Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I		t Identification Information							
For calend	ar plan year 2013 or	fiscal plan year beginning 01/01/2	013	and ending 1	2/31/	2013			
A This ref	turn/report is for:	✓ a single-employer plan	a multiple-employer pl	lan (not multiemployer)	yer) a one-participant plan				
B This ref	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year return	n/report (less than 12 m	onths)			
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	am		
	3	special extension (enter descrip	otion)						
Part II	Basic Plan Inf	ormation—enter all requested info	•						
1a Name		onto an requested mile	madon		1b	Three-digit			
PERINATAL TREATMENT SERVICES 401K PROFIT SHARING PLAN					plan number				
					(PN) ▶	001			
				1C	Effective date o	•			
2a Plan s	nonsor's name and a	iddress; include room or suite number	(employer if for a single-	employer plan)	2h				
PERINATAL	TREATMENT SER	VICES	(cimple) or, in for a onigio	omployor plany	2b Employer Identification Number (EIN) 91-1835961				
					2c	Sponsor's telep	hone number		
600 NORTH	I 130TH ST.					206-223-1300			
SEATTLE, V	NA 98133-7946				2d	Business code ((see instructions)		
						62410			
3a Plan administrator's name and address ☐Same as Plan Sponsor Name ☐Same as Plan Sponsor Address			3b	Administrator's	EIN 35961				
ERINATAL 1	TREATMENT SERVI		ł 130TH ST. NA 98133-7946		3c	3c Administrator's telephone nu			
		- ,				206-223	•		
1 16 th a .			+ + + + + +		41-				
		he plan sponsor has changed since the umber from the last return/report.	ie iast return/report filed fo	or this plan, enter the	4b EIN				
	or's name				4c PN				
5a Total	number of participan	s at the beginning of the plan year			5a		45		
b Total number of participants at the end of the plan year			5b	2					
C Numb	er of participants with	n account balances as of the end of th	ne plan year (defined bene	efit plans do not	_				
	,				5c		25		
		ets during the plan year invested in eli	-				X Yes No		
		of the annual examination and report 6? (See instructions on waiver eligibili							
		either line 6a or line 6b, the plan ca							
		efit plan, is it covered under the PBG0					Not determined		
Caution: A penalty for the late or incomplete filing of this return/report will be assessed unless reasonable cause is established.									
	•	other penalties set forth in the instruct	•				able a Schedule		
SB or Sche	edule MB completed	and signed by an enrolled actuary, as							
belief, it is	true, correct, and cor	nplete.							
SIGN	Filed with authorize	d/valid electronic signature.	06/12/2014	REBECCA THOMAS					
HERE	Signature of plan	administrator	Date	Enter name of individ	idual signing as plan administrator				
CICN	Jighature of pidfi	administratel	Date	LINE HAITIE OF HIGHYIO	uui Si(grining as plant aut	ini noti atti		
SIGN HERE	Ciamature of a	lavaninia ananas :	Data	Fatanan (1 " 11					
		loyer/plan sponsor name_if applicable) and address: inc	Date Jude room or suite numbe	Enter name of individer (optional)					
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)				Preparer's telephone number (optional)					

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Do	rt III Financial Information										
Pa			()5				4 > =				
	Plan Assets and Liabilities	_		(a) Beginning of Year			(b) End of Year				
<u>а</u>	Total plan assets	7a 	31643	518450					188419	1	
	Total plan liabilities	7b 7c	E104E	0	-				100440	`	
	C Net plan assets (subtract line 7b from line 7a)		51845	U	-				188419	1	
8			(a) Amount				(b)	Total			
а	Contributions received or receivable from: (1) Employers	8a(1)									
	(2) Participants	8a(2)	1859	8							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	8151	3							
	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						1	00111		
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	42706	8							
е	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	307	4							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							430142	2	
i	Net income (loss) (subtract line 8h from line 8c)	8i						-;	33003	1	
j	Transfers to (from) the plan (see instructions)	8j									
Pai	t IV Plan Characteristics										
	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 3D	feature co	des from the List of Plan Chara	acteris	stic Co	odes in	the instr	uctions	3:		
b											
Par	V Compliance Questions										
10	During the plan year:				Yes	No		Am	ount		
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		Х					
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
С	Was the plan covered by a fidelity bond?			10c	X					195000	
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X					
е	Were any fees or commissions paid to any brokers, agents, or oth			10d							
	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See		X					4000	
	instructions.)			10e		V				1888	
	Has the plan failed to provide any benefit when due under the plan	n?		10f		Х					
g	Did the plan have any participant loans? (If "Yes," enter amount as	s of year e	end.)	10g		X					
h	h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X					
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Part	VI Pension Funding Compliance										
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)								Yes	No	
11a	Enter the unpaid minimum required contribution for current year fr					11a					
12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)										
а	If a waiver of the minimum funding standard for a prior year is being ranting the waiver.	ng amortiz	ed in this plan year, see instruc		, and e	enter th	ne date o	f the le		ling	
If	you completed line 12a, complete lines 3, 9, and 10 of Scheduk					_ ~ j					
	Enter the minimum required contribution for this plan year	•				12b					

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Enter the amount contributed by the employer to the plan for this plan year	12c						
Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d						
Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No	N/A			
VII Plan Terminations and Transfers of Assets							
Has a resolution to terminate the plan been adopted in any plan year?	X	es No					
If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			0			
Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the co of the PBGC?	ntrol		Yes	X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):			13c(3)	PN(s)			
VIII Trust Information (optional)							
14a Name of trust			14b Trust's EIN				
1 1	Will the minimum funding amount reported on line 12d be met by the funding deadline? VII Plan Terminations and Transfers of Assets Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) 13c(1) Name of plan(s): 13c 13c 13c 13c 13c 13c 13c 13	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline? I Has a resolution to terminate the plan been adopted in any plan year? If "Yes," enter the amount of any plan assets that reverted to the employer this year. If all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the control of the PBGC? If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) I Trust Information (optional)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount). Will the minimum funding amount reported on line 12d be met by the funding deadline?			