Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

| Pension B | enefit Guaranty Corporation | Complete all entries in accord | lance with the instruc | ctions to the Form 550 | 0-SF. | | | |
|--|--|--|---|--|--|--|--|--|
| Part I | | dentification Information | | | | | | |
| For calend | ar plan year 2013 or fisc | cal plan year beginning 01/01/2013 | 3 | and ending 1 | 2/31/20 | 013 | | |
| A This return/report is for: a single-employer plan a multiple-employer plan (not multiemployer) | | | | | a one-participant plan | | | |
| B This re | turn/report is: | the first return/report | the final return/report | | _ | <u> </u> | | |
| | | an amended return/report | a short plan year retur | n/report (less than 12 mo | onths) | | | |
| C Check box if filing under: | | | | | | DFVC progra | am | |
| | | special extension (enter description | • | | | | | |
| Part II | Basic Plan Infor | mation—enter all requested information | ation | | | | | |
| 1a Name | • | | | | | Three-digit | | |
| ICON VOLU | NTARY INVESTMENT | PLAN | | | | plan number | 004 | |
| | | | | | | (PN) • | 001 | |
| | | | | | 10 | Effective date o | | |
| 2a Plan s | nonsor's name and add | lress; include room or suite number (el | mnlover if for a single- | -employer plan) | 2h | | fication Number | |
| ICON INC | porisor s riame and add | iless, include room of suite number (e. | inployer, if for a single- | employer plant | 20 | 69250 | | |
| 4040 FIDOT | - AVENUE OOUTU | | | | 2c Sponsor's telephone number 206-953-4266 | | | |
| SEATTLE, \ | AVENUE SOUTH WA 98134 | | | | 2d | | (see instructions) | |
| | | | | | 20 | 54199 | ` , | |
| 3a Plan a | administrator's name and | d address Same as Plan Sponsor N | ame Same as Plar | n Sponsor Address | 3b / | Administrator's | EIN '69250 | |
| CON INC | | 1910 FIRST A\ SEATTLE, WA | /ENUE SOUTH | | 3c / | | telephone number | |
| | | OLATTEL, WA | 30134 | | , | 206-953 | • | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | plan sponsor has changed since the la | ast return/report filed for | or this plan, enter the | 4b | EIN | | |
| name | , EIN, and the plan num | plan sponsor has changed since the laber from the last return/report. | ast return/report filed fo | or this plan, enter the | | | | |
| name a Spons | e, EIN, and the plan num or's name | ber from the last return/report. | · | | 4c | | | |
| a Spons 5a Total | e, EIN, and the plan num cor's name number of participants a | at the beginning of the plan year | | | 4c 5a | | 3 | |
| a Spons 5a Total b Total | e, EIN, and the plan num sor's name number of participants a number of participants a | at the beginning of the plan year | | | 4c | | 3 4 | |
| name a Spons 5a Total b Total c Numb | e, EIN, and the plan num sor's name number of participants a number of participants a per of participants with a | at the beginning of the plan year | olan year (defined bene | efit plans do not | 4c 5a | | | |
| name a Spons 5a Total b Total c Numb | e, EIN, and the plan numbor's name number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year at the end of the plan year ccount balances as of the end of the p | olan year (defined bene | efit plans do not | 4c 5a 5b 5c | PN | 4 | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are ye | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | olan year (defined bene e assets? (See instruc an independent qualifie | efit plans do not | 4c 5a 5b 5c | PN | 4 X Yes No | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | olan year (defined bene e assets? (See instruc an independent qualific and conditions.) | efit plans do not ctions.) | 4c 5a 5b 5c | PN | 4 | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.)ed public accountant (IQ | 4c 5a 5b 5c PA) | PN | 4 X Yes No Yes No | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.)ed public accountant (IQ | 4c 5a 5b 5c PA) | PN | 4 X Yes No | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) | PN | 4 X Yes No Yes No | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you c If the Caution: A | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.)ed public accountant (IQI and must instead use ERISA section 4021)? . unless reasonable cau examined this return/rep | 4c 5a 5b 5c Form 9 see is e coort, incoort, inco | PN 5500. Yes No established. Cluding, if applic | 4 X Yes No X Yes No Not determined able, a Schedule | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the Under pen SB or Sche | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.)ed public accountant (IQI and must instead use ERISA section 4021)? . unless reasonable cau examined this return/rep | 4c 5a 5b 5c Form 9 see is e coort, incoort, inco | PN 5500. Yes No established. Cluding, if applic | 4 X Yes No X Yes No Not determined able, a Schedule | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the Under pen SB or Sche belief, it is | e, EIN, and the plan number of participants a number of participants a per of participants with a plete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c Form 9 see is e coort, incoort, inco | PN 5500. Yes No established. Cluding, if applic | 4 X Yes No X Yes No Not determined able, a Schedule | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the Under pen SB or Sche | e, EIN, and the plan number of participants a number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualification of use Form 5500-SF surance program (see port will be assessed as, I declare that I have all as the electronic ver | efit plans do not etions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau examined this return/report | 4c 5a 5b 5c Form 9 see is eport, inc., and to | PN 5500. Yes No established. Cluding, if applice the best of my | 4 X Yes No X Yes No Not determined able, a Schedule knowledge and | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the Under pen SB or Sche belief, it is | e, EIN, and the plan number of participants a number of participants a per of participants with a plete this item) | at the beginning of the plan year | e assets? (See instruction independent qualifier and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c Form 9 see is eport, inc., and to | PN 5500. Yes No established. Cluding, if applice the best of my | 4 X Yes No X Yes No Not determined able, a Schedule knowledge and | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are you under If you c If the Caution: A Under pen SB or Sche belief, it is SIGN HERE SIGN | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualification and conditions.) | efit plans do not etions.) ed public accountant (IQI and must instead use ERISA section 4021)? unless reasonable cau examined this return/report sion of this return/report LAUREN CENTIOLI Enter name of individu | 4c 5a 5b 5c PA) Form 9 see is eport, inc, and to | PN 5500. Yes No established. Cluding, if applic of the best of my ning as plan adm | 4 X Yes No X Yes No Not determined Able, a Schedule knowledge and | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you C If the Under pen SB or Sche belief, it is SIGN HERE SIGN HERE | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualified and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) Form 9 see is eport, inco, and to | PN 5500. Yes No Established. Cluding, if applice the best of my ning as plan admining as employed. | 4 X Yes No X Yes No Not determined Able, a Schedule r knowledge and ministrator er or plan sponsor | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you C If the Under pen SB or Sche belief, it is SIGN HERE SIGN HERE | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualified and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) Form 9 see is eport, inco, and to | PN 5500. Yes No Established. Cluding, if applice the best of my ning as plan admining as employed. | 4 X Yes No X Yes No Not determined Able, a Schedule knowledge and | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you C If the Under pen SB or Sche belief, it is SIGN HERE SIGN HERE | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualified and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) Form 9 see is eport, inco, and to | PN 5500. Yes No Established. Cluding, if applice the best of my ning as plan admining as employed. | 4 X Yes No X Yes No Not determined Able, a Schedule r knowledge and ministrator er or plan sponsor | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you C If the Under pen SB or Sche belief, it is SIGN HERE SIGN HERE | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualified and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) Form 9 see is eport, inco, and to | PN 5500. Yes No Established. Cluding, if applice the best of my ning as plan admining as employed. | 4 X Yes No X Yes No Not determined Able, a Schedule r knowledge and ministrator er or plan sponsor | |
| name a Spons 5a Total b Total c Numb comp 6a Were b Are younder If you C If the Under pen SB or Sche belief, it is SIGN HERE SIGN HERE | e, EIN, and the plan number of participants a number of participants a per of participants with a lete this item) | at the beginning of the plan year | e assets? (See instruction independent qualified and conditions.) | efit plans do not etions.) | 4c 5a 5b 5c PA) Form 9 see is eport, inco, and to | PN 5500. Yes No Established. Cluding, if applice the best of my ning as plan admining as employed. | 4 X Yes No X Yes No Not determined Able, a Schedule r knowledge and ministrator er or plan sponsor | |

Form 5500-SF 2013 Page **2**

| Pa | rt III Financial Information | | | | | | | | | | _ |
|---|---|-------------|--------------------------------|---------|---------|----------|------------|--------|--------|-------|---|
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | ar | | | (b) En | d of Y | 'ear | | _ |
| <u>.</u> | Total plan assets | 7a | 10442 | | + | | (D) LIII | | 137602 | 2 | _ |
| | Total plan liabilities | 7b | - | 0 | + | | | | (| | _ |
| | Net plan assets (subtract line 7b from line 7a) | 7c | 10442 | 23 | | | | | 137602 | 2 | _ |
| 8 Income, Expenses, and Transfers for this Plan Year | | 70 | | | | | (b) | | | | _ |
| | Contributions received or receivable from: | | (a) Amount | | | | (b) | Total | | | _ |
| | (1) Employers | 8a(1) | 993 | 2 | | | | | | | |
| | (2) Participants | 8a(2) | 993 | 32 | | | | | | | Ī |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | | | |
| b | Other income (loss) | 8b | 1412 | 27 | | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 33991 | | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 0 | | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | 81 | 2 | | | | | | | |
| g | Other expenses | 8g | | 0 | | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | 812 | 2 | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | 33179 | 9 | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | | | | |
| Pai | rt IV Plan Characteristics | ٥, | | | | | | | | | - |
| 9a | | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instru | uction | S: | | _ |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cterist | ic Cod | les in t | he instruc | ctions | : | | _ |
| Dan | (V Compliance Overtions | | | | | | | | | | _ |
| Par | • | | | | | | 1 | | | | _ |
| 10 | During the plan year: | | 0 0 11 2 1 | 1 | Yes | No | | Am | ount | | _ |
| | Was there a failure to transmit to the plan any participant contribution 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidure) | iciary Corr | ection Program) | 10a | | X | | | | | _ |
| D | Were there any nonexempt transactions with any party-in-interest on line 10a.) | • | • | 10b | | X | | | | | |
| | · | | | | X | | | | | | _ |
| | | | | 10c | | | | | | 20000 |) |
| | Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? | | | 10d | | X | | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth | • | , | | | | | | | | |
| | insurance service, or other organization that provides some or all instructions.) | | . , | 10e | | X | | | | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | Χ | | | | | |
| | | | | | | X | | | | | - |
| | Did the plan have any participant loans? (If "Yes," enter amount as of year end.) If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | | | 10g | | X | | | | | |
| i | 2520.101-3.) | ne required | I notice or one of the | 10h | | | | | | | |
| | exceptions to providing the notice applied under 29 CFR 2520.10 | 1-3 | | 10i | | | | | | | |
| Part | <u> </u> | | | | | | | | | | |
| 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) | | | | | | | | | | | |
| 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | | | | | | | |
| 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? | | | | | |) | | | | | |
| (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | _ | | | | | | |
| If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year | | | | | | | | | | | |
| If | you completed line 12a, complete lines 3, 9, and 10 of Scheduk | | | | | | | | | | |
| | | | | | | | | | | | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| c Enter the amount contributed by the employer to the plan for this plan year | | | | | | |
|---|---|----------|-----------------|---------------------|--|--|
| d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | Y | es X No | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | | | | Yes X No | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | |
| 1 | 3c(1) Name of plan(s): | c(2) Ell | V(s) | 13c(3) PN(s) | | |
| | | | | | | |
| | | | | | | |
| Part | VIII Trust Information (optional) | | | | | |
| 14a Name of trust | | | 14b Trust's EIN | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |