Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I		Identification Information	on					
For calend	or calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013						_	
A This re	eturn/report is for:	X a single-employer plan	a multiple-employe	er plan (not multiemployer)	er) a one-participant plan			
B This re	eturn/report is:	the first return/report	the final return/repo	ort				
		an amended return/report	a short plan year re	turn/report (less than 12 m	onths)		
C Check	box if filing under:	Form 5558	automatic extensio	n		DFVC progra	m	
	· ·	special extension (enter de	escription)			ш		
Part II	Basic Plan Info	ormation—enter all requested	d information					
1a Name		· ·			1b	Three-digit		
MIGUEL A.	CINTRON, MD, PC R	ETIREMENT PLAN				plan number		
					10	(PN)	001	
					10	Effective date of 01/01/	•	
2a Plan	sponsor's name and a	ddress; include room or suite nu	mber (employer, if for a sing	ale-employer plan)	2b	Employer Identif		
MIGUEL A	. CINTRON, MD, PC	,	(, , , , , , , , , , , , , , , , , , ,	, , . , . ,		(EIN) 11-36		
					2c	Sponsor's telep	none number	
	ND AVENUE					718-335	5-0628	
MASPETH,	, NY 11378				2d	Business code (,	
30 Di	- 4			Non Onemon Address	2h	62111		
3a Plan a	administrator's name a	and address XSame as Plan Sp	onsor Name Same as P	Plan Sponsor Address	30	Administrator's E	EIIN	
					3с	Administrator's t	elephone number	
4 If the	name and/or EIN of th	ne plan sponsor has changed sir	 nce the last return/report file	d for this plan, enter the	4b	EIN		
		umber from the last return/report	•			LIIV		
	sor's name				+	PN		
5a Total number of participants at the beginning of the plan year			5a		7			
		s at the end of the plan year			5b		0	
		account balances as of the end			5c		0	
6a Wer	e all of the plan's asse	ts during the plan year invested	in eligible assets? (See inst	ructions.)			X Yes No	
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)						V vos □ No		
	under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)							
If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined								
				SF and must instead use	Form			
				SF and must instead use	Form		Not determined	
C If the	plan is a defined bene A penalty for the late	or incomplete filing of this re	PBGC insurance program (s	SF and must instead use see ERISA section 4021)? . ed unless reasonable cau	Form	Yes No established.	Not determined	
C If the Caution:	plan is a defined bene A penalty for the late nalties of perjury and o	or incomplete filing of this retther penalties set forth in the ins	PBGC insurance program (sturn/report will be assessed structions, I declare that I ha	SF and must instead use see ERISA section 4021)? . ed unless reasonable cauve examined this return/rep	Form use is	Yes No setablished. ncluding, if applications	Not determined	
C If the Caution: Under per SB or Sch	plan is a defined bene A penalty for the late nalties of perjury and o	or incomplete filing of this rether penalties set forth in the instand signed by an enrolled actuar	PBGC insurance program (sturn/report will be assessed structions, I declare that I ha	SF and must instead use see ERISA section 4021)? . ed unless reasonable cauve examined this return/rep	Form use is	Yes No setablished. ncluding, if applications	Not determined	
C If the Caution: Under per SB or Sch belief, it is	A penalty for the late nalties of perjury and o nedule MB completed a true, correct, and com	or incomplete filing of this rether penalties set forth in the instand signed by an enrolled actual aplete.	PBGC insurance program (sturn/report will be assessed structions, I declare that I harry, as well as the electronic	SF and must instead use see ERISA section 4021)? . ed unless reasonable cauve examined this return/report	Form use is	Yes No setablished. ncluding, if applications	Not determined	
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C If the Caution: Under per SB or Sch belief, it is SIGN HERE SIGN HERE	A penalty for the late nalties of perjury and onedule MB completed as true, correct, and completed with authorized Signature of plan and Signature of employed.	efit plan, is it covered under the Form or incomplete filing of this resther penalties set forth in the instand signed by an enrolled actual replete. Idvalid electronic signature.	PBGC insurance program (sturn/report will be assessed structions, I declare that I harry, as well as the electronic volume of the struction of the structure of	SF and must instead use see ERISA section 4021)? . ed unless reasonable cause examined this return/report version of this return/report MIGUEL CINTRON Enter name of individual	Form use is port, in t, and ual signal sign	established. ncluding, if applicate to the best of my gning as plan adm gning as employe	Not determined able, a Schedule knowledge and	
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Pa	rt III Financial Information										
7	an Assets and Liabilities (a) Beginning of Y			or.	(b) End of Year						
	Total plan assets	(7, 23, 3, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2,				(b) End of Tear					
	Total plan liabilities	7b			+						
	Net plan assets (subtract line 7b from line 7a)	7c	31006	4					0		
8	Income, Expenses, and Transfers for this Plan Year	70		*			(b) To	ıtal			
	Contributions received or receivable from:						(6) 10	ıaı			
	(1) Employers	8a(1)									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	4635	4							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						46	6354		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	35591	8							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	50	0							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						35	6418		
i	Net income (loss) (subtract line 8h from line 8c)	8i						-31	0064		
j	Transfers to (from) the plan (see instructions)	8j									
Pa	rt IV Plan Characteristics										
9a		feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instruct	ions:			
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	des in t	ne instruction	ns:			
Dor	t V Compliance Questions										
Par	•				Vaa	N ₂					
10	During the plan year:	tiono within	n the time period described in	Г	Yes	No		Amou	ınt		
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
I.	on line 10a.)	`	•	10b		X					
				100	Χ					200	200
	· · · · · · · · · · · · · · · · · · ·			10c						200	100
	or dishonesty?			10d		X					
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all										
	instructions.)		. `	10e	X					3	300
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g	X						0
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10h		X					
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10ii							
Dow		1-3		101		l					
Part 11	Is this a defined benefit plan subject to minimum funding requirem								V		NI -
	5500) and line 11a below)							Ш.	Yes	Ц	No
	Enter the unpaid minimum required contribution for current year fr		,			11a	<u> </u>				
12	Is this a defined contribution plan subject to the minimum funding			or se	ection	302 of	ERISA?	Ц,	Yes	X	No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,										
	If a waiver of the minimum funding standard for a prior year is beir	na amortize	ed in this plan year, see instru	ctions	. and o	enter th	e date of th	e lette	er rulir	ng	
	granting the waiver.		Mon		,	Day		Year _			
			Mon			_		Year _			<u> </u>

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raye	J		

С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е			Yes	No	N/A
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	res No	1	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a			0
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		X Yes	No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to			
· · · · · · · · · · · · · · · · · · ·			N(s)	13c(3)	PN(s)
Part	VIII Trust Information (optional)				
14a	Name of trust	14b ⊺ı	rust's EIN		