## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enetit Guaranty Corporation	▶ Complete all entries in accorda	nce with the instruc	tions to the Form 5500	0-SF.			
Part I		dentification Information						
For calend	ar plan year 2013 or fisc	cal plan year beginning 01/01/2013		and ending 1	2/31/20	013		
A This return/report is for:   ☐ a multiple-employer plan ☐ a multiple-employer plan (not multiemployer)					r) a one-participant plan			
B This return/report is:					_	<u>-</u>		
		an amended return/report a	short plan year returi	n/report (less than 12 mo	onths)			
C Check	box if filing under:	Form 5558	utomatic extension		DFVC program			
		special extension (enter description)	)					
Part II	Basic Plan Infor	mation—enter all requested informati	on					
1a Name	of plan				1b	Three-digit		
INNOVATIVE VACUUM SERVICES, INC. 401K P.S. PLAN & TRUST					plan number			
						(PN) •	001	
					1C	Effective date of		
22 Dian a	nangar'a nama and add	Iraas inaluda raam ar auita numbar (am	nlover if for a single	omployer plan)	26	01/01/		
	E VACUUM SERVICES	lress; include room or suite number (em S, INC.	ployer, il for a sirigle-	employer plan)	<b>2b</b> Employer Identification Number (EIN) 91-1149788			
					<b>2c</b> Sponsor's telephone number 206-783-3317			
20909 70TH EDMONDS,	WA 98026-7201				2d			
					2d Business code (see instruction 451130			
		d address Same as Plan Sponsor Na		Sponsor Address	<b>3b</b> Administrator's EIN 91-1149788			
NNOVATIVE	VACUUM SERVICES,	INC. 20909 70TH AVE EDMONDS, WA			3c /		telephone number	
					206-783-3317			
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		plan sponsor has changed since the las	st return/report filed fo	or this plan, enter the	4b	EIN		
name		plan sponsor has changed since the las ber from the last return/report.	st return/report filed fo	or this plan, enter the	4b 4c			
name <b>a</b> Spons	, EIN, and the plan num or's name		· 	·	4c		24	
name a Spons 5a Total	, EIN, and the plan num or's name number of participants a	ber from the last return/report.					24	
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Do	rt III Financial Information								
7			() 5						
	Plan Assets and Liabilities	_	(a) Beginning of Yea				(b) End of Year 474605		
	Total plan assets	7a	30917	0				4/4	000
	Total plan liabilities	7b	38917	6				474	605
	Net plan assets (subtract line 7b from line 7a)	7c		76					005
	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) To	otal	
а	Contributions received or receivable from:  (1) Employers	8a(1)							
	(2) Participants	8a(2)	2334	9					
	(3) Others (including rollovers)	8a(3)							
b	Other income (loss)	8b	7723	0					
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						100	579
	Benefits paid (including direct rollovers and insurance premiums								
	to provide benefits)	8d	1500	0					
е	Certain deemed and/or corrective distributions (see instructions)	8e							
f	Administrative service providers (salaries, fees, commissions)	8f	15	0					
g	Other expenses	. 8g							
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						15	150
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i						85	429
j	Transfers to (from) the plan (see instructions)	8j							
Pai	t IV Plan Characteristics								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D 2F	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruct	ions:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	es in t	he instruction	ns:	
Part V Compliance Questions									
10	During the plan year:				Yes	No		Amour	nt
а	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		Χ			
b	<b>b</b> Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X			
С	Was the plan covered by a fidelity bond?			10c	X				100000
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	-		10d		Χ			
е									
	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X			
	instructions.)			10e					
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X			
g	<b>g</b> Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X				31580
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X			
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i					
Part	VI Pension Funding Compliance								
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)									
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12									
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)								
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
h	Enter the minimum required contribution for this plan year					12b			

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	VII Plan Terminations and Transfers of Assets						
13a Has a resolution to terminate the plan been adopted in any plan year?				Yes X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
<b>b</b> Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	<b>3c(1)</b> Name of plan(s):	c(2) Ell	V(s)	<b>13c(3)</b> PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				