(C) Corporate debt instruments	2b(1)(C)		
	(D) Loans (other than to participants)	2b(1)(D)		
	(E) Participant loans	2b(1)(E)		
	(F) Other	2b(1)(F)		
	(G) Total interest. Add lines **2b(1)(A)** through **(F)**	2b(1)(G)		
	(2) Dividends: **(A)** Preferred stock	2b(2)(A)		
	(B) Common stock	2b(2)(B)		
	(C) Registered investment company shares (e.g. mutual funds)	2b(2)(C)		
	(D) Total dividends. Add lines **2b(2)(A)**, **(B)**, and **(C)**	2b(2)(D)		
	(3) Rents	2b(3)		
	(4) Net gain (loss) on sale of assets: **(A)** Aggregate proceeds	2b(4)(A)		
	(B) Aggregate carrying amount (see instructions)	2b(4)(B)		
	(C) Subtract line **2b(4)(B)** from line **2b(4)(A)** and enter result	2b(4)(C)		
	(5) Unrealized appreciation (depreciation) of assets: **(A)** Real estate	2b(5)(A)		
	(B) Other	2b(5)(B)		
	(C) Total unrealized appreciation of assets. Add lines **2b(5)(A)** and **(B)**	2b(5)(C)		

		(a) Amount	(b) Total
(6) Net investment gain (loss) from common/collective trusts	2b(6)		
(7) Net investment gain (loss) from pooled separate accounts	2b(7)		514594
(8) Net investment gain (loss) from master trust investment accounts	2b(8)		
(9) Net investment gain (loss) from 103-12 investment entities	2b(9)		
(10) Net investment gain (loss) from registered investment companies (e.g., mutual funds)	2b(10)		
c Other income	2c		
d Total income. Add all **income** amounts in column (b) and enter total	2d		1046435
Expenses			
e Benefit payment and payments to provide benefits:			
(1) Directly to participants or beneficiaries, including direct rollovers	2e(1)	107268	
(2) To insurance carriers for the provision of benefits	2e(2)		
(3) Other	2e(3)		
(4) Total benefit payments. Add lines **2e(1)** through **(3)**	2e(4)		107268
f Corrective distributions (see instructions)	2f		
g Certain deemed distributions of participant loans (see instructions)	2g		
h Interest expense	2h		
i Administrative expenses: (1) Professional fees	2i(1)		
(2) Contract administrator fees	2i(2)	6803	
(3) Investment advisory and management fees	2i(3)		
(4) Other	2i(4)		
(5) Total administrative expenses. Add lines **2i(1)** through **(4)**	2i(5)		6803
j Total expenses. Add all **expense** amounts in column (b) and enter total	2j		114071
Net Income and Reconciliation			
k Net income (loss). Subtract line **2j** from line **2d**	2k		932364
l Transfers of assets:			
(1) To this plan	2l(1)		
(2) From this plan	2l(2)		

Part III Accountant's Opinion

3 Complete lines 3a through 3c if the opinion of an independent qualified public accountant is attached to this Form 5500. Complete line 3d if an opinion is not attached.

a The attached opinion of an independent qualified public accountant for this plan is (see instructions):

(1) [ ] Unqualified **(2)** [ ] Qualified **(3)** [X] Disclaimer **(4)** [ ] Adverse

b Did the accountant perform a limited scope audit pursuant to 29 CFR 2520.103-8 and/or 103-12(d)? [X] Yes [ ] No

c Enter the name and EIN of the accountant (or accounting firm) below:

(1) Name: TESTONE, MARSHALL & DISCENZA **(2)** EIN: 16-1076996

d The opinion of an independent qualified public accountant is **not attached** because:

(1) [ ] This form is filed for a CCT, PSA, or MTIA. **(2)** [ ] It will be attached to the next Form 5500 pursuant to 29 CFR 2520.104-50.

Part IV Compliance Questions

4 CCTs and PSAs do not complete Part IV. MTIAs, 103-12 IEs, and GIAs do not complete lines 4a, 4e, 4f, 4g, 4h, 4k, 4m, 4n, or 5. 103-12 IEs also do not complete lines 4j and 4l. MTIAs also do not complete line 4l.

During the plan year:

		Yes	No	Amount
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? Continue to answer "Yes" for any prior year failures until fully corrected. (See instructions and DOL's Voluntary Fiduciary Correction Program.)	4a		X	
b Were any loans by the plan or fixed income obligations due the plan in default as of the close of the plan year or classified during the year as uncollectible? Disregard participant loans secured by participant's account balance. (Attach Schedule G (Form 5500) Part I if "Yes" is checked.)	4b		X	

			Yes	No	Amount
c	Were any leases to which the plan was a party in default or classified during the year as uncollectible? (Attach Schedule G (Form 5500) Part II if "Yes" is checked.)	4c		X	
d	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 4a. Attach Schedule G (Form 5500) Part III if "Yes" is checked.)	4d		X	
e	Was this plan covered by a fidelity bond?	4e	X		500000
f	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?	4f		X	
g	Did the plan hold any assets whose current value was neither readily determinable on an established market nor set by an independent third party appraiser?	4g		X	
h	Did the plan receive any noncash contributions whose value was neither readily determinable on an established market nor set by an independent third party appraiser?	4h		X	
i	Did the plan have assets held for investment? (Attach schedule(s) of assets if "Yes" is checked, and see instructions for format requirements.)	4i	X		
j	Were any plan transactions or series of transactions in excess of 5% of the current value of plan assets? (Attach schedule of transactions if "Yes" is checked, and see instructions for format requirements.)	4j		X	
k	Were all the plan assets either distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC?	4k		X	
l	Has the plan failed to provide any benefit when due under the plan?	4l		X	
m	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	4m		X	
n	If 4m was answered "Yes," check the "Yes" box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3.	4n			

5a Has a resolution to terminate the plan been adopted during the plan year or any prior plan year?
If "Yes," enter the amount of any plan assets that reverted to the employer this year ☐ **Yes** ☒ **No** **Amount:**

5b If, during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)

5b(1) Name of plan(s)	**5b(2)** EIN(s)	**5b(3)** PN(s)

Part V Trust Information (optional)

6a Name of trust	**6b** Trust's EIN

SCHEDULE R (Form 5500)

Department of the Treasury
Internal Revenue Service

Department of Labor
Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Retirement Plan Information

This schedule is required to be filed under section 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and section 6058(a) of the Internal Revenue Code (the Code).

▶ **File as an attachment to Form 5500.**

OMB No. 1210-0110

2012

This Form is Open to Public Inspection.

For calendar plan year 2012 or fiscal plan year beginning 01/01/2012 and ending 12/31/2012

A Name of plan
COMFORT WINDOW CO., INC. 401(K) SALARY DEFERRAL PLAN

B Three-digit plan number (PN) ▶ 001

C Plan sponsor's name as shown on line 2a of Form 5500
COMFORT WINDOW CO., INC.

D Employer Identification Number (EIN)
16-1263149

Part I Distributions

All references to distributions relate only to payments of benefits during the plan year.

1 Total value of distributions paid in property other than in cash or the forms of property specified in the instructions **1** 0

2 Enter the EIN(s) of payor(s) who paid benefits on behalf of the plan to participants or beneficiaries during the year (if more than two, enter EINs of the two payors who paid the greatest dollar amounts of benefits):

EIN(s): 13-3645601

Profit-sharing plans, ESOPs, and stock bonus plans, skip line 3.

3 Number of participants (living or deceased) whose benefits were distributed in a single sum, during the plan year. **3**

Part II Funding Information (If the plan is not subject to the minimum funding requirements of section of 412 of the Internal Revenue Code or ERISA section 302, skip this Part)

4 Is the plan administrator making an election under Code section 412(d)(2) or ERISA section 302(d)(2)? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

If the plan is a defined benefit plan, go to line 8.

5 If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions and enter the date of the ruling letter granting the waiver. **Date:** Month _____ Day _____ Year _____

If you completed line 5, complete lines 3, 9, and 10 of Schedule MB and do not complete the remainder of this schedule.

6 **a** Enter the minimum required contribution for this plan year (include any prior year accumulated funding deficiency not waived) **6a**

b Enter the amount contributed by the employer to the plan for this plan year **6b**

c Subtract the amount in line 6b from the amount in line 6a. Enter the result (enter a minus sign to the left of a negative amount) **6c**

If you completed line 6c, skip lines 8 and 9.

7 Will the minimum funding amount reported on line 6c be met by the funding deadline? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

8 If a change in actuarial cost method was made for this plan year pursuant to a revenue procedure or other authority providing automatic approval for the change or a class ruling letter, does the plan sponsor or plan administrator agree with the change? ..... ☐ **Yes** ☐ **No** ☐ **N/A**

Part III Amendments

9 If this is a defined benefit pension plan, were any amendments adopted during this plan year that increased or decreased the value of benefits? If yes, check the appropriate box. If no, check the "No" box. ..... ☐ **Increase** ☐ **Decrease** ☐ **Both** ☐ **No**

Part IV ESOPs (see instructions). If this is not a plan described under Section 409(a) or 4975(e)(7) of the Internal Revenue Code, skip this Part.

10 Were unallocated employer securities or proceeds from the sale of unallocated securities used to repay any exempt loan? ..... ☐ **Yes** ☐ **No**

11 **a** Does the ESOP hold any preferred stock? ..... ☐ **Yes** ☐ **No**

b If the ESOP has an outstanding exempt loan with the employer as lender, is such loan part of a "back-to-back" loan? (See instructions for definition of "back-to-back" loan.) ..... ☐ **Yes** ☐ **No**

12 Does the ESOP hold any stock that is not readily tradable on an established securities market? ..... ☐ **Yes** ☐ **No**

Part V Additional Information for Multiemployer Defined Benefit Pension Plans

13 Enter the following information for each employer that contributed more than 5% of total contributions to the plan during the plan year (measured in dollars). See instructions. *Complete as many entries as needed to report all applicable employers.*

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify):

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

---

a Name of contributing employer

b EIN **c** Dollar amount contributed by employer

d Date collective bargaining agreement expires *(If employer contributes under more than one collective bargaining agreement, check box* ☐ *and see instructions regarding required attachment. Otherwise, enter the applicable date.)* Month _____ Day _____ Year _____

e Contribution rate information *(If more than one rate applies, check this box* ☐ *and see instructions regarding required attachment. Otherwise, complete lines 13e(1) and 13e(2).)*
(1) Contribution rate (in dollars and cents) _____
(2) Base unit measure: ☐ Hourly ☐ Weekly ☐ Unit of production ☐ Other (specify): _____

14 Enter the number of participants on whose behalf no contributions were made by an employer as an employer of the participant for:

a	The current year	**14a**	
b	The plan year immediately preceding the current plan year	**14b**	
c	The second preceding plan year	**14c**	

15 Enter the ratio of the number of participants under the plan on whose behalf no employer had an obligation to make an employer contribution during the current plan year to:

a	The corresponding number for the plan year immediately preceding the current plan year	**15a**	
b	The corresponding number for the second preceding plan year	**15b**	

16 Information with respect to any employers who withdrew from the plan during the preceding plan year:

a	Enter the number of employers who withdrew during the preceding plan year	**16a**	
b	If line 16a is greater than 0, enter the aggregate amount of withdrawal liability assessed or estimated to be assessed against such withdrawn employers	**16b**	

17 If assets and liabilities from another plan have been transferred to or merged with this plan during the plan year, check box and see instructions regarding supplemental information to be included as an attachment. ☐

Part VI Additional Information for Single-Employer and Multiemployer Defined Benefit Pension Plans

18 If any liabilities to participants or their beneficiaries under the plan as of the end of the plan year consist (in whole or in part) of liabilities to such participants and beneficiaries under two or more pension plans as of immediately before such plan year, check box and see instructions regarding supplemental information to be included as an attachment ☐

19 If the total number of participants is 1,000 or more, complete lines (a) through (c)

a Enter the percentage of plan assets held as:
Stock: _____% Investment-Grade Debt: _____% High-Yield Debt: _____% Real Estate: _____% Other: _____%

b Provide the average duration of the combined investment-grade and high-yield debt:
☐ 0-3 years ☐ 3-6 years ☐ 6-9 years ☐ 9-12 years ☐ 12-15 years ☐ 15-18 years ☐ 18-21 years ☐ 21 years or more

c What duration measure was used to calculate line 19(b)?
☐ Effective duration ☐ Macaulay duration ☐ Modified duration ☐ Other (specify):

FINANCIAL STATEMENTS

COMFORT WINDOW COMPANY, INC.
401(k) SALARY DEFERRAL PLAN

DECEMBER 31, 2012 AND 2011

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN

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INDEPENDENT AUDITOR'S REPORT

To the Trustees
Comfort Window Company, Inc. 401(k) Salary Deferral Plan
Syracuse, New York

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Comfort Window Company, Inc. 401(k) Salary Deferral Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2012 and 2011, and the related statement of changes in net assets available for benefits for the year ended December 31, 2012, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by John Hancock Life Insurance Company of New York, the custodian of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of December 31, 2012 and 2011, and for the year ended December 31, 2012, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedule of Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2012, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Preston, Marshall & Discenza, LLP

August 14, 2013
Syracuse, New York

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2012 AND 2011

	2012	2011
CASH	$ 7,386	$ 7,386
INVESTMENTS, AT FAIR VALUE:		
Pooled separate accounts	4,601,935	3,676,458
Stable value fund	35,623	28,736
Total investments, at fair value	4,637,558	3,705,194
NET ASSETS AVAILABLE FOR BENEFITS	$ 4,644,944	$ 3,712,580

See Accompanying Notes to the Financial Statements

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2012

ADDITIONS TO NET ASSETS ATTRIBUTED TO:	
Investment income:	
Net appreciation in fair value of investments	$ 514,594
Contributions:	
Company	189,098
Participant	319,003
Rollovers	23,740
Total contributions	531,841
Total additions	1,046,435
DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO:	
Benefits paid to participants	107,268
Administrative and investment expenses	6,803
Total deductions	114,071
NET INCREASE	932,364
NET ASSETS AVAILABLE FOR BENEFITS - JANUARY 1, 2012	3,712,580
NET ASSETS AVAILABLE FOR BENEFITS - DECEMBER 31, 2012	$ 4,644,944

See Accompanying Notes to the Financial Statements

1. DESCRIPTION OF PLAN

The following description of the Comfort Window Company, Inc. (the "Company") 401(k) Salary Deferral Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering substantially all employees who have attained the age of twenty-one and completed one year of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Contributions

Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined by the Plan, subject to certain limitations established by the Internal Revenue Code. In addition, participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. The Company establishes the matching contribution annually. In 2012, the Company elected to match each participant's elective deferral by contributing 100% of the first 4% of compensation deferred.

Discretionary contributions may be made at the option of the Company's management. These contributions are allocated based on each participant's compensation. In order to receive an allocation of a discretionary contribution, participants must be an eligible participant in the Plan and be employed on the last day of the Plan year. Contributions are subject to certain limitations. No discretionary contribution was made in 2012 or 2011.

Participant Accounts

Each participant's account is credited with the participant's contribution, Company matching contribution, allocation of any discretionary Company contribution and Plan earnings and charged with an allocation of administrative and investment expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their contributions and Company matching contributions plus actual earnings thereon. Vesting in the Company's discretionary contributions portion of their accounts plus actual earnings thereon is based on years of continuous service. A participant is 100% vested after six years of credited service.

(Continued)

1. DESCRIPTION OF PLAN (CONT'D)

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested interest in his or her account.

Investment Options

Investments are held within various investment funds offered and maintained by John Hancock Life Insurance. Contributions to the Plan are invested, at the discretion of the participant, in one or a combination of available investment fund options.

Forfeited Accounts

Forfeited non-vested accounts totaled $7,386 at December 31, 2012 and 2011, respectively. These amounts are used to pay certain administrative expenses of the Plan. Forfeited non-vested accounts in excess of administrative expenses are used to reduce Company contributions. The Company did not use forfeited funds to pay administrative fees or reduce Company contributions in 2012.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan have been prepared on the accrual basis of accounting.

Payment of Benefits

Benefits are recorded when paid.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from these estimates.

(Continued)

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONT'D)

Investment Valuation and Income Recognition

The Plan investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Subsequent Events

Subsequent events have been evaluated through August 14, 2013, the date the financial statements were available to be issued.

3. FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurement) and the lowest priority to unobservable inputs (level 3 measurement). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2012 and 2011.

- *Pooled separate accounts* invest directly in designated investment funds. These accounts own and hold the underlying investment funds. They are valued at their accumulated unit value that is determined daily based on the net asset value of the quoted market prices of the underlying investment, the fund's dividends and the contracts separate account charges.
- *Stable value fund* is not fully benefit-responsive and is valued at the net asset value of units held by the Plan, based on quoted market prices of the underlying investments. A market value adjustment may be applied under certain circumstances, including termination of the contract.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth the level, within the fair value hierarchy, of the Plan's fair value measurement at December 31, 2012 and 2011.

Fair value measurement at December 31, 2012:

	Level 1	Level 2	Level 3	Total
Pooled separate accounts:				
Blended lifestyle funds	$ -	$ 3,626,296	$ -	$ 3,626,296
Income funds	-	43,209	-	43,209
Growth and income funds	-	154,098	-	154,098
Growth funds	-	323,381	-	323,381
Aggressive growth funds	-	454,951	-	454,951
Total pooled separate accounts	-	4,601,935	-	4,601,935
Stable value fund	-	35,623	-	35,623
Total investments, at fair value	$ -	$ 4,637,558	$ -	$ 4,637,558

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

Fair value measurement at December 31, 2011:

	Level 1	Level 2	Level 3	Total
Pooled separate accounts:				
Blended lifestyle funds	$ -	$ 3,117,429	$ -	$ 3,117,429
Income funds	-	53,202	-	53,202
Growth and income funds	-	124,381	-	124,381
Growth funds	-	142,731	-	142,731
Aggressive growth funds	-	238,715	-	238,715
Total pooled separate accounts	-	3,676,458	-	3,676,458
Stable value fund	-	28,736	-	28,736
Total investments, at fair value	$ -	$ 3,705,194	$ -	$ 3,705,194

Fair Value of Investments in Entities that Use Net Asset Value

The following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2012 and 2011, respectively.

December 31, 2012	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Account (PSA) - Blended lifestyle funds	$3,626,296	n/a	Daily	n/a
PSA - Income funds	43,209	n/a	Daily	n/a
PSA - Growth and income funds	154,098	n/a	Daily	n/a
PSA - Growth funds	323,381	n/a	Daily	n/a
PSA - Aggressive growth funds	454,951	n/a	Daily	n/a
Stable value fund	35,623	n/a	Daily	n/a

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

December 31, 2011	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Account (PSA) - Blended lifestyle funds	$3,117,429	n/a	Daily	n/a
PSA - Income funds	53,202	n/a	Daily	n/a
PSA - Growth and income funds	124,381	n/a	Daily	n/a
PSA - Growth funds	142,731	n/a	Daily	n/a
PSA - Aggressive growth funds	238,715	n/a	Daily	n/a
Stable value fund	28,736	n/a	Daily	n/a

4. INVESTMENTS

Investment balances which represent 5% or more of plan assets available for benefits as of December 31:

	2012	2011
Lifestyle Fund - Balanced	$ 1,331,345	$ 1,051,471
Lifestyle Fund - Growth	1,222,753	992,471
Lifestyle Fund - Conservative	464,743	610,177
Lifestyle Fund - Aggressive	310,437	227,882
Lifestyle Fund - Moderate	278,055	223,698

During 2012, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $514,594 as follows:

Pooled separate accounts	$ 514,175
Stable value fund	419
	$ 514,594

(Continued)

5. FINANCIAL INFORMATION CERTIFIED BY CUSTODIAN

The Plan administrator has received certifications from John Hancock Life Insurance Company of New York, the custodian of the Plan, which state that the following information is complete and accurate as of December 31, 2012 and 2011, and for the year ended December 31, 2012.

- Statements of Net Assets Available for Benefits - Cash and investments, at fair value
- Statement of Changes in Net Assets Available for Benefits - Net appreciation in fair value of investments
- Note 4 - Investment balances and net appreciation in fair value of investments
- Schedule H, Line 4i - Schedule of Assets (Held at End of Year) - All items and amounts

6. RELATED-PARTY TRANSACTIONS

Plan investments include funds managed by John Hancock Life Insurance Company of New York, who also acted as custodian as defined by the Plan. These transactions qualify as party-in-interest transactions. Administrative and investment management fees paid by the Plan to John Hancock Life Insurance Company amounted to $6,803 for the year ended December 31, 2012.

7. INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Plan by a letter dated March 31, 2008 that the "Prototype Non-Standardized Profit Sharing Plan with CODA" is designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since the date of the letter, the Plan administrator and Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2012, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2009.

(Continued)

8. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near-term and that such change could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

9. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

(Concluded)

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
EIN # 16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2012

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest collateral, par, or maturity value	(d) Cost	(e) Current value
*	Retirement Living at 2020	PSA	Part. Directed	$ 3,527
*	Retirement Living at 2025	PSA	Part. Directed	1,455
*	Retirement Living at 2035	PSA	Part. Directed	3,108
*	Retirement Living at 2045	PSA	Part. Directed	1,345
*	Retirement Living at 2050	PSA	Part. Directed	9,528
*	Lifestyle Fund - Conservative	PSA	Part. Directed	464,743
*	Lifestyle Fund - Moderate	PSA	Part. Directed	278,055
*	Lifestyle Fund - Balanced	PSA	Part. Directed	1,331,345
*	Lifestyle Fund - Growth	PSA	Part. Directed	1,222,753
*	Lifestyle Fund - Aggressive	PSA	Part. Directed	310,437
*	John Hancock Stable Value Fund	Stable value fund	Part. Directed	35,623
	PIMCO Total Return Fund	PSA	Part. Directed	1,965
*	Total Return Fund	PSA	Part. Directed	3,353
*	Strategic Income Opportunities Fund	PSA	Part. Directed	33,680
	PIMCO Global Bond Fund	PSA	Part. Directed	1,985
*	High Yield Fund	PSA	Part. Directed	2,227
	Mutual Global Discovery Fund	PSA	Part. Directed	10,911
	American Balanced Fund	PSA	Part. Directed	86,765
	Mutual Beacon Fund	PSA	Part. Directed	1,323
*	500 Index Fund	PSA	Part. Directed	1,987
	T. Rowe Price Equity Inc Fund	PSA	Part. Directed	16,380
	Davis New York Venture Fund	PSA	Part. Directed	20,542
*	Equity Income Fund	PSA	Part. Directed	10,144
*	U.S. Equity Fund	PSA	Part. Directed	6,048
	The Growth Fund of America	PSA	Part. Directed	128,018
	Blackrock Large Value Fund	PSA	Part. Directed	55,166
*	Total Stock Market Index Fund	PSA	Part. Directed	9,984
	MFS Utilities Fund	PSA	Part. Directed	14,197
*	Mid Value Fund	PSA	Part. Directed	31,797
	Oppenheimer Global Fund	PSA	Part. Directed	2,284
*	Mid Cap Index Fund	PSA	Part. Directed	33,656
	Columbia Mid Cap Value Fund	PSA	Part. Directed	45,471
*	Small Cap Opportunities Fund	PSA	Part. Directed	2,807
	T. Rowe Price Health Sciences Fund	PSA	Part. Directed	9,135

(Continued)

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
EIN #16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2012

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest collateral, par, or maturity value	(d) Cost	(e) Current value
	American EuroPacific Growth Fund	PSA	Part. Directed	70,197
	Invesco Small Cap Growth Fund	PSA	Part. Directed	18,770
*	Financial Services Fund	PSA	Part. Directed	4,863
*	Science and Technology Fund	PSA	Part. Directed	45,095
	Bridgeway Ultra-Small Co	PSA	Part. Directed	362
	DFA U.S. Small Cap Fund	PSA	Part. Directed	1,245
*	International Equity Index Fund	PSA	Part. Directed	4,292
	DFA International Value Fund	PSA	Part. Directed	39,332
	Energy Fund	PSA	Part. Directed	88,223
	Royce Opportunity Fund	PSA	Part. Directed	24,921
	DFA Emerging Markets Value Fund	PSA	Part. Directed	80,576
*	Real Estate Securities Fund	PSA	Part. Directed	67,938
				$ 4,637,558

* indicates a party-in-interest to the Plan

See Accompanying Notes to the Financial Statements

FINANCIAL STATEMENTS

COMFORT WINDOW COMPANY, INC.
401(k) SALARY DEFERRAL PLAN

DECEMBER 31, 2012 AND 2011

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN

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INDEPENDENT AUDITOR'S REPORT

To the Trustees
Comfort Window Company, Inc. 401(k) Salary Deferral Plan
Syracuse, New York

Report on the Financial Statements

We were engaged to audit the accompanying financial statements of Comfort Window Company, Inc. 401(k) Salary Deferral Plan (the "Plan"), which comprise the statements of net assets available for benefits as of December 31, 2012 and 2011, and the related statement of changes in net assets available for benefits for the year ended December 31, 2012, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Plan management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on conducting the audit in accordance with auditing standards generally accepted in the United States of America. Because of the matter described in the Basis for Disclaimer of Opinion paragraph, we were not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion.

Basis for Disclaimer of Opinion

As permitted by 29 CFR 2520.103-8 of the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974, the plan administrator instructed us not to perform, and we did not perform, any auditing procedures with respect to the information summarized in Note 5, which was certified by John Hancock Life Insurance Company of New York, the custodian of the Plan, except for comparing such information with the related information included in the financial statements. We have been informed by the plan administrator that the custodian holds the Plan's investment assets and executes investment transactions. The plan administrator has obtained a certification from the custodian as of December 31, 2012 and 2011, and for the year ended December 31, 2012, that the information provided to the plan administrator by the custodian is complete and accurate.

Disclaimer of Opinion

Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we have not been able to obtain sufficient, appropriate audit evidence to provide a basis for an audit opinion. Accordingly, we do not express an opinion on these financial statements.

Other Matter

The supplemental schedule of Schedule H, Line 4i - Schedule of Assets (Held at End of Year) as of December 31, 2012, is required by the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974 and is presented for the purpose of additional analysis and is not a required part of the financial statements. Because of the significance of the matter described in the Basis for Disclaimer of Opinion paragraph, we do not express an opinion on the supplemental schedule.

Report on Form and Content in Compliance with DOL Rules and Regulations

The form and content of the information included in the financial statements and supplemental schedule, other than that derived from the information certified by the custodian, have been audited by us in accordance with auditing standards generally accepted in the United States of America and, in our opinion, are presented in compliance with the Department of Labor's Rules and Regulations for Reporting and Disclosure under the Employee Retirement Income Security Act of 1974.

Preston, Marshall & Discenza, LLP

August 14, 2013
Syracuse, New York

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
STATEMENTS OF NET ASSETS AVAILABLE FOR BENEFITS
DECEMBER 31, 2012 AND 2011

	2012	2011
CASH	$ 7,386	$ 7,386
INVESTMENTS, AT FAIR VALUE:		
Pooled separate accounts	4,601,935	3,676,458
Stable value fund	35,623	28,736
Total investments, at fair value	4,637,558	3,705,194
NET ASSETS AVAILABLE FOR BENEFITS	$ 4,644,944	$ 3,712,580

See Accompanying Notes to the Financial Statements

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
STATEMENT OF CHANGES IN NET ASSETS AVAILABLE FOR BENEFITS
FOR THE YEAR ENDED DECEMBER 31, 2012

ADDITIONS TO NET ASSETS ATTRIBUTED TO:	
Investment income:	
Net appreciation in fair value of investments	$ 514,594
Contributions:	
Company	189,098
Participant	319,003
Rollovers	23,740
Total contributions	531,841
Total additions	1,046,435
DEDUCTIONS FROM NET ASSETS ATTRIBUTED TO:	
Benefits paid to participants	107,268
Administrative and investment expenses	6,803
Total deductions	114,071
NET INCREASE	932,364
NET ASSETS AVAILABLE FOR BENEFITS - JANUARY 1, 2012	3,712,580
NET ASSETS AVAILABLE FOR BENEFITS - DECEMBER 31, 2012	$ 4,644,944

See Accompanying Notes to the Financial Statements

1. DESCRIPTION OF PLAN

The following description of the Comfort Window Company, Inc. (the "Company") 401(k) Salary Deferral Plan (the "Plan") provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions.

General

The Plan is a defined contribution plan covering substantially all employees who have attained the age of twenty-one and completed one year of service. The Plan is subject to the provisions of the Employee Retirement Income Security Act of 1974 ("ERISA").

Contributions

Each year, participants may contribute a percentage of their pre-tax annual compensation, as defined by the Plan, subject to certain limitations established by the Internal Revenue Code. In addition, participants who have attained age 50 before the end of the Plan year are eligible to make catch-up contributions. Participants may also contribute amounts representing distributions from other qualified defined benefit or contribution plans. The Company establishes the matching contribution annually. In 2012, the Company elected to match each participant's elective deferral by contributing 100% of the first 4% of compensation deferred.

Discretionary contributions may be made at the option of the Company's management. These contributions are allocated based on each participant's compensation. In order to receive an allocation of a discretionary contribution, participants must be an eligible participant in the Plan and be employed on the last day of the Plan year. Contributions are subject to certain limitations. No discretionary contribution was made in 2012 or 2011.

Participant Accounts

Each participant's account is credited with the participant's contribution, Company matching contribution, allocation of any discretionary Company contribution and Plan earnings and charged with an allocation of administrative and investment expenses. Allocations are based on participant earnings or account balances, as defined. The benefit to which a participant is entitled is the benefit that can be provided from the participant's vested account.

Vesting

Participants are immediately vested in their contributions and Company matching contributions plus actual earnings thereon. Vesting in the Company's discretionary contributions portion of their accounts plus actual earnings thereon is based on years of continuous service. A participant is 100% vested after six years of credited service.

(Continued)

1. DESCRIPTION OF PLAN (CONT'D)

Payment of Benefits

On termination of service due to death, disability or retirement, a participant may elect to receive a lump-sum amount equal to the value of the vested interest in his or her account.

Investment Options

Investments are held within various investment funds offered and maintained by John Hancock Life Insurance. Contributions to the Plan are invested, at the discretion of the participant, in one or a combination of available investment fund options.

Forfeited Accounts

Forfeited non-vested accounts totaled $7,386 at December 31, 2012 and 2011, respectively. These amounts are used to pay certain administrative expenses of the Plan. Forfeited non-vested accounts in excess of administrative expenses are used to reduce Company contributions. The Company did not use forfeited funds to pay administrative fees or reduce Company contributions in 2012.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements of the Plan have been prepared on the accrual basis of accounting.

Payment of Benefits

Benefits are recorded when paid.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, and changes therein, and disclosure of contingent assets and liabilities. Actual results may differ from these estimates.

(Continued)

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONT'D)

Investment Valuation and Income Recognition

The Plan investments are reported at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

Purchases and sales of securities are recorded on a trade-date basis. Net appreciation includes the Plan's gains and losses on investments bought and sold as well as held during the year.

Subsequent Events

Subsequent events have been evaluated through August 14, 2013, the date the financial statements were available to be issued.

3. FAIR VALUE MEASUREMENTS

Financial Accounting Standards Board (FASB) *Accounting Standards Codification (ASC) 820, Fair Value Measurements and Disclosures*, provides a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (level 1 measurement) and the lowest priority to unobservable inputs (level 3 measurement). The three levels of the fair value hierarchy under FASB ASC 820 are described as follows:

Level 1: Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the plan has the ability to access.

Level 2: Inputs to the valuation methodology include:

- quoted prices for similar assets or liabilities in active markets;
- quoted prices for identical or similar assets or liabilities in inactive markets;
- inputs other than quoted prices that are observable for the asset or liability;
- inputs that are derived principally from or corroborated by observable market data by correlation or other means.

If the asset or liability has a specified (contractual) term, the level 2 input must be observable for substantially the full term of the asset or liability.

Level 3: Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

The asset or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize the use of unobservable inputs.

The following is a description of the valuation methodologies used for assets measured at fair value. There have been no changes in the methodologies used at December 31, 2012 and 2011.

- *Pooled separate accounts* invest directly in designated investment funds. These accounts own and hold the underlying investment funds. They are valued at their accumulated unit value that is determined daily based on the net asset value of the quoted market prices of the underlying investment, the fund's dividends and the contracts separate account charges.
- *Stable value fund* is not fully benefit-responsive and is valued at the net asset value of units held by the Plan, based on quoted market prices of the underlying investments. A market value adjustment may be applied under certain circumstances, including termination of the contract.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following tables set forth the level, within the fair value hierarchy, of the Plan's fair value measurement at December 31, 2012 and 2011.

Fair value measurement at December 31, 2012:

	Level 1	Level 2	Level 3	Total
Pooled separate accounts:				
Blended lifestyle funds	$ -	$ 3,626,296	$ -	$ 3,626,296
Income funds	-	43,209	-	43,209
Growth and income funds	-	154,098	-	154,098
Growth funds	-	323,381	-	323,381
Aggressive growth funds	-	454,951	-	454,951
Total pooled separate accounts	-	4,601,935	-	4,601,935
Stable value fund	-	35,623	-	35,623
Total investments, at fair value	$ -	$ 4,637,558	$ -	$ 4,637,558

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

Fair value measurement at December 31, 2011:

	Level 1	Level 2	Level 3	Total
Pooled separate accounts:				
Blended lifestyle funds	$ -	$ 3,117,429	$ -	$ 3,117,429
Income funds	-	53,202	-	53,202
Growth and income funds	-	124,381	-	124,381
Growth funds	-	142,731	-	142,731
Aggressive growth funds	-	238,715	-	238,715
Total pooled separate accounts	-	3,676,458	-	3,676,458
Stable value fund	-	28,736	-	28,736
Total investments, at fair value	$ -	$ 3,705,194	$ -	$ 3,705,194

Fair Value of Investments in Entities that Use Net Asset Value

The following table summarizes investments measured at fair value based on net asset value (NAVs) per share as of December 31, 2012 and 2011, respectively.

December 31, 2012	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Account (PSA) - Blended lifestyle funds	$3,626,296	n/a	Daily	n/a
PSA - Income funds	43,209	n/a	Daily	n/a
PSA - Growth and income funds	154,098	n/a	Daily	n/a
PSA - Growth funds	323,381	n/a	Daily	n/a
PSA - Aggressive growth funds	454,951	n/a	Daily	n/a
Stable value fund	35,623	n/a	Daily	n/a

(Continued)

3. FAIR VALUE MEASUREMENTS (CONT'D)

December 31, 2011	Fair Value	Unfunded Commitments	Redemption Frequency	Redemption Notice Period
Pooled Separate Account (PSA) - Blended lifestyle funds	$3,117,429	n/a	Daily	n/a
PSA - Income funds	53,202	n/a	Daily	n/a
PSA - Growth and income funds	124,381	n/a	Daily	n/a
PSA - Growth funds	142,731	n/a	Daily	n/a
PSA - Aggressive growth funds	238,715	n/a	Daily	n/a
Stable value fund	28,736	n/a	Daily	n/a

4. INVESTMENTS

Investment balances which represent 5% or more of plan assets available for benefits as of December 31:

	2012	2011
Lifestyle Fund - Balanced	$ 1,331,345	$ 1,051,471
Lifestyle Fund - Growth	1,222,753	992,471
Lifestyle Fund - Conservative	464,743	610,177
Lifestyle Fund - Aggressive	310,437	227,882
Lifestyle Fund - Moderate	278,055	223,698

During 2012, the Plan's investments (including gains and losses on investments bought and sold, as well as held during the year) appreciated in value by $514,594 as follows:

Pooled separate accounts	$ 514,175
Stable value fund	419
	$ 514,594

(Continued)

5. FINANCIAL INFORMATION CERTIFIED BY CUSTODIAN

The Plan administrator has received certifications from John Hancock Life Insurance Company of New York, the custodian of the Plan, which state that the following information is complete and accurate as of December 31, 2012 and 2011, and for the year ended December 31, 2012.

- Statements of Net Assets Available for Benefits - Cash and investments, at fair value
- Statement of Changes in Net Assets Available for Benefits - Net appreciation in fair value of investments
- Note 4 - Investment balances and net appreciation in fair value of investments
- Schedule H, Line 4i - Schedule of Assets (Held at End of Year) - All items and amounts

6. RELATED-PARTY TRANSACTIONS

Plan investments include funds managed by John Hancock Life Insurance Company of New York, who also acted as custodian as defined by the Plan. These transactions qualify as party-in-interest transactions. Administrative and investment management fees paid by the Plan to John Hancock Life Insurance Company amounted to $6,803 for the year ended December 31, 2012.

7. INCOME TAX STATUS

The Internal Revenue Service has determined and informed the Plan by a letter dated March 31, 2008 that the "Prototype Non-Standardized Profit Sharing Plan with CODA" is designed in accordance with applicable sections of the Internal Revenue Code ("IRC"). Although the Plan has been amended since the date of the letter, the Plan administrator and Plan's tax counsel believe that the Plan is designed and is currently being operated in compliance with the applicable requirements of the IRC.

Accounting principles generally accepted in the United States of America require Plan management to evaluate tax positions taken by the Plan and recognize a tax liability (or asset) if the Plan has taken an uncertain position that more likely than not would not be sustained upon examination by the Internal Revenue Service. The Plan administrator has analyzed the tax positions taken by the Plan, and has concluded that as of December 31, 2012, there are no uncertain positions taken or expected to be taken that would require recognition of a liability (or asset) or disclosure in the financial statements. The Plan is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. The Plan administrator believes it is no longer subject to income tax examinations for years prior to 2009.

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8. RISKS AND UNCERTAINTIES

The Plan invests in various investment securities. Investment securities are exposed to various risks, such as interest rate, market and credit risk. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near-term and that such change could materially affect participants' account balances and the amounts reported in the statement of net assets available for benefits.

9. PLAN TERMINATION

Although it has not expressed any intent to do so, the Company has the right under the Plan to discontinue its contributions at any time and to terminate the Plan subject to the provisions of ERISA. In the event of Plan termination, participants will become 100% vested in their accounts.

(Concluded)

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
EIN # 16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2012

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest collateral, par, or maturity value	(d) Cost	(e) Current value
*	Retirement Living at 2020	PSA	Part. Directed	$ 3,527
*	Retirement Living at 2025	PSA	Part. Directed	1,455
*	Retirement Living at 2035	PSA	Part. Directed	3,108
*	Retirement Living at 2045	PSA	Part. Directed	1,345
*	Retirement Living at 2050	PSA	Part. Directed	9,528
*	Lifestyle Fund - Conservative	PSA	Part. Directed	464,743
*	Lifestyle Fund - Moderate	PSA	Part. Directed	278,055
*	Lifestyle Fund - Balanced	PSA	Part. Directed	1,331,345
*	Lifestyle Fund - Growth	PSA	Part. Directed	1,222,753
*	Lifestyle Fund - Aggressive	PSA	Part. Directed	310,437
*	John Hancock Stable Value Fund	Stable value fund	Part. Directed	35,623
	PIMCO Total Return Fund	PSA	Part. Directed	1,965
*	Total Return Fund	PSA	Part. Directed	3,353
*	Strategic Income Opportunities Fund	PSA	Part. Directed	33,680
	PIMCO Global Bond Fund	PSA	Part. Directed	1,985
*	High Yield Fund	PSA	Part. Directed	2,227
	Mutual Global Discovery Fund	PSA	Part. Directed	10,911
	American Balanced Fund	PSA	Part. Directed	86,765
	Mutual Beacon Fund	PSA	Part. Directed	1,323
*	500 Index Fund	PSA	Part. Directed	1,987
	T. Rowe Price Equity Inc Fund	PSA	Part. Directed	16,380
	Davis New York Venture Fund	PSA	Part. Directed	20,542
*	Equity Income Fund	PSA	Part. Directed	10,144
*	U.S. Equity Fund	PSA	Part. Directed	6,048
	The Growth Fund of America	PSA	Part. Directed	128,018
	Blackrock Large Value Fund	PSA	Part. Directed	55,166
*	Total Stock Market Index Fund	PSA	Part. Directed	9,984
	MFS Utilities Fund	PSA	Part. Directed	14,197
*	Mid Value Fund	PSA	Part. Directed	31,797
	Oppenheimer Global Fund	PSA	Part. Directed	2,284
*	Mid Cap Index Fund	PSA	Part. Directed	33,656
	Columbia Mid Cap Value Fund	PSA	Part. Directed	45,471
*	Small Cap Opportunities Fund	PSA	Part. Directed	2,807
	T. Rowe Price Health Sciences Fund	PSA	Part. Directed	9,135

(Continued)

COMFORT WINDOW COMPANY, INC. 401(k) SALARY DEFERRAL PLAN
EIN #16-1263149
PLAN: 001, FORM 5500
SCHEDULE H, LINE 4i - SCHEDULE OF ASSETS (HELD AT END OF YEAR)
DECEMBER 31, 2012

(a)	(b) Identity of issue, borrower, lessor, or similar party	(c) Description of investment including maturity date, rate of interest collateral, par, or maturity value	(d) Cost	(e) Current value
	American EuroPacific Growth Fund	PSA	Part. Directed	70,197
	Invesco Small Cap Growth Fund	PSA	Part. Directed	18,770
*	Financial Services Fund	PSA	Part. Directed	4,863
*	Science and Technology Fund	PSA	Part. Directed	45,095
	Bridgeway Ultra-Small Co	PSA	Part. Directed	362
	DFA U.S. Small Cap Fund	PSA	Part. Directed	1,245
*	International Equity Index Fund	PSA	Part. Directed	4,292
	DFA International Value Fund	PSA	Part. Directed	39,332
	Energy Fund	PSA	Part. Directed	88,223
	Royce Opportunity Fund	PSA	Part. Directed	24,921
	DFA Emerging Markets Value Fund	PSA	Part. Directed	80,576
*	Real Estate Securities Fund	PSA	Part. Directed	67,938
				$ 4,637,558

* indicates a party-in-interest to the Plan

See Accompanying Notes to the Financial Statements