Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

| | nefit Guaranty Corporation | Complete all entries in ac | cordance with the instru | ctions to the Form 550 | 0-SF. | | | | |
|---|---|--|--|--|----------------------------|--|---|--|--|
| Part I | | dentification Information | | | | | | | |
| For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013 | | | | | | | | | |
| A This ret | A This return/report is for: | | | | | er) a one-participant plan | | | |
| B This return/report is: ☐ the first return/report ☐ the first return/report | | | | | | | | | |
| an amended return/report a short plan year return/report (less than 12 | | | | | onths) |) | | | |
| C Check box if filing under: | | | | | DFVC progra | am | | | |
| | | special extension (enter descri | · / | | | | | | |
| Part II | Basic Plan Infor | mation—enter all requested inf | ormation | | • | | 1 | | |
| 1a Name of plan NIAGARA RADIOLOGISTS PC DEFERRED PROFIT SHARING PLAN | | | | 1b | Three-digit | | | | |
| | | | | plan number | 002 | | | | |
| | | | 10 | (PN) Fffective data a | | | | | |
| | | | | | 10 | Effective date of plan 07/01/1977 | | | |
| | oonsor's name and add | ress; include room or suite number | er (employer, if for a single | e-employer plan) | 2b | fication Number 88205 | | | |
| NIAGARA RADIOLOGISTS FC | | | | 2c | Sponsor's telephone number | | | | |
| PO BOX 708 | 3 ALLS, NY 14302-0708 | | | | 24 | 716-77; | | | |
| | | | | | Zu | 62111 | (see instructions) | | |
| | | d address Same as Plan Spons | — | n Sponsor Address | 3b | Administrator's 16-10 | EIN 088205 | | |
| IAGARA RAI | DIOLOGISTS PC | PO BOX 7 NIAGARA | 08 FALLS, NY 14302-0708 | | 3с | | telephone number | | |
| | | | | | 716-773-7265 | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 4 | | | | | | | | | |
| | | plan sponsor has changed since ber from the last return/report. | the last return/report filed f | for this plan, enter the | 4b | EIN | | | |
| a Sponso | | bei from the last return/report. | | | 4c | PN | | | |
| 5a Total number of participants at the beginning of the plan year | | | 5a | | 1 | | | | |
| b Total number of participants at the end of the plan year | | | 5b | | 0 | | | | |
| | | C Number of participants with account balances as of the end of the plan year (defined benefit plans do not complete this item) | | | 50 | | | | |
| 6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.) | | | | | 5c | | 0 | | |
| b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQ | | | | | | | X Yes No | | |
| b Are yo | ou claiming a waiver of t | during the plan year invested in e | eligible assets? (See instruction of an independent qualification) | ctions.)ed public accountant (IQI | PA) | | X Yes No | | |
| b Are you under | ou claiming a waiver of t 29 CFR 2520.104-46? | during the plan year invested in e the annual examination and repor (See instructions on waiver eligib | eligible assets? (See instruct rt of an independent qualificality and conditions.) | ctions.)ed public accountant (IQ | PA) | | | | |
| b Are you under If you | ou claiming a waiver of t 29 CFR 2520.104-46? answered "No" to eitl | during the plan year invested in e the annual examination and repor (See instructions on waiver eligib her line 6a or line 6b, the plan o | eligible assets? (See instruct of an independent qualificility and conditions.) cannot use Form 5500-SF | ctions.)ed public accountant (IQI | PA) Form | 5500. | X Yes No X Yes No | | |
| b Are you under If you | ou claiming a waiver of t 29 CFR 2520.104-46? answered "No" to eitl | during the plan year invested in e the annual examination and repor (See instructions on waiver eligib | eligible assets? (See instruct of an independent qualificility and conditions.) cannot use Form 5500-SF | ctions.)ed public accountant (IQI | PA) Form | 5500. | X Yes No | | |
| b Are you under If you | ou claiming a waiver of to 29 CFR 2520.104-46? answered "No" to eitholan is a defined benefit | during the plan year invested in e the annual examination and repor (See instructions on waiver eligib her line 6a or line 6b, the plan o | eligible assets? (See instruct of an independent qualificility and conditions.)cannot use Form 5500-SF | ed public accountant (IQI and must instead use ERISA section 4021)? | PA) Form | 5500. Yes | X Yes No X Yes No | | |
| b Are you under If you C If the p Caution: A | ou claiming a waiver of to 29 CFR 2520.104-46? answered "No" to eith olan is a defined benefit a penalty for the late of alties of perjury and other. | during the plan year invested in each the annual examination and report (See instructions on waiver eligible her line 6a or line 6b, the plan or plan, is it covered under the PBG rincomplete filing of this returner penalties set forth in the instruction | eligible assets? (See instruct of an independent qualification of an independent qualification of the conditions.) | ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau examined this return/rep | Form se is | 5500. Yes No established. Including, if applic | Yes No Yes No Not determined able, a Schedule | | |
| b Are younder If you C If the p Caution: A Under pena SB or Sche | ou claiming a waiver of to 29 CFR 2520.104-46? answered "No" to eith olan is a defined benefit a penalty for the late of alties of perjury and other. | during the plan year invested in each the annual examination and report (See instructions on waiver eligible her line 6a or line 6b, the plan of plan, is it covered under the PBG rincomplete filing of this returner penalties set forth in the instruction of the plan of t | eligible assets? (See instruct of an independent qualification of an independent qualification of the conditions.) | ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau examined this return/rep | Form se is | 5500. Yes No established. Including, if applic | Yes No Yes No Not determined able, a Schedule | | |
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Form 5500-SF 2013 Page **2**

| Pa | rt III Financial Information | | | | | | | | | | |
|------------------------------------|--|------------|--------------------------------|----------|-----------------|-----------|----------------|---------------|---------|------|------|
| 7 | an Assets and Liabilities (a) Beginning of Y | | | r | (b) End of Year | | | | | | |
| | al plan assets | | | | | | (b) Lilu | <u>// / '</u> | |) | |
| | Total plan liabilities | 7b | | | | | | | | | |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 10983 | 3 | | | | | (|) | |
| | ome, Expenses, and Transfers for this Plan Year (a) Amount | | | | | (b) Total | | | | | |
| | Contributions received or receivable from: | | (a) Amount | | | | (6) 1 | itai | | | |
| | (1) Employers | 8a(1) | | | | | | | | | |
| | (2) Participants | 8a(2) | | | | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | | | | |
| b | Other income (loss) | 8b | 28 | 5 | | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 285 | 5 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | 11011 | 8 | | | | | | | |
| e | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | . 8f | | | | | | | | | |
| g | Other expenses | 8g | | | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | 4 | 11011 | В | |
| <u>i</u> | Net income (loss) (subtract line 8h from line 8c) | . 8i | | | | | | _1 | 10983 | 3 | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | | | |
| Pai | t IV Plan Characteristics | | | | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2E 2G | feature co | des from the List of Plan Char | acteris | tic Co | des in | the instruc | ions | S: | | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cteristi | c Cod | les in t | he instruction | ons: | | | |
| Par | V Compliance Questions | | | | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | | Amo | ount | | |
| а | | | | 10a | | X | | | | | |
| b | b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | | | | |
| | Was the plan covered by a fidelity bond? | | | | | Χ | | | | | |
| | | | | 10c | | | | — | | | |
| | or dishonesty? | | | 10d | | X | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all | | | | | | | | | | |
| | instructions.) | | ' ' | 10e | | X | | | | | |
| f | Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | | | | |
| g | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | 10q | | X | | | | | |
| h | If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | | | | |
| i | , | | | 10i | | | | | | | |
| Part | | | | | | | | _ | | | |
| 11 | Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) | | | | | | | — Г | Yes | П | No |
| 11: | | | | | | 11a | | | | | |
| 12 | | | | | | | No | | | | |
| | | | | or se | CuOII . | JUZ UI | LNIOA! | | 1 53 | ^ | -140 |
| a | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, If a waiver of the minimum funding standard for a prior year is beir | | | ctions. | and e | enter th | ne date of the | ne le | tter ru | lina | |
| granting the waiver Month Day Year | | | | | | | | | | | |
| | you completed line 12a, complete lines 3, 9, and 10 of Schedule | • | | | 1 | | ı | | | | |
| b | Enter the minimum required contribution for this plan year | | | | | 12b | | | | | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | | |
|---|---|---------|-----------------|------------|------|--|--|--|
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | | |
| e Will the minimum funding amount reported on line 12d be met by the funding deadline? | | | | No N/ | Ά | | | |
| Part VII Plan Terminations and Transfers of Assets | | | | | | | | |
| 13a Has a resolution to terminate the plan been adopted in any plan year? | | | | | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | 110 | 1119 | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | X Yes | No | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | | |
| 13c(1) Name of plan(s): | | | N(s) | 13c(3) PN(| s) | | | |
| | | | | | | | | |
| | | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | | |
| 14a Name of trust | | | 14b Trust's EIN | | | | | |
| | | | | | | | | |
| | | | | | | | | |