Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee **Benefit Plan**

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

2013

OMB Nos. 1210-0110

1210-0089

This Form is Open to Public Inspection

Pension Be	enetit Guaranty Corporation	 Complete all entries in accordance 	rdance with the instru-	ctions to the Form 5500	0-SF.					
Part I	Annual Report I	dentification Information								
For calendar plan year 2013 or fiscal plan year beginning 01/01/2014 and ending 04/15/2014										
A This ret	A This return/report is for:					r) a one-participant plan				
B This ret										
an amended return/report a short plan year return/report (less than 12					onths)					
C Check box if filing under: Form 5558 automatic extension					DFVC program					
special extension (enter description)										
Part II	Basic Plan Infor	mation—enter all requested inform	nation							
1a Name	of plan				1b ⁻	Three-digit				
ENTPSA OF	THE SOUTH SOUND	401(K) PLAN & TRUST				olan number				
						(PN) •	001			
					1c	Effective date o	f plan			
						01/01	/1995			
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) EAR, NOSE, THROAT & PLASTIC SURGERY ASSOCIATES OF THE SOUTH SOUND, P.S				2b Employer Identification Numb (EIN) 26-4809842						
210 CIVTU (OTDEET NE				2c S	2c Sponsor's telephone number 253-833-6241				
310 SIXTH STREET NE AUBURN, WA 98002-4342				2 d E	d Business code (see instruction					
0 - Di			🗖		2h /	62111				
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor	Name Same as Plai	n Sponsor Address	3D /	Administrator's I	EIN			
					3c /	Administrator's t	telephone number			
		plan sponsor has changed since the	last return/report filed for	or this plan, enter the	4b [EIN				
name	, EIN, and the plan num	plan sponsor has changed since the other from the last return/report.	last return/report filed for	or this plan, enter the	4b 6					
name	, EIN, and the plan num or's name				4c		0			
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Form 5500-SF 2013 Page **2**

	rt III Financial Information										_
7	Plan Assets and Liabilities	(a) Paginning of Var	f Voor			(b) End of Year				_	
	(7, 3, 3,			9	-		(b) End	or re	ar 0		_
	Total plan assets	7a 7b		0	-				0		_
	Net plan assets (subtract line 7b from line 7a)	76 7c		9					0		_
					-		(L) T	- 1 - 1			_
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) To	otai			
u	(1) Employers	8a(1)									
	(2) Participants	8a(2)									
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b									
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							0		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d									
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	. 8f	8	9							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							89		
i	Net income (loss) (subtract line 8h from line 8c)	8i							-89		
j	Transfers to (from) the plan (see instructions)	8j									
Pai	rt IV Plan Characteristics	-,									_
	9a If the plan provides pension benefits, enter the applicable pension feature codes from the List of Plan Characteristic Codes in the instructions: 2E 2F 2G 2J 2K 3D										
b											
Par	t V Compliance Questions										_
10	·				Yes	No		A			
	During the plan year: Was there a failure to transmit to the plan any participant contributions.	tione within	the time period described in		162	NO		Amo	unt		_
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported 			10a		X					
N	on line 10a.)	`	•	10b		X					
	·					^				15000	10
	Was the plan covered by a fidelity bond?			100	X	^			- 1		
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud	10c	X	X			1	15000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	fidelity bo	nd, that was caused by fraud	10c	X				1	13000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth	fidelity bo	nd, that was caused by fraud		X	X			1	15000	
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	fidelity both	s by an insurance carrier, efits under the plan? (See		X	X			1		
d	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.)	fidelity bonner person of the ben	s by an insurance carrier, efits under the plan? (See	10d	X	X					
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f g h i Part 11 11a 11a 12	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?	ner person of the ben s of year e (See instruments? (If "" remuse of the ben s of year e (see instruments? (If " requirements of the ben s of year e (see instruments) and the second se	and, that was caused by fraud s by an insurance carrier, efits under the plan? (See and.) control of the fes," see instructions and com cule SB (Form 5500) line 39 ents of section 412 of the Code able.)	10d 10e 10f 10g 10h 10i nplete	Schec	X X X X Adule SB	ERISA?		Yes		
f g h i 11a 11a 12	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) Has the plan failed to provide any benefit when due under the plan Did the plan have any participant loans? (If "Yes," enter amount a lifthis is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 let VI Pension Funding Compliance Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) Enter the unpaid minimum required contribution for current year for list his a defined contribution plan subject to the minimum funding (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below. If a waiver of the minimum funding standard for a prior year is beingranting the waiver.	ner person of the ben n? s of year of (See instru- ne required 1-3	s by an insurance carrier, efits under the plan? (See	10d 10e 10f 10g 10h 10i nplete	Schec	X X X X Adule SB	ERISA?		Yes Yes		
f g h i 11a 11a 12	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all instructions.) Has the plan failed to provide any benefit when due under the plan Did the plan have any participant loans? (If "Yes," enter amount a lifthis is an individual account plan, was there a blackout period? 2520.101-3.) If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 lift In this is a defined benefit plan subject to minimum funding requirem 5500) and line 11a below) Enter the unpaid minimum required contribution for current year files this a defined contribution plan subject to the minimum funding (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below. If a waiver of the minimum funding standard for a prior year is being the standard for a prior year is being the standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding standard for a prior year is being the plan subject to the minimum funding that waiver of the minimum funding the plan subject to the minimum funding the plan subject to the minimum funding the plan subject to the plan subject	ner person of the ben n? s of year of (See instru- ne required 1-3	s by an insurance carrier, efits under the plan? (See	10d 10e 10f 10g 10h 10i nplete	Schec	X X X X Adule SE 11a 302 of	ERISA?		Yes Yes		

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount).					
е			Yes	No	N/A	
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	. X	res No	1		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	. 13a				
b	b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?			trol X Yes		
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.)	to				
	13c(1) Name of plan(s):	3c(2) El	N(s)	13c(3)	PN(s)	
Part	VIII Trust Information (optional)					
14a	Name of trust	14b ⊺ı	rust's EIN			