Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	▶ Complete all entries in accorda	nce with the instruc	tions to the Form 5500	0-SF.		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Part I	Annual Report I	dentification Information							
For calenda	ar plan year 2013 or fisc	cal plan year beginning 01/01/2013		and ending 1	2/31/2	2013			
	This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) a one-participant plan						oant plan		
B This ret	urn/report is:	the first return/report	he final return/report						
		an amended return/report	short plan year return	n/report (less than 12 mo	onths)				
C Check box if filing under:					DFVC program				
D 4 II		special extension (enter description	,						
Part II		mation—enter all requested informat	ion				T		
1a Name 2 HEARTS F		C. PROFIT SHARING PLAN & TRUST			1b	Three-digit plan number (PN)	001		
					1c	Effective date o			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) 2 HEARTS FAMILY DAY CARE INC.					2b	2b Employer Identification Number (EIN) 11-3560541			
201-11 LIND	DEN BLVD				2c	2c Sponsor's telephone number 718-809-9956			
	ANS, NY 11412				2d	2d Business code (see instructions 624410			
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor Na	me Same as Plan	Sponsor Address	3b	EIN			
					3c	Administrator's	telephone number		
		plan sponsor has changed since the la	st return/report filed fo	or this plan, enter the	4b	EIN			
name, a Spons		ber from the last return/report.			4c	PN			
5a Total r	number of participants a	at the beginning of the plan year			5a		2		
b Total r	number of participants a	at the end of the plan year			5b		2		
		ccount balances as of the end of the pla	• •	•	5c		1		
_		during the plan year invested in eligible					X Yes No		
under	29 CFR 2520.104-46?	the annual examination and report of ar (See instructions on waiver eligibility ar	nd conditions.)				X Yes No		
-		her line 6a or line 6b, the plan canno			_		1 Not dotomotico d		
C ir the p	Dian is a defined benefit	plan, is it covered under the PBGC ins	urance program (see	ERISA Section 4021)?		res IIII	Not determined		
Caution: A	penalty for the late o	r incomplete filing of this return/repo	rt will be assessed	unless reasonable cau	se is	established.			
Under penalties of perjury and other penalties set forth in the instructions, I declare that I have examined this return/report, including, if applicable, a Schedule SB or Schedule MB completed and signed by an enrolled actuary, as well as the electronic version of this return/report, and to the best of my knowledge and belief, it is true, correct, and complete.									
SIGN HERE	Filed with authorized/v	alid electronic signature.	05/23/2014	MISS JOHN					
HEKE	Signature of plan ad	ministrator	Date Enter name of individual signing as plan administrator			ninistrator			
SIGN									
HERE		of employer/plan sponsor Date Enter name of individual signing as employer or plan sponsor							
Preparer's	name (including firm na	me, if applicable) and address; include	room or suite numbe	r (optional)	Prep	arer's telephone	number (optional)		

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities				(b) End of Voor						
	Total plan assets	7a	(a) Beginning of Yea		(b) End of Year						
	Total plan liabilities	7b									
			12472	6				13	8416		
8	Income, Expenses, and Transfers for this Plan Year	7c					(b) Ta				
	Contributions received or receivable from:		(a) Amount				(b) To	tai			
	(1) Employers	8a(1)	53	5							
	(2) Participants	8a(2)	221	7							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	1093	8							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						13	3690		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f									
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							0		
ī	Net income (loss) (subtract line 8h from line 8c)	8i						1	3690		
j	Transfers to (from) the plan (see instructions)	8j									
Pai	rt IV Plan Characteristics	<u> </u>									
9a		feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruct	ons:			
	2E 2F 2G 2J 2K 2T 3D										
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instruction	ns:			
Par	t V Compliance Questions										
10	During the plan year:				Yes	No	1	4mou	ınt		
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
	Was the plan covered by a fidelity bond?			10c	X					130	000
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud			X				100	,,,,
	or dishonesty?			10d							
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 										
	instructions.)			10e		X					
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g	Χ					307	783
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g		X				001	00
$\overline{}$	2520.101-3.)			1011							
-	exceptions to providing the notice applied under 29 CFR 2520.10	•		10i							
Part	VI Pension Funding Compliance				•	•	•				
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)											
11:	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12											
12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling										
granting the waiver											
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.											
b	Enter the minimum required contribution for this plan year				[12b					

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			