Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension	Benefit Guaranty Corporation	➤ Complete all entries in accord	dance with the instru	ctions to the Form 550	0-SF.	Ins	spection	
Part I Annual Report Identification Information								
For calen		iscal plan year beginning 01/01/2013	3	and ending 1	2/31/2	2013		
A This re	This return/report is for:					a one-partici	pant plan	
B This re	eturn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension		DFVC program			
		special extension (enter description	n)					
Part II	Basic Plan Info	ormation—enter all requested informa	ation					
1a Name	•	·			1b	Three-digit		
403B THRI	FT PLAN OF NORTHS	OUND ASSOC FOR CATHOLIC EDUC	CATION			plan number		
						(PN) •	002	
					1C	Effective date o	•	
2a Plan	enoneor's name and ac	ddress; include room or suite number (e	mployer if for a single	omployor plan)	2h	01/01		
		FOR CATHOLIC EDUCATION	imployer, ir for a sirigic	-employer plan)	20	Employer Identi (EIN) 91-11	72031	
					2c	2c Sponsor's telephone number		
12911 39T	H AVE SE	12911 39TH	AVE SE			425-37		
	WA 98208	EVERETT, W			2d	Business code	(see instructions)	
						61100		
3a Plan	administrator's name a	nd address XSame as Plan Sponsor N	lame Same as Plai	n Sponsor Address	3b	Administrator's	EIN	
		_	_		0 -			
					3C	Administrator's	telephone number	
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the			4b	EIN				
		mber from the last return/report.						
	sor's name				4c	PN		
5a Tota	number of participants	s at the beginning of the plan year			5a	33		
b Tota	number of participants	s at the end of the plan year			5b		80	
		account balances as of the end of the p	, ,	•	5c		80	
6a Wer	e all of the plan's asset	s during the plan year invested in eligible	le assets? (See instruc	ctions.)			X Yes No	
		of the annual examination and report of a			PA)			
		? (See instructions on waiver eligibility a					X Yes No	
•		either line 6a or line 6b, the plan cann			_		7	
C If the	plan is a defined bene	fit plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)?	📙	Yes No	Not determined	
Caution:	A penalty for the late	or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	ıse is	established.		
Under per	nalties of perjury and of	ther penalties set forth in the instructions	s, I declare that I have	examined this return/rep	port, ir	cluding, if applic		
	nedule MB completed a true, correct, and com	nd signed by an enrolled actuary, as we	ell as the electronic ver	rsion of this return/report	t, and	to the best of my	knowledge and	
Dellel, It is	true, correct, and com	piete.		_				
SIGN	Filed with authorized	/valid electronic signature.	04/11/2014	ELIZABETH DEVENN	ELIZABETH DEVENNY			
HERE	Signature of plan a	administrator	Date	Enter name of individual signing as plan administrator			ministrator	
SIGN								
HERE	Signature of employer/plan sponsor Date Enter name of individu			lual signing as employer or plan sponsor				
Preparer's	Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)			Preparer's telephone number (optional)				

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Do	t III Financial Information							
	t III Financial Information		I		<u> </u>			
7_	Plan Assets and Liabilities		(a) Beginning of Yea			(b) End of Year		
	Total plan assets	. 7a	64360	0			2631361	
	Total plan liabilities	. 7b	0.4000		-		0001001	
	Net plan assets (subtract line 7b from line 7a)	- 7c	64360	0			2631361	
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total	
а	Contributions received or receivable from: (1) Employers			8				
	(2) Participants	8a(2)	13191	6				
	(3) Others (including rollovers)	8a(3)	142822	:6				
	Other income (loss)	. 8b	27511	4				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	. 8c					2111864	
	Benefits paid (including direct rollovers and insurance premiums	-						
	to provide benefits)	. 8d	12405	3				
е	Certain deemed and/or corrective distributions (see instructions)	. 8e		0				
f	Administrative service providers (salaries, fees, commissions)	. 8f						
g	Other expenses	. 8g	5	0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	. 8h					124103	
i_	Net income (loss) (subtract line 8h from line 8c)	. 8i					1987761	
j	Transfers to (from) the plan (see instructions)	- 8j		0				
Par	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2L 2G 2T 2F 2E	feature co	des from the List of Plan Chara	acteris	stic Co	des in	the instructions:	
b	b If the plan provides welfare benefits, enter the applicable welfare feature codes from the List of Plan Characteristic Codes in the instructions:							
Par	V Compliance Questions							
					Yes	No	A	
10	- 5 5 - 1 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5				162	NO	Amount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X		
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)	•	•	10b		X		
С				10c	Χ		1000000	
d	Did the plan have a loss, whether or not reimbursed by the plan's	-	-	40.1		Х		
	or dishonesty?			10d				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all							
	instructions.)			10e		X		
f	Has the plan failed to provide any benefit when due under the plan?					X		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X		
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X		
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the			10h		X		
	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i				
Part								
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?							
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver Day Year								
lf	you completed line 12a, complete lines 3, 9, and 10 of Schedule	e MB (For	m 5500), and skip to line 13.					
b	Enter the minimum required contribution for this plan year			_		12b		

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			