## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I		Identification Information								
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013										
A This ref	turn/report is for:	X a single-employer plan	a multi	iple-employer pl	an (not multiemployer)	ver) a one-participant plan				
<b>B</b> This ref	turn/report is:	the first return/report	the fin	al return/report						
		an amended return/report	a short	plan year return	n/report (less than 12 m	onths	)			
C Check	box if filing under:	Form 5558	autom	atic extension			ım			
	-	special extension (enter descr	ription)							
Part II	Basic Plan Info	ormation—enter all requested inf	formation							
1a Name						1b	Three-digit			
EMPIRE CO	LOR LITHOGRAPHE	ERS EMPLOYEES' PROFIT SHARII	NG PLAN				plan number			
						10	(PN)	002		
						10	Effective date o	•		
<b>2a</b> Plan s	sponsor's name and a	ddress; include room or suite numbe	er (emplove	er. if for a single-	emplover plan)	2h	Employer Identi			
EMPIRE CO	DLOR LITHOGRAPHE	ERS	- (-  )-	,	- 1-3-1-7	(EIN) 13-5624836				
						2c	2c Sponsor's telephone number			
200 VARICE	K STREET						212-924-7866			
SUITE 912 NEW YORK	(, NY 10014					2d	Business code (	,		
20 Diam		d -dd	NI	По	O Add	2 h	32310			
	oministrator's name a OR LITHOGRAPHER	and address Same as Plan Spons	sor Name CK STREET	ш	Sponsor Address	30	Administrator's 13-56	24836		
MPIKE COL	OR LITHOGRAPHER	SUITE 912	2			3с		telephone number		
		NEW YOR	kK, NY 1001	14			212-924	1-7866		
4 If the r	name and/or EIN of th	ne plan sponsor has changed since	the last retu	urn/report filed fo	or this plan, enter the	4b	EIN			
		umber from the last return/report.			,					
	sor's name						PN			
5a Total number of participants at the beginning of the plan year				5a		2				
	•	s at the end of the plan year				5b		2		
C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item)					5c		2			
<b>6a</b> Were	all of the plan's asse	ts during the plan year invested in e	eligible asse	ts? (See instruct	tions.)			X Yes No		
		of the annual examination and repor						X Yes □ No		
		6? (See instructions on waiver eligib either line 6a or line 6b, the plan c						N Tes   No		
								Not determined		
C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? Yes No Not determined										
	•	or incomplete filing of this return								
		other penalties set forth in the instruct and signed by an enrolled actuary, a								
	true, correct, and con				•	,	,	o o		
SIGN	Filed with authorized	d/valid electronic signature.	03	/23/2014	CAMILLE MARTOCCI					
HERE	Signature of plan	administrator	Da	ate	Enter name of individu	ual si	gning as plan adr	ninistrator		
SIGN	,						<u> </u>			
HERE	Signature of empl	over/plan sponsor	Da	ate	Enter name of individu	ناء امار	anina as amalava	r or plan enoneor		
HERE		oyer/plan sponsor name, if applicable) and address; in	Da nclude room		Enter name of individue (optional)			er or plan sponsor number (optional)		
HERE										
HERE										
HERE										
HERE										

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Pai	rt III   Financial Information									
7	Plan Assets and Liabilities		(a) Reginning of Voc				(b) End of Year			
	Total plan assets	7a	(a) Beginning of Yea	(a) Beginning of Year			1121944			
	·		100.00		1121344					
	Net plan assets (subtract line 7b from line 7a)	7b 7c	105430	105//303			1121944			
	Income, Expenses, and Transfers for this Plan Year	,,,			1					
	Contributions received or receivable from:		(a) Amount	(a) Amount		(b) Total				
	(1) Employers	8a(1)	4346	8						
	(2) Participants									
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	8181	2						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					125280			
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	5678	9						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g	85	0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					57639			
i_	Net income (loss) (subtract line 8h from line 8c)	8i					67641			
j	Transfers to (from) the plan (see instructions)	8j								
Par	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 3D	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instructions:			
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:									
Part V Compliance Questions										
10	During the plan year:				Yes	No	Amount			
а	<b>a</b> Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	C Was the plan covered by a fidelity bond?				X		260000			
d	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?					X				
е	Were any fees or commissions paid to any brokers, agents, or oth			10d						
_	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X				
	instructions.)			10e						
	Has the plan failed to provide any benefit when due under the plan?					Χ				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i						
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?										
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
<b>a</b> If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver.  Month  Day  Year										
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					1				
	Enter the minimum required contribution for this plan year		•			12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	)				
1	<b>3c(1)</b> Name of plan(s):	c(2) Ell	V(s)	<b>13c(3)</b> PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			