Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in acco	rdance with the instruc	tions to the Form 5500	-SF.		, , , , , , , , , , , , , , , , , , ,			
Part I	Annual Report lo	dentification Information								
For calenda	ar plan year 2013 or fisc	cal plan year beginning 01/01/20	13	and ending 12	2/31/2	.013				
A This ret	A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan b This return/report is: the first return/report the final return/report						oant plan			
B This ret										
		an amended return/report	a short plan year returr	n/report (less than 12 mo	nths)					
C Check box if filing under: Form 5558 automatic extension						DFVC progra	m			
		special extension (enter descript	· · · · · · · · · · · · · · · · · · ·							
Part II		mation—enter all requested inforr	nation							
1a Name					1b	Three-digit plan number				
JERRY G M	AYES, PSC, 401 (K) RE	:TIREMENT PLAN				(PN)	001			
					1c	Effective date of				
					10/01/1979					
	ponsor's name and addi	ress; include room or suite number (employer, if for a single-	employer plan)	2b Employer Identification Number (EIN) 61-0940893					
000 COUTU	I MAIN CTREET				2c	Sponsor's telephone number 606-878-7251				
LONDON, K	I MAIN STREET (Y 40741			-	2d	Business code (see instructions				
3a Plan a	dministrator's name and	d address X Same as Plan Sponsor	Name Same as Plan	Sponsor Address	621111 3b Administrator's EIN					
		<u> </u>	Ц		30	Administrator's t	elephone number			
					30	Administrators	elepriorie number			
		plan sponsor has changed since the	e last return/report filed for	or this plan, enter the	4b	EIN				
	e, EIN, and the plan num sor's name	ber from the last return/report.			4c	PN				
5a Total r	number of participants a	at the beginning of the plan year			5a		25			
b Total number of participants at the end of the plan year					5b		23			
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)				•	5c		23			
6a Were	all of the plan's assets	during the plan year invested in eligi	ible assets? (See instruc	tions.)			X Yes No			
		the annual examination and report of								
		(See instructions on waiver eligibility her line 6a or line 6b, the plan can					M res No			
-		•			_		Not determed			
C if the p	pian is a defined benefit	plan, is it covered under the PBGC	insurance program (see	ERISA section 4021)?	···· <u> </u>	Yes INO	Not determined			
Caution: A	A penalty for the late or	r incomplete filing of this return/re	eport will be assessed	unless reasonable caus	se is	established.				
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.										
					D.M.D.					
SIGN	Filed with authorized/va	alid electronic signature.	03/17/2014	JERRY G MAYES, D.M	1.D.					
SIGN HERE	Filed with authorized/va Signature of plan ad		03/17/2014 Date	JERRY G MAYES, D.M Enter name of individua		ning as plan adn	ninistrator			
HERE	Signature of plan ad				al sig	ning as plan adn	ninistrator			
HERE	Signature of plan ad	ministrator alid electronic signature.	Date	Enter name of individua	al sig					
SIGN HERE	Signature of plan ad Filed with authorized/va Signature of employe	ministrator alid electronic signature.	Date 03/17/2014 Date	Enter name of individua JERRY G MAYES, D.M Enter name of individua	al sig	ning as employe				
SIGN HERE	Signature of plan ad Filed with authorized/va Signature of employe	ministrator alid electronic signature. er/plan sponsor	Date 03/17/2014 Date	Enter name of individua JERRY G MAYES, D.M Enter name of individua	al sig	ning as employe	r or plan sponsor			

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Pa	rt III Financial Information									
7	Plan Assets and Liabilities	(a) Beginning of Yea	ar			(b) End of Year				
a	Total plan assets	7a	71001			932933				
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)								932933	3
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total			
	Contributions received or receivable from:		(w) runount				(4)			
	(1) Employers	8a(1)	3747	8						
	(2) Participants	8a(2)	5029	9						
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	15618	6						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	243963	}
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	2727	5						
e	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							27275	5
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							216688	3
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	ıction	3:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instruc	tions:		
Par	Part V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		7	-	
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
					X					F0000
				10c						50000
d	or dishonesty?	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	•	,							
	instructions.)		. ,	10e		X				
f	Has the plan failed to provide any benefit when due under the plan	las the plan failed to provide any benefit when due under the plan?				X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	d the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
h	·	this is an individual account plan, was there a blackout period? (See instructions and 29 CFR				X				
i	2520.101-3.)			10h 10i						
Part										
11	Is this a defined benefit plan subject to minimum funding requirem								Yes	X No
44-	5500) and line 11a below)							<u>. L</u>	168	^ INO
	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding			e or se	ection	302 of	ERISA?.	. _	Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			_4! -			1 - 1 - 1 ·		44.5	line or
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Month Day Year										
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			I	401				
h	Enter the minimum required contribution for this plan year					12b	I			

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i agc	v			

С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No	N/A	
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Ye	s X No)		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?					Yes	X No	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to					
13c(1) Name of plan(s):				13c(2) EIN(s) 1 3			
Part	VIII Trust Information (optional)						
	Name of trust RY G MAYES, PSC, 401 (K) RETIREM	14b		st's EIN 0940893			