Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information									
For calend	or calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013								
A This re	eturn/report is for:	X a single-employer plan ☐ ;	a multiple-employer p	lan (not multiemployer)	yer) a one-participant plan				
B This re	eturn/report is:	the first return/report	the final return/report						
		an amended return/report	short plan year retur	n/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	am		
	· ·	special extension (enter description	1)						
Part II	Basic Plan Inf	ormation—enter all requested informa	tion						
1a Name					1b	Three-digit			
B & H SEP	TIC & ENVIRONMEN	TAL SERVICE 401(K) PLAN				plan number			
					10	(PN)	001		
					10	Effective date o	•		
2a Plan	sponsor's name and a	address; include room or suite number (en	nplover. if for a single-	emplover plan)	2h				
C & J WAS	TE MANAGEMENT,	NC.	, ,,,,	- F - 7 - F - 7	2b Employer Identification Number (EIN) 61-1170938				
B&H SEPT	IC & ENVIRONMENT	AL SERVICE			2c	Sponsor's telep	hone number		
	P GROUND RD					502-44	7-3000		
LOUISVILL	E, KY 40211-2001				2d	Business code (
2- 5		🖂	По п		26	48420			
3a Plan	administrator's name	and address XSame as Plan Sponsor Na	ime Same as Plar	Sponsor Address	30	Administrator's	EIN		
					3с	Administrator's	telephone number		
4 If the	name and/or FIN of t	he plan sponsor has changed since the la	st return/report filed fo	or this plan, enter the	1h	EIN			
		umber from the last return/report.	st return report filed it	or this plan, enter the	4b EIN				
a Spon	sor's name				4c PN				
5a Total	number of participan	ts at the beginning of the plan year			5a		59		
b Total	number of participan	ts at the end of the plan year			5b		53		
		n account balances as of the end of the pl	• •	-	F -		47		
	,				5c		17 Na		
		ets during the plan year invested in eligible					X Yes No		
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA) under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)						X Yes No			
		either line 6a or line 6b, the plan canno							
C If the	plan is a defined ben	efit plan, is it covered under the PBGC ins	urance program (see	ERISA section 4021)?		Yes No	Not determined		
Caution:	A penalty for the late	e or incomplete filing of this return/repo	ort will be assessed	unless reasonable cau	ıse is	established			
	•	other penalties set forth in the instructions					able, a Schedule		
		and signed by an enrolled actuary, as wel	I as the electronic ver	sion of this return/report	t, and	to the best of my	knowledge and		
beller, it is	true, correct, and cor	прієте.							
SIGN	Filed with authorize	d/valid electronic signature.	06/10/2014	JAMES CRAFT					
HERE	Signature of plan	administrator	Date	Enter name of individ	ual signing as plan administrator				
SIGN	Filed with authorize	d/valid electronic signature.	06/10/2014	GARRY LASHLEY					
HERE	Signature of emp	loyer/plan sponsor	Date	Enter name of individ	ual si	gning as emplove	er or plan sponsor		
Preparer's		name, if applicable) and address; include			Preparer's telephone number (optional)				

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Pa	rt III Financial Information									
7 Plan Assets and Liabilities			(a) Beginning of Year				(b) End of Year			
a	Total plan assets	(-)			359065				5	
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	26419	3					359065	5
8			(a) Amount				(b)	Total		
	Contributions received or receivable from:		(a) / anount				(8)	- Ota		
	(1) Employers	8a(1)	1673	2						
	(2) Participants	8a(2)	2128	81						
	(3) Others (including rollovers)									
b	Other income (loss)	8b	7134	0						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							109353	;
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	. 8d	1239	5						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	208	6						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1448	
<u>i</u>	Net income (loss) (subtract line 8h from line 8c)	8i							94872	2
j	Transfers to (from) the plan (see instructions)	8j								
Pa	rt IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2E 2F 2G 2J 2K 2T 3D	feature cod	es from the List of Plan Char	acteris	stic Co	des in	the instr	uction	s:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature code	s from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions		
Par	Part V Compliance Questions									
10	During the plan year:				Yes	No		Δm	ount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		7411	ount	
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
_	,				X					
				10c						50000
	Did the plan have a loss, whether or not reimbursed by the plan's or dishonesty?			10d		X				
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 	•	•							
	instructions.)		. `	10e	X					1367
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X				
	Did the plan have any participant loans? (If "Yes " enter amount a	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)				Χ				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the	ne required	notice or one of the							
D = ==	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
	VI Pension Funding Compliance				0.1			1		
Part		(O /ICID /	Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)							
11	5500) and line 11a below)				·····				103	
11					·····			_	103	
11	5500) and line 11a below)	om Schedu	lle SB (Form 5500) line 39			11a			Yes	X No
11 11a 12	5500) and line 11a below)	rom Schedu requiremer	nts of section 412 of the Code	e or se	ection	11a 302 of	ERISA?		Yes	
11 11a 12	Enter the unpaid minimum required contribution for current year fr Is this a defined contribution plan subject to the minimum funding	requirement, as applicating amortize	nts of section 412 of the Code ble.) d in this plan year, see instru	e or se	ection	11a 302 of	ERISA?		Yes etter ru	
11 11a 12	5500) and line 11a below)	rom Schedu requiremer , as applica ng amortize	nts of section 412 of the Code ble.) d in this plan year, see instru	e or se	ection	11a 302 of	ERISA?	f the le	Yes etter ru	

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			