Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I	Annual Report	Identification Information							
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A This ret	turn/report is for:	X a single-employer plan	a multiple-employer pl	an (not multiemployer)	ployer) a one-participant plan				
B This ret	turn/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year return	n/report (less than 12 mo	onths))			
C Check I	box if filing under:	☐ Form 5558	automatic extension		•	DFVC program			
• Oncor	special extension (enter description)								
Part II	Rasic Plan Info	rmation—enter all requested info							
1a Name		- enter an requested mit	Jillauoli		1b	Three-digit			
	PEDIATRIC & ADOLESCENT MEDICINE, LLP PROFIT SHARING PLAN					plan number			
					(PN) ▶	002			
				1c Effective date of plan 01/01/1985					
2a Plan si	ponsor's name and ad	dress; include room or suite numbe	er (employer if for a single-	emplover plan)	2h	Employer Identifica			
PEDIATRIC	& ADOLESCENT ME	DICINE,	(ep.e) e., i. iei e. eg.e	op.oyo. p.a		(EIN) 13-2980			
					2c	ne number			
	END AVENUE					212-787-1444			
SUITE 1E NEW YORK	, NY 10024				2d	Business code (se	e instructions)		
20 Dlan a	dunimintuntunin un nun n	d address VCarra as Dian Chara	ar Nama - Doma as Dian	Canana Addusas	2h	621111 Administrator's EIN	621111		
Ja Pian a	oministrator's name ar	nd address XSame as Plan Spons	or NameSame as Plan	Sponsor Address	30	Administrator s Em	V		
					3с	Administrator's tele	ephone number		
4 If the r	name and/or EIN of the	e plan sponsor has changed since t	he last return/report filed fo	or this plan, enter the	4b	EIN			
		mber from the last return/report.		,	TO LIN				
	or's name				4c	PN			
5a Total r	number of participants	at the beginning of the plan year			5a		50		
b Total r	number of participants	at the end of the plan year			5b		47		
		account balances as of the end of the		-	5c		42		
		s during the plan year invested in el					X Yes No		
		the annual examination and report	-						
		? (See instructions on waiver eligibil	,				X Yes No		
_		ther line 6a or line 6b, the plan ca							
C If the p	plan is a defined benef	it plan, is it covered under the PBG	C insurance program (see	ERISA section 4021)?	<u>L</u>	Yes No No	Not determined		
Caution: A	A penalty for the late	or incomplete filing of this return	/report will be assessed (unless reasonable cau	se is	established.			
		ner penalties set forth in the instruct							
	edule MB completed a true, correct, and com	nd signed by an enrolled actuary, as plete.	s well as the electronic vers	sion of this return/report,	, and	to the best of my kn	owledge and		
			<u> </u>	ı					
SIGN HERE	Filed with authorized/	valid electronic signature.	06/20/2014	MICHAEL LEVI					
HEKE	Signature of plan a	dministrator	Date	Enter name of individu	ual siç	gning as plan admin	istrator		
SIGN									
HERE	Signature of emplo	yer/plan sponsor	Date	Enter name of individu	ividual signing as employer or plan sponso				
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional) Preparer's telephone number (optional)					imber (optional)				
				ļ					

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Pa	rt III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	or.			(b) End c	f Vos	r		
	Total plan assets	(1)			(b) End of Year 1399151						
	Total plan liabilities	7b			+						
			97783	1				139	9151		
8			(a) Amount				(b) To				
	Contributions received or receivable from:		(a) Amount				(6) 10	ıaı			
	(1) Employers	8a(1)	9965	2							
	(2) Participants	8a(2)	18381	5							
	(3) Others (including rollovers)	8a(3)									
b	Other income (loss)	8b	15627	1							
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						439	9738		
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1734	3							
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e									
f	Administrative service providers (salaries, fees, commissions)	8f	107	5							
g	Other expenses	8g									
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h						1	8418		
i	Net income (loss) (subtract line 8h from line 8c)	8i						42	1320		
j	Transfers to (from) the plan (see instructions)	8j									
Pai	rt IV Plan Characteristics										
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3B 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instruct	ons:			
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instruction	ns:			
Par	t V Compliance Questions										
10	During the plan year:				Yes	No		Amou	ınt		
	 Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) 			10a		X					
b	b Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X					
					X					000	000
				10c						900	000
	or dishonesty?			10d		X					
е	 Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all 										
	instructions.)		. ,	10e		X					
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X					
9	Did the plan have any participant loans? (If "Yes," enter amount a	s of year e	end.)	10g	X					460	027
h				10h		X					
i	If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i							
Pari											
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form											
3333/ direction (14 33134)											
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39											
_12											
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling										
granting the waiver											
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•				401-	1				
h	Enter the minimum required contribution for this plan year					12b	Ī				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)						
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
13c(1) Name of plan(s):			V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			