Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information								
For calend	For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013							
A This re	eturn/report is for:	X a single-employer plan ☐	a multiple-employer p	lan (not multiemployer)	yer) a one-participant plan			
B This re	turn/report is:	the first return/report	the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths)		
C Check	box if filing under:	☐ Form 5558 ☐	automatic extension			DFVC progra	am	
	3	special extension (enter description	n)					
Part II	Basic Plan Inf	ormation—enter all requested information	<u>, </u>					
1a Name					1b	Three-digit		
	•	ITS, LLC THRIFT PLAN				plan number		
					4-	(PN) •	001	
					10	Effective date o	•	
2a Plan s	sponsor's name and a	address; include room or suite number (e	mplover if for a single-	employer plan)	2h	Employer Identi		
	HARVEY COMPONE						68414	
					2c	Sponsor's telep	hone number	
	LAMM ROAD				815-233-4400			
FREEPOR	Γ, IL 61032				2d	Business code (,	
					01	332110		
3a Plan a	administrator's name	and address XSame as Plan Sponsor N	lame Same as Plar	n Sponsor Address	30	Administrator's	EIN	
					3с	Administrator's	telephone number	
							•	
4 If the	name and/or EIN of t	he plan sponsor has changed since the l	act return/report filed for	or this plan, optor the	1h	FINI		
		umber from the last return/report.	ast return/report med it	or this plan, enter the	4b EIN			
	sor's name	·			4c	PN		
5a Total number of participants at the beginning of the plan year				5a		86		
b Total number of participants at the end of the plan year				5b		96		
		n account balances as of the end of the p	• '	-				
	,				5c		73	
		ets during the plan year invested in eligib					X Yes No	
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No		
		either line 6a or line 6b, the plan cann						
c If the	plan is a defined ben	efit plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)?	[Yes No	Not determined	
Caution	Δ nenalty for the late	e or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	ıse is	established		
	•	other penalties set forth in the instruction					able. a Schedule	
		and signed by an enrolled actuary, as we	ell as the electronic ver	sion of this return/report	t, and	to the best of my	knowledge and	
belief, it is	true, correct, and cor	npiete.						
SIGN	Filed with authorize	d/valid electronic signature.	04/24/2014	KEVIN TRIBLEY				
HERE	Signature of plan	administrator	Date	Enter name of individ	Enter name of individual signing as plan adn			
SIGN	Filed with authorize	d/valid electronic signature.	04/24/2014	KEVIN TRIBLEY				
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individ	of individual signing as employer or plan sponsor			
Preparer's		name, if applicable) and address; includ					number (optional)	

Form 5500-SF 2013 Page **2**

Pa	rt III Financial Information								
7	Plan Assets and Liabilities		(a) Reginning of Voc	ar .			(b) End of Year		
_ <u>'</u> _a	Total plan assets	7a	(a) Beginning of Yea	Beginning of Year			(b) End of Year 2788662		
<u>a</u>	Total plan liabilities	7a 7b		0			0		
	Net plan assets (subtract line 7b from line 7a)	76 7c	217294				2788662		
8	· · · · · · · · · · · · · · · · · · ·	76							
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total		
и	(1) Employers	8a(1)	6610	4					
	(2) Participants								
	(3) Others (including rollovers)	8a(3)		0					
b	Other income (loss)	8b	51519	4					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					774255		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	15854	2					
е	Certain deemed and/or corrective distributions (see instructions)	8e		0					
-	Administrative service providers (salaries, fees, commissions)	8f		0					
	Other expenses			0					
<u>g</u>	Total expenses (add lines 8d, 8e, 8f, and 8g)	8g 8h		<u> </u>			158542		
-:-							615713		
÷	Net income (loss) (subtract line 8h from line 8c)						013713		
	, , , , , , , , , , , , , , , , , , , ,	8j							
	t IV Plan Characteristics	f4	des from the List of Disa Chan	4	-ti- C-	d = = :=	Also instructions		
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 2S 2T 3D	reature co	des from the List of Plan Char	acteris	SIIC CO	des in	the instructions:		
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instructions:		
Par	V Compliance Questions								
10					Yes	No	Amount		
					103	140	Amount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X			
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)			10b		X			
	·				X		4000000		
	Was the plan covered by a fidelity bond?			10c			1000000		
	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		X			
е	Were any fees or commissions paid to any brokers, agents, or other								
	insurance service, or other organization that provides some or all instructions.)			10e		X			
f	instructions.)					Χ			
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				X		67000		
<u> </u>	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X	0.000		
i	2520.101-3.)			10h					
	exceptions to providing the notice applied under 29 CFR 2520.10			10i					
Part	VI Pension Funding Compliance								
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a	1a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39								
12	2 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA?								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
	Enter the minimum required contribution for this plan year	(1 51	to into to			12b			

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?			No N/A			
Part VII Plan Terminations and Transfers of Assets							
13a	Has a resolution to terminate the plan been adopted in any plan year?	. 🔲 Y	Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			control Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			N(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)			_			
	Name of trust HOR-HARVEY COMPONENTS, LLC THRIF		rust's EIN 64268414				