Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

2010

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

Part I	Annual Report Identification Information							
For calend	For calendar plan year 2012 or fiscal plan year beginning 09/01/2012 and ending 08/31/2013							
A This re	turn/report is for:	multiple-employer	olan (not multiemployer)	oyer) a one-participant plan				
B This re	turn/report is:	ne final return/report						
		•	rn/report (less than 12 m	onths)			
C Chock		utomatic extension			DFVC progra	m		
C Check	special extension (enter description)							
Part II	<u> </u>							
	Basic Plan Information—enter all requested information	on		1h	Throo digit			
1a Name	OF PIAN SEATTLE CHAMBER OF COMMERCE 401(K) PLAN			ID	Three-digit plan number			
01127112111					(PN) •	002		
				1c	Effective date of	f plan		
				09/01/1978				
	ponsor's name and address; include room or suite number (emp SEATTLE CHAMBER OF COMMERCE	oloyer, if for a single	-employer plan)	2b Employer Identification Numb (EIN) 91-0402330				
				20				
1201 5TU A	VE STE 1500			20	Sponsor's telep			
	VE STE 1500 NA 98101-2673			2d	Business code (see instructions)		
					81300			
3a Plan a	dministrator's name and address XSame as Plan Sponsor Nar	ne Same as Pla	n Sponsor Address	3b	ΞIN			
	-			2-				
				3C	Administrator's t	elephone number		
4 If the	name and/or EIN of the plan sponsor has changed since the las	t return/report filed	or this plan, enter the	4b	EIN			
	, EIN, and the plan number from the last return/report.							
	or's name			_	PN			
	number of participants at the beginning of the plan year			5a				
	number of participants at the end of the plan year			5b		98		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)			5c		91			
·	all of the plan's assets during the plan year invested in eligible					X Yes No		
	ou claiming a waiver of the annual examination and report of an					M 163 ∐ 140		
	29 CFR 2520.104-46? (See instructions on waiver eligibility and					X Yes No		
If you	answered "No" to either line 6a or line 6b, the plan cannot	use Form 5500-SF	and must instead use	Form	5500.			
Caution: A	A penalty for the late or incomplete filing of this return/report	rt will be assessed	unless reasonable car	use is	established.			
	alties of perjury and other penalties set forth in the instructions,							
	edule MB completed and signed by an enrolled actuary, as well true, correct, and complete.	as the electronic ve	rsion of this return/repor	t, and	to the best of my	knowledge and		
,		F	1					
SIGN	Filed with authorized/valid electronic signature.	01/08/2014	MAUD DAUDON					
HERE	Signature of plan administrator	Date	Enter name of individual signing as plan administrator					
SIGN	Filed with authorized/valid electronic signature.	01/08/2014	MAUD DAUDON					
HERE	Signature of employer/plan sponsor	Date	Enter name of individual signing as employer or plan sponsor					
					Preparer's telephone number (optional)			

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7 Plan Assets and Liabilities	Par	Part III Financial Information										
a Total plan assets. 76				(a) Beginning of Yea	ar			(b) En	d of Y	ear		
b Total plan flabibilities. The plan provides present of the plan assets (subtract line 75 from line 7a). 7c 5891435 7102270 8 Income, Expresse, and Transfers for this Plan Year (a) Amount (b) Total 8 Contributions received or receivable form. (a) Employers (a) Amount (b) Total 8 (b) Total 230667 (a) Amount (b) Total 8 (c) Employers (a) Amount (b) Total 8 (d) 230667 (a) Chers (including recleved or receivable form. (b) Employers (b) Empl			7a	` ' -			` '					
C Net plan assets (subtract line 7b from line 7a). 7c		·			0							
8 Income. Expenses, and Transfers for this Plan Year 8 Contributions received or receivable from: (1) Employers. (2) Participants. (3) Others (including rollowers). (3) Others (including rollowers). (4) Septimental (including rollowers). (5) Participants. (6) Other income (loss). (6) Debri income (loss). (7) Employers. (8) Septimental (including rollowers). (8) Septimental (including rollowers). (8) Septimental (including rollowers). (8) Debri income (loss). (8) Debri income (loss). (8) Debri income (loss). (8) Employers. (9) The respenses (loss) (lines &d.f.) &e.g. (lines &d.f.). (9) Other expenses. (9) Oth		·		589143								
a Contributions received or receivable from: (1) Employers: (2) Participants. (3) Others (including relievers). (3) Others (including relievers). (4) Employers: (5) Other (including relievers). (6) Other (including relievers). (8) Other (including direct relievers and insurance premiums to provide benefits; paid (including direct relievers and insurance premiums to provide benefits. (8) Other expenses. (9) Oth												
(2) Participants. 88(2) 357452 (3) Others (including rollovers) 89(3) 29180 b Other income (loss) 8 b 87600 c Total income (add lines 84(1), 84(2), 84(3), and 8b). 8c d Benefits pad including direct rollovers and insurance premiums to provide benefits) 8d 218006 d Benefits pad including direct rollovers and insurance premiums to provide benefits) 8d 218006 e Certain deemed and/or corrective distributions (see instructions). 8d 218006 g Other expenses service providers (salaries, fees, commissions). 8d 0 g Other expenses (add lines 8d, 5e, 8f, and 8g) 8h 1048 g Other expenses (add lines 8d, 5e, 8f, and 8g) 8h 1270835 j Transfers to (from) the plan (see instructions) 8h 1270835 j Transfers to (from) the plan (see instructions) 8j 0 Part IV Plan Characteristics g If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: Part V Compliance Questions 10 During the plan year: 3 Was there as failure to transmit to the plan any participant contributions within the time period described in 2 years on the plan year. 3 Was there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a). X 5 Wes there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a). X 6 Wes they have a consumption of the plan any participant contributions within the time period described in 2 years of the plan period secretion on the plan year. 3 Was there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a). X 5 Wes the plan covered by a fidelity bond? 100 Yes the plan insurance carrier, insurance aprice or other organization that provides some or all of the benefits under the plan? (See instructions.) 100 Yes		·		(u) Amount				(5)	Total			
(3) Others (including rollovers)		(1) Employers	8a(1)	23065	7							
D Other income (loss)		(2) Participants	8a(2)	35745	52							
C Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)		(3) Others (including rollovers)	8a(3)	2918	30							
d Benefits paid (including direct rollovers and insurance premiums to provide benefits). 8d 218006 e Certain deemed and/or corrective distributions (see instructions)	b	Other income (loss)	8b	87260	00							
to provide benefits)	С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						14	189889	9	
f Administrative service providers (salaries, fees, commissions)		• • •	8d	21800	16							
Solution	е	Certain deemed and/or corrective distributions (see instructions)	8e		0							
n Total expenses (add lines 8d. 8e, 8f, and 8g)	f	Administrative service providers (salaries, fees, commissions)	8f	104	8							
n Total expenses (add lines 8d. 8e, 8f, and 8g)	q	Other expenses	8a		0							
i Net income (loss) (subtract line 8h from line 8c)	h	Total expenses (add lines 8d. 8e. 8f. and 8g)								21905	4	
Transfers to (from) the plan (see instructions) 8j 0 Part IV Plan Characteristics 9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2C 32 2 X 2T 2T 2 X 2 X 2T 2 X 2 X 2 X 2 X 2T 2 X 2 X 2 X 2 X 2T 2 X 2 X 2 X 2 X 2 X 2 X 2 X 2 X 2 X 2			8i									
Part IV Plan Characteristics If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2		, , ,			0							
9a		, , , , , ,	l ol		U							
Description		9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions:										
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fauld or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions). f Has the plan have any participant loans? (If "Yes," enter amount as of year end.). g Did the plan have any participant loans? (If "Yes," enter amount as of year end.). 100	b		eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions:			
10 During the plan year: a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) c Was the plan covered by a fidelity bond? d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fauld or dishonesty? e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions). f Has the plan have any participant loans? (If "Yes," enter amount as of year end.). g Did the plan have any participant loans? (If "Yes," enter amount as of year end.). 100	Dart	V Compliance Questions										
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a		<u> </u>				Voc	No		A			
29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 10a			tione withi	n the time period described in	I	162	NO		Am	ount		
on line 10a.)		29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
d Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					10b		X					
e Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)	С	Was the plan covered by a fidelity bond?	Was the plan covered by a fidelity bond?			X					500	0000
insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.) f Has the plan failed to provide any benefit when due under the plan? g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)	d		-		10d		X					
f Has the plan failed to provide any benefit when due under the plan?	е						V					
g Did the plan have any participant loans? (If "Yes," enter amount as of year end.)		·			10e							
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)	f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3 Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X					25	666
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	h				10h		X					
Part VI Pension Funding Compliance 11	i	·			10i							
Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)	Part											
11a Enter the amount from Schedule SB line 39	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.	11a											
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								No				
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.												
	а	If a waiver of the minimum funding standard for a prior year is being	ng amortiz	ed in this plan year, see instru		and e	_	ne date o			ling	
b Enter the minimum required contribution for this plan year	lf											
	b Enter the minimum required contribution for this plan year											

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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control	Yes X No				
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	3c(2) E	IN(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				