Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110

1210-0089

2012

This Form is Open to Public Inspection

		• •		Complete all entries in ac	ccordance wit	th the instruc	tions to the Form 550)0-SF.				
	art I			ntification Information								
For	For calendar plan year 2012 or fiscal plan year beginning 04/01/2012 and ending 03/31/2013											
Α	This retu	urn/report is for:	X	a single-employer plan	a multiple	e-employer pla	an (not multiemployer)		pant plan			
В	This retu	urn/report is:	Ц	the first return/report	the final r	return/report						
			Ш	an amended return/report	a short pla	an year return	/report (less than 12 m	nonths)			
С	Check b	oox if filing under:	X	Form 5558	automatio	c extension			DFVC program			
	special extension (enter description)											
Pa	art II	Basic Plan Info	rma	ation—enter all requested in	formation							
1a	Name o	of plan						1b	Three-digit			
ADV	ANCED	IMAGING & RADIOLO	OGY	GY OF LENOX HILL HOSPITAL, P.C. PROFIT-SHARING TRUST					plan number			
							(PN) •	001				
							1C	Effective date o	•			
2a	Plan sp	oonsor's name and add	dres	s; include room or suite numb	per (employer, i	if for a single-	employer plan)	2b	Employer Identi			
ADV	ANCED	IMAGING & RADIOLO	OGY	OF LENOX HILL HOSPITAL	_, P.C.	J	, , , , , ,	(EIN) 20-0719612				
								2c Sponsor's telephone number				
		7TH STREET							212-43			
NEW	/ YORK,	NY 10021						2d	Business code	(see instructions)		
									62111			
3a	Plan ac	dministrator's name an	nd ac	ddress XSame as Plan Spon	sor Name	Same as Plan	Sponsor Address	3b	EIN			
								30	Administrator's	telephone number		
									Administrator 3	telephone number		
4	If the n	ame and/or EIN of the	pla	n sponsor has changed since	the last return/	/report filed fo	r this plan, enter the	4b	EIN			
_			nber	from the last return/report.								
	Sponsor's name								PN			
				ne beginning of the plan year.				5a		19		
b						5b		0				
С	Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					•	5c		0			
							X Yes No					
b				annual examination and repo								
				ee instructions on waiver eligib						X Yes No		
	If you	answered "No" to ei	ther	line 6a or line 6b, the plan	cannot use Fo	orm 5500-SF a	and must instead use	Form	5500.			
Cau	ution: A	penalty for the late of	or in	complete filing of this retur	n/report will b	e assessed ι	ınless reasonable ca	use is	established.			
				penalties set forth in the instru								
		dule MB completed ar rue, correct, and comp		gned by an enrolled actuary, a	as well as the e	electronic vers	sion of this return/repor	t, and	to the best of my	knowledge and		
SIG		Filed with authorized/valid electronic signature. 01/14/2014 NEAL EPSTEIN					NEAL EPSTEIN					
HEI	RE	Signature of plan administrator Date Enter name of indivi				idual signing as plan administrator						
SIG												
HEI	RE	Signature of employer/plan sponsor Date Enter name of individe					dual signing as employer or plan sponsor					
Preparer's							Preparer's telephone number (optional)					
1												

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	t III Financial Information									
7	Plan Assets and Liabilities	_	(a) Beginning of Yea				(b) End of Year			
	Total plan assets	7a	454332	4543326			0			
	Total plan liabilities	7b	45.4000	0			0			
	Net plan assets (subtract line 7b from line 7a)	7c		4543326			0			
8_	Income, Expenses, and Transfers for this Plan Year		(a) Amount			(b) Total				
а	Contributions received or receivable from: (1) Employers	8a(1)		0						
	(2) Participants	8a(2)		0						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	1560	15604						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c		10001			15604			
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	455893	4558930			10001			
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g								
	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					4558930			
i	Net income (loss) (subtract line 8h from line 8c)	8i					-4543326			
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics	, <u>°,</u>								
9a										
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Cod	les in t	he instructions:			
Par	V Compliance Questions									
10	•				Yes	No	A			
	During the plan year: Was there a failure to transmit to the plan any participant contribution.	tions withi	n the time period described in		163	140	Amount			
b	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
	on line 10a.)	,		10b		Χ				
С	Was the plan covered by a fidelity bond?			10c	X		50000			
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)					X				
f	Has the plan failed to provide any benefit when due under the plan			10f		X				
						X				
g h		(See instru	uctions and 29 CFR	10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne require	d notice or one of the							
Dord		1-3		10i						
11	rt VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a	Enter the amount from Schedule SB line 39					11a				
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b Enter the minimum required contribution for this plan year										

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Enter the amount contributed by the employer to the plan for this plan year	12c							
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d							
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A				
VII Plan Terminations and Transfers of Assets								
Has a resolution to terminate the plan been adopted in any plan year?	X	'es No						
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a							
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the confidence of the PBGC?	ontrol		X Yes	No				
If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
3c(1) Name of plan(s):	3 c(2) El	N(s)	13c(3) PN(s)					
VIII Trust Information (optional)			<u> </u>					
	Nill the minimum funding amount reported on line 12d be met by the funding deadline?	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year	Enter the amount contributed by the employer to the plan for this plan year				

14b Trust's EIN

14a Name of trust