Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	dar plan year 2013 or f	scal plan year beginning 01/01/2	2013	and ending 0	07/31/2013			
A This re	eturn/report is for:	∠ a single-employer plan	a multiple-employer p	lan (not multiemployer)	r) a one-participant plan			
B This re	eturn/report is:	the first return/report	x the final return/report					
		an amended return/report	x a short plan year retur	n/report (less than 12 m	onths)		
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	m	
	· ·	special extension (enter descri	ption)			—		
Part II	Basic Plan Info	prmation—enter all requested info	ormation					
1a Name		·			1b	Three-digit		
KEITH D. C	HAMBERS, DMD, PSO	C CASH BALANCE PLAN				plan number		
					10	(PN)	002	
					10	Effective date of 01/01/	•	
2a Plan s	sponsor's name and a	dress; include room or suite numbe	r (employer, if for a single-	-emplover plan)	2b	fication Number		
	CHAMBERS, DMD, PS		(*	- 1 - 7 - 1 - 7	(EIN) 61-1349160			
					2c	Sponsor's telep	hone number	
401 BOGLE	STREET				606-451-0888			
SUITE 204 SOMERSE	T, KY 42503-0000				2d	Business code (
20.00			. По в	0 411	26	62121		
3a Plan a	administrator's name a	nd address XSame as Plan Sponso	or Name Same as Plai	n Sponsor Address	30	Administrator's I	=IIN	
					3с	Administrator's t	elephone number	
4 If the	name and/or EIN of th	e plan sponsor has changed since the	ne last return/report filed for	or this plan, enter the	4b	EIN		
name, EIN, and the plan number from the last return/report.			TO LIN					
a Sponsor's name				PN				
5a Total number of participants at the beginning of the plan year			5a		4			
b Total number of participants at the end of the plan year			5b		0			
		account balances as of the end of the		•	5с			
6a Were	e all of the plan's asset	s during the plan year invested in eli	gible assets? (See instruc	etions.)			X Yes No	
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)					V vos □ No			
		? (See instructions on waiver eligibil ither line 6a or line 6b, the plan ca					X Yes ∐ No	
		fit plan, is it covered under the PBG					Not determined	
	plan is a defined bene	in plan, is it covered under the r box	5 insurance program (see	LINOA Section 4021): .		l les 🗌 llo 📙	Not determined	
	Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.							
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and								
	nalties of perjury and of					to the best of my	knowledge and	
SB or Sch	nalties of perjury and of	nd signed by an enrolled actuary, as				to the best of my	knowledge and	
SB or Sch belief, it is	nalties of perjury and of edule MB completed a true, correct, and com	nd signed by an enrolled actuary, as plete.	s well as the electronic ver	sion of this return/report		to the best of my	knowledge and	
SB or Sch	nalties of perjury and or edule MB completed a true, correct, and com	nd signed by an enrolled actuary, as plete. /valid electronic signature.	s well as the electronic ver	KEITH CHAMBERS	, and			
SB or Sch belief, it is SIGN HERE	nalties of perjury and of edule MB completed a true, correct, and com Filed with authorized Signature of plan a	nd signed by an enrolled actuary, as plete. /valid electronic signature.	out/30/2014 Date	KEITH CHAMBERS Enter name of individu	, and			
SB or Sch belief, it is SIGN HERE SIGN	alties of perjury and of edule MB completed a true, correct, and com Filed with authorized Signature of plan a	nd signed by an enrolled actuary, as plete. /valid electronic signature. dministrator /valid electronic signature.	01/30/2014 Date 01/30/2014	KEITH CHAMBERS Enter name of individu	, and	gning as plan adn	ninistrator	
SB or Sch belief, it is SIGN HERE SIGN HERE	alties of perjury and of edule MB completed a true, correct, and com Filed with authorized Signature of plan a Filed with authorized Signature of employers	nd signed by an enrolled actuary, as plete. /valid electronic signature. /valid electronic signature. /valid electronic signature. /valid electronic signature.	01/30/2014 Date 01/30/2014 Date	KEITH CHAMBERS Enter name of individu KEITH CHAMBERS Enter name of individu	, and ual sig	gning as plan adn	ninistrator r or plan sponsor	
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Pa	rt III Financial Information				-					
7	Plan Assets and Liabilities	(a) Beginning of Year			(b) End of Year					
a	Total plan assets	7a	24064	240649			0			
b	Total plan liabilities	7b							C)
С	Net plan assets (subtract line 7b from line 7a)	7c	24064	9					C)
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total		
а	Contributions received or receivable from:			•						
	(1) Employers	8a(1)		0						
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
<u>b</u>	Other income (loss)	8b	553	4						
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							5534	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	24010	8						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	607	5						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							246183	3
i	Net income (loss) (subtract line 8h from line 8c)	8i						-	240649)
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	-,								
9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the inst	ruction	S:	
b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:										
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Δm	ount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		AIII	ount	
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
	· · · · · · · · · · · · · · · · · · ·			10b	Χ					
				10c	^					25000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth	ner person	s by an insurance carrier,							
	insurance service, or other organization that provides some or all instructions.)			10e		X				
f						Χ				
				10f		X				
9				10g		^				
h	2520.101-3.)			10h						
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12							X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			, or 3C	- CHOIT	002 UI	LINOA		. 55	
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling					ing				
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule			u 1		Day		_ Yea	<u>ـــــ</u>	
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			No	N/A			
Part	Part VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	′es N	lo				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a			0			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		X Yes	No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13c(1) Name of plan(s):			N(s)	13c(3) PN(s)				
Part VIII Trust Information (optional)								
	Name of trust TH D. CHAMBERS, DMD, PSC CASH BA		rust's EIN 61594460					