Form 5500-SF		Short Form Annual Return/Report of Small Employ				OMB Nos. 1210-0110 1210-0089			
Department of the Treasury Internal Revenue Service		Benefit Plan This form is required to be filed under sections 104 and 4065 of the Employe			9	2013			
	epartment of Labor enefits Security Administration	Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058 the Internal Revenue Code (the Code).				This Form is Open to Public			
Pension Be	enefit Guaranty Corporation	ctions to the Form 5500	-SF.	Inspection					
Part I Annual Report Identification Information									
For calendar plan year 2013 or fiscal plan year beginning     01/01/2013     and ending     08/14/2013									
	turn/report is for:			lan (not multiemployer)		a one-participant plan			
<b>B</b> This ref	turn/report is:		e final return/report						
•		n/report (less than 12 mo	· _						
C Check	box if filing under:		utomatic extension			DFVC program			
Dent II	Desis Disa Inform	special extension (enter description)							
Part II 1a Name		mation—enter all requested information	on		1h	Three-digit			
	LC 401(K) PROFIT SHA	ARING PLAN			10	plan number			
- ,						(PN) ▶ 002			
					1c	Effective date of plan			
		ess; include room or suite number (emp	bloyer, if for a single-	employer plan)	2b	01/01/2009 Employer Identification Number			
FROST BRO	OWN TODD LLC			-		(EIN) 61-0722001			
	MARKET STREET, 32NI	D FLOOR			2C	Sponsor's telephone number 502-589-5400			
LOUISVILLE, KY 40202-3363						Business code (see instructions) 541110			
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address						Administrator's EIN			
				-	3c	Administrator's telephone number			
4 If the r	name and/or EIN of the p	an sponsor has changed since the last return/report filed for this plan, enter the			4b EIN				
name, EIN, and the plan number from the last return/report.				-	4				
<ul> <li>a Sponsor's name</li> <li>5a Total number of participants at the beginning of the plan year</li> </ul>					4c PN				
					5a 5b				
<ul><li>b Total number of participants at the end of the plan year</li><li>c Number of participants with account balances as of the end of the plan year (defined benefit plans do not</li></ul>						<u>,</u>			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)						ic			
6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)									
<b>b</b> Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)									
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.) X Yes No If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500.									
C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)?									
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.									
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule									
SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN HERE	Filed with authorized/va	lid electronic signature.	03/04/2014	DEBBIE REISS HARDI	REISS HARDESTY				
	Signature of plan adr	ninistrator	Date	Enter name of individu	r name of individual signing as plan administrator				
SIGN									
HERE	Signature of employe		Date		_	ning as employer or plan sponsor			
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)						parer's telephone number (optional)			

7 Plan Assets and Liabilities		(a) Beginning of Vear			(b) End of Year				
	7a	(a) Beginning of fea	(a) Beginning of Year			(b) End of Year			
a Total plan assets     b Total plan liabilities	7a 7b	700014			Ŭ				
C Net plan assets (subtract line 7b from line 7a)	76 7c	700014			0				
8 Income, Expenses, and Transfers for this Plan Year	70	(a) Amount			(b) Total				
a Contributions received or receivable from:		(a) Amount			(b) Total				
(1) Employers	8a(1)	25							
(2) Participants	8a(2)	40							
(3) Others (including rollovers)	8a(3)								
<b>b</b> Other income (loss)	8b	89033							
<b>C</b> Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						89098		
d Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
e Certain deemed and/or corrective distributions (see instructions)	8e	5655							
f Administrative service providers (salaries, fees, commissions)	8f	2079							
g Other expenses	8g	2010							
h Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						7734		
i Net income (loss) (subtract line 8h from line 8c)	8i						81364		
j Transfers to (from) the plan (see instructions)	8j	-78137	8						
Part IV Plan Characteristics	9							-	
Part V Compliance Questions				Ma a	Na				
0 During the plan year:	ions within th	no time period described in		Yes	No		Amount		
<ul> <li>During the plan year:</li> <li>a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu</li> </ul>	ciary Correc	tion Program)	10a	Yes	No X		Amount		
<ul> <li>During the plan year:</li> <li>a Was there a failure to transmit to the plan any participant contribut 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu</li> <li>b Were there any nonexempt transactions with any party-in-interest on line 10a.).</li> </ul>	ciary Correc ? (Do not inc	tion Program) lude transactions reported	10a 10b		-		Amount		
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			1					
С	Enter the amount contributed by the employer to the plan for this plan year	12c						
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).	12d						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A				
Part	art VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	· 🗆 `	Yes X No					
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a						
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		X Yes 🗌 No				
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)								
13c(1) Name of plan(s):			IN(s)	<b>13c(3)</b> PN(s)				
FROST BROWN TODD LLC RETIREMENT SAVINGS PLAN 61-07				001				
Part	VIII Trust Information (optional)							
14a Name of trust				14b Trust's EIN				