Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2012

This Form is Open to Public Inspection

	• •	Complete all entries in act	cordance with the instru	ictions to the Form 550	0-SF.			
Part I		Identification Information						
For calend	ar plan year 2012 or fis	scal plan year beginning 10/01	/2012	and ending 0)9/30/2	2013		
A This re	turn/report is for:	a single-employer plan	a multiple-employer	plan (not multiemployer)		a one-participa	ant plan	
B This re	turn/report is:	the first return/report	the final return/report	•				
		an amended return/report	a short plan year retu	rn/report (less than 12 m	onths)			
C Check	box if filing under:	X Form 5558	automatic extension			DFVC program	n	
		special extension (enter desc	ription)					
Part II	Basic Plan Info	rmation—enter all requested in	formation					
1a Name	of plan				1b	Three-digit		
KENNETH A	A. SHULTZ, ED.D., P.S	S. PROFIT SHARING PLAN				plan number		
						(PN) ▶	002	
					1c	Effective date of p	•	
2a Plan s	ponsor's name and ad	dress; include room or suite numb	er (employer, if for a single	e-employer plan)	2b	Employer Identific	cation Number	
KENNETH /	Ä. SHULTZ, ED.D., P.S	S		, , , ,	(EIN) 91-1168173			
					2c	Sponsor's telepho		
	ST, SUITE 310 ER, WA 98662					360-571-		
VANCOUVE	IN, WA 90002				2a	Business code (se 621399		
3a Plan a	dministrator's name ar	nd address Same as Plan Spons	sor Name Same as Pla	an Sponsor Address	3b	Administrator's El		
ENNETH A.	SHULTZ, ED.D.		1ST, SUITE 310		91-1168173			
		VANCOU	/ER, WA 98662		3c Administrator's telephone number 360-571-2051			
	If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the			for this plan, enter the	4b EIN			
		mber from the last return/report.			4c	DNI		
Sponsor's name Total number of participants at the beginning of the plan year			 	PN T	1			
	·	at the end of the plan year			5a		<u>'</u> 1	
		account balances as of the end of			5b			
		account balances as of the end of		•	5c		1	
6a Were	all of the plan's assets	s during the plan year invested in e	eligible assets? (See instru	ctions.)			X Yes No	
		the annual examination and repo						
		? (See instructions on waiver eligib					X Yes No	
		ther line 6a or line 6b, the plan of						
		or incomplete filing of this retur						
		her penalties set forth in the instruded signed by an enrolled actuary, a						
	true, correct, and comp		as well as the electronic ve	ision of this return/report	i, and i	o the best of my k	nowledge and	
	<u> </u>			T				
SIGN HERE	Filed with authorized/	valid electronic signature.	04/30/2014	KENNETH A. SHULTZ	Z, ED.I	D., P.S.		
	Signature of plan a	dministrator	Date	Enter name of individ	ual sig	ning as plan admi	nistrator	
SIGN HERE								
	Signature of emplo		Date	Enter name of individ				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional)						umber (optional)		

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Do	4 III Financial Information		<u> </u>					
Par			1 () = 1		1		#N = 1 4 M	
	Plan Assets and Liabilities		(a) Beginning of Yea				(b) End of Year	
	Total plan assets	7a 7b	18796				198963	
	b Total plan liabilities		40700	0	+		0	
	C Net plan assets (subtract line 7b from line 7a)			187961		198963		
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
а	(1) Employers	8a(1)	7482					
	(2) Participants	8a(2)		0				
	(3) Others (including rollovers)	8a(3)						
b	b Other income (loss)		352	3520				
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					11002	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0				
е	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f		0				
g	Other expenses	8g		0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					0	
i	Net income (loss) (subtract line 8h from line 8c)	8i					11002	
j	Transfers to (from) the plan (see instructions)	8i		0				
Par	t IV Plan Characteristics		•					
9a	If the plan provides pension benefits, enter the applicable pension 2E 3B 3D	feature co	des from the List of Plan Char	acteris	tic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cteristi	c Code	es in tl	ne instructions:	
Part	V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)				100	X	Amount	
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					Χ		
С	Was the plan covered by a fidelity bond?			10b		Χ		
				10c				
d	or dishonesty?			10d		X		
е	Were any fees or commissions paid to any brokers, agents, or other persons by an insurance carrier, insurance service or other organization that provides some or all of the benefits under the plan? (See instructions.)			10e		X		
f						Χ		
g						Χ		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X		
i	2520.101-3.) If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3							
Dowt	1 1 5 11	1-3		10i				
Part VI Pension Funding Compliance 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes No								
11a	5500) and line 11a below) Yes No 11a Enter the amount from Schedule SB line 39							
12								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver							
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
b	b Enter the minimum required contribution for this plan year							
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	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?		Yes X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?	control		Yes X No			
С	C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
	Name of trust	14b ⊤	rust's EIN				