Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	 Complete all entries in acco 	rdance with the instru	ctions to the Form 5500	0-SF.				
Part I	Annual Report I	dentification Information							
For calend	ar plan year 2013 or fise	cal plan year beginning 01/01/20	13	and ending 1	2/31/2	2013			
A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer)				olan (not multiemployer)	r) a one-participant plan				
B This ref	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year retur	n/report (less than 12 mo	onths	_			
C Check	box if filing under:	Form 5558	automatic extension		DFVC program				
	T	special extension (enter descript	<i>'</i>						
Part II	Basic Plan Infor	mation—enter all requested inform	nation				1		
1a Name	•				1b	Three-digit plan number			
ST. ANTHO	NY'S HOSPICE, INC. 4	U1(K) PLAN				(PN) ▶	001		
					1c	Effective date of			
							/2005		
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) ST. ANTHONYS HOSPICE, INC.					2b	Employer Identification Number (EIN) 31-1010566			
					2c	Sponsor's telephone number			
	EEN STREET ON, KY 42420				2d	270-82	(see instructions)		
	•				24	62300	` ,		
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor	Name Same as Pla	n Sponsor Address	3b	EIN			
					3c	Administrator's	telephone number		
							•		
4 1511									
		plan sponsor has changed since the ber from the last return/report.	last return/report filed f	or this plan, enter the	4b EIN				
	or's name	iber from the last return/report.			4c	4c PN			
5a Total	number of participants a	at the beginning of the plan year			5a		81		
b Total	number of participants a	at the end of the plan year			5b	78			
		ccount balances as of the end of the	• •	•	5c		60		
	•	during the plan year invested in eligi			·		X Yes No		
_	•	the annual examination and report of	•	*					
under	29 CFR 2520.104-46?	(See instructions on waiver eligibility	and conditions.)				X Yes No		
If you	answered "No" to eit	her line 6a or line 6b, the plan can	not use Form 5500-SF	and must instead use	Form	5500.	_		
C If the	plan is a defined benefit	plan, is it covered under the PBGC	insurance program (see	ERISA section 4021)? .	····· <u> </u>	Yes No	Not determined		
Caution: A	A penalty for the late o	r incomplete filing of this return/re	port will be assessed	unless reasonable cau	ıse is	established.			
		er penalties set forth in the instructio							
	edule MB completed and true, correct, and comp	d signed by an enrolled actuary, as v lete	vell as the electronic ve	rsion of this return/report	, and	to the best of my	knowledge and		
Delici, it is	I			1					
SIGN HERE	Filed with authorized/v	ralid electronic signature.	05/19/2014	JODIE BLACK	CK				
HERE	Signature of plan ad		Date	Enter name of individual signing as plan administrator					
SIGN HERE	Filed with authorized/v	ralid electronic signature.	05/19/2014	JODIE BLACK					
	Signature of employ		Date	Enter name of individual signing as employer or plan s					
Preparer's	name (including firm na	ame, if applicable) and address; inclu	ae room or suite numbe	er (optional)	Prep	parer's telephone	number (optional)		

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Do	rt III Financial Information									
Pa			()5 : : ()				<i>(</i>) =			
		n Assets and Liabilities (a) Beginning of Y			(b) End of Year				7	
<u>а</u> b	Total plan assets Total plan liabilities	7a	102037	1028373			1122297			
		7b 7c	102837	1029272				11	122297	7
	C Net plan assets (subtract line 7b from line 7a)			<u> </u>			(1-)		122201	
	8 Income, Expenses, and Transfers for this Plan Year a Contributions received or receivable from:		(a) Amount		(b) Total					
	(1) Employers	0000								
	(2) Participants	0.44								
	(3) Others (including rollovers)									
b	Other income (loss)	8b	14185	5						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						3	818919)
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	22499	5						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							224995	5
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	. 8i							93924	1
<u>j</u>	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2F 2G 2J 2E 3D	feature co	des from the List of Plan Chara	acteris	tic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Charac	cteristi	c Coc	les in t	he instru	ctions:		
Part V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount	
a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С	Was the plan covered by a fidelity bond?			10c	X					100000
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X				100000
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			10d						
·	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g	X					13749
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i		X				
Part	VI Pension Funding Compliance									
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X No										
(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year									
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
	Enter the minimum required contribution for this plan year	•				12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				