## Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

## Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension E	Benefit Guaranty Corporation	▶ Complete all entries in accor	dance with the instruc	tions to the Form 550	0-SF.	""	респол
Part I	Annual Report lo	dentification Information					
For calend	dar plan year 2013 or fisc	cal plan year beginning 01/01/201	13	and ending 1	2/31/2	2013	
	eturn/report is for:	a single-employer plan	a multiple-employer pl	an (not multiemployer)		a one-partici	pant plan
<b>B</b> This re	eturn/report is:	the first return/report	<u>'</u>	- /	41 \		
_		an amended return/report	<u> </u>	n/report (less than 12 mo	ontns)		
C Check	box if filing under:	Form 5558 special extension (enter description)	automatic extension on)			DFVC progra	am
Part II	Basic Plan Infor	mation—enter all requested inform	·				
1a Name		enter an requested inform	iddon		1b	Three-digit	
	SORY, LLC 401(K) PRO	FIT SHARING PLAN				plan number	
						(PN) ▶	001
					1c	Effective date of 01/01	•
	sponsor's name and addi	ress; include room or suite number (e	employer, if for a single-	employer plan)	2b	Employer Identi	
1000 SECC	OND AVENUE SUITE 15	290			2c	Sponsor's telep	
SEATTLE,	WA 98104	100			2d	Business code	(see instructions)
3a Plan administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address 3b A							
					3с	Administrator's	telephone number
			last return/report filed fo	or this plan, enter the	4b	EIN	
<b>a</b> Spons	sor's name				4c	PN	
<b>5a</b> Total	206-515-2380 2d Business code (see instructions) 523900 an administrator's name and address Same as Plan Sponsor Name Same as Plan Sponsor Address  the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the ame, EIN, and the plan number from the last return/report.  25						
<b>b</b> Total	number of participants a	t the end of the plan year			5b		8
			. , ,	•	5c		8
6a Were	e all of the plan's assets	during the plan year invested in eligib	ole assets? (See instruc	tions.)			X Yes No
unde	r 29 CFR 2520.104-46?	(See instructions on waiver eligibility	and conditions.)				X Yes No
-		•					<b>-</b>
C If the	plan is a defined benefit	plan, is it covered under the PBGC in	nsurance program (see	ERISA section 4021)? .		Yes X No	Not determined
Caution:	A penalty for the late or	r incomplete filing of this return/re	port will be assessed	unless reasonable cau	ıse is	established.	
SB or Sch		er penalties set forth in the instruction d signed by an enrolled actuary, as w ete.					
SIGN	Filed with authorized/va	alid electronic signature.					
HERE	Signature of plan ad	ministrator	Date	Enter name of individu	ual sig	ıning as plan adr	ninistrator
SIGN							
HERE		Signature of employer/plan sponsor Date Enter name of individu			ual sig	ıning as employe	er or plan sponsor
Preparer's	name (including firm na	me, if applicable) and address; includ	de room or suite numbe	r (optional)	Prep	arer's telephone	number (optional)

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Pa	rt III   Financial Information									
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) Fr	nd of \	ear	
a	Total plan assets	7a	89184				(3) =:		193333	2
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	89184	4				1	193332	2
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(h	) Total		
	Contributions received or receivable from:		(4) / 111104111				\	, 1000		
	(1) Employers	8a(1)	4925	0						
	(2) Participants	8a(2)	10165	54						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	16168	14						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						;	312588	}
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1110	0						
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							1110	)
i	Net income (loss) (subtract line 8h from line 8c)	8i							30148	3
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	•			•					
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the inst	ruction	s:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ictions	:	
Par	t V Compliance Questions									
10	During the plan year:				Yes	No	I	Λm	ount	
	Was there a failure to transmit to the plan any participant contribution	tions within	n the time period described in					All	Ount	
	29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu Were there any nonexempt transactions with any party-in-interest	ıciary Corr	ection Program)	10a		Х				
N	on line 10a.)	•	•	10b		X				
				100	X					119330
d	· · · · · · · · · · · · · · · · · · ·			10c						119330
u	or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth									
	insurance service, or other organization that provides some or all		. ,	10e		X				
f	instructions.)  Has the plan failed to provide any benefit when due under the plan					X				
				10f		Χ				
9				10g		^				
h	If this is an individual account plan, was there a blackout period? ( 2520.101-3.)	•		10h		Χ				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i		X				
Part		1 0								
11	Is this a defined benefit plan subject to minimum funding requirem	onte2 (If "	Vos " soo instructions and com	nloto	Schoo	tulo SI	2 (Form	$\top$		
	5500) and line 11a below)				<u>.</u>				Yes	X No
	Enter the unpaid minimum required contribution for current year fr	om Sched	ule SB (Form 5500) line 39			11a		- I		
12	Is this a defined contribution plan subject to the minimum funding			e or se	ection	302 of	ERISA?		Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,						<u> </u>			
	If a waiver of the minimum funding standard for a prior year is beir granting the waiver.		Mon	th	, and e	enter th Day	ne date d	of the l		ling
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•					1			
	Enter the minimum required contribution for this plan year					12b	I			

Page	3 -		1
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С	Enter the amount contributed by the employer to the plan for this plan year	12c		
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d		
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A
Part	VII Plan Terminations and Transfers of Assets			
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No	
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a		
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)	)		
1	<b>3c(1)</b> Name of plan(s):	c(2) Ell	V(s)	<b>13c(3)</b> PN(s)
Part	VIII Trust Information (optional)			
14a	Name of trust	l <b>4b</b> Tr	ust's EIN	

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▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

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Part I	Annual Report Identification In						
For calenda	ar plan year 2013 or fiscal plan year begin	ning 01/01/2013		and ending 1	2/31/2	2013	
A This ret	urn/report is for:	yer plan a n	nultiple-employer pla	an (not multiemployer)		a one-partici	pant plan
B This ret	urn/report is: the first return/r	eport the	final return/report				
	an amended re	turn/report a st	nort plan year return	/report (less than 12 m	onths)		
C Check	oox if filing under: Form 5558	aut	tomatic extension			DFVC progra	am
	special extensi	on (enter description)					
Part II	Basic Plan Information—enter a	Il requested information	n				
1a Name					1b	Three-digit	
	ory, LLC 401(k) Profit Sharing Plan				137.00	plan number (PN)	001
					1c	Effective date of 01/01/2	
2a Plan s MRW Adviso	ponsor's name and address; include room ory, LLC	or suite number (empl	oyer, if for a single-	employer plan)	2b	Employer Identi (EIN) 26-024	
					2c	Sponsor's telep (206) 51	
1000 Secon	d Avenue, Suite 1580				2d		(see instructions)
Seattle, WA		as Dian Casasas Nam	a Deama as Blan	Cooper Address	2h	523900 Administrator's	
<b>Ja</b> Plan a	dministrator's name and address XSame	as Plan Sponsor Nam	e Same as Plan	Sponsor Address	30	Administrator s	EIN
					30	Administrators	telephone number
name	name and/or EIN of the plan sponsor has one of the plan sponsor has one of the plan number from the last recor's name		return/report filed fo	r this plan, enter the	4b 4c	EIN PN	
5a Total	number of participants at the beginning of	the plan year			5a		10
<b>b</b> Total	number of participants at the end of the pla	an year			5b		8
	er of participants with account balances as ete this item)				5c		8
<b>b</b> Are you under	all of the plan's assets during the plan yea ou claiming a waiver of the annual examin 29 CFR 2520.104-46? (See instructions of answered "No" to either line 6a or line plan is a defined benefit plan, is it covered	ation and report of an i on waiver eligibility and 6b, the plan cannot u	ndependent qualifie conditions.) use Form 5500-SF	d public accountant (IQ	PA) Form	5500.	X Yes No X Yes No Not determined
Caution: A	penalty for the late or incomplete filing	g of this return/report	will be assessed i	unless reasonable cau	ıse is	established.	
SB or Sche	alties of perjury and other penalties set for edule MB completed and signed by an enr true, correct, and complete.						
SIGN	Cond Congre		1 1	Robert Wagner			
HERE	Signature of plan administrator		Date 6 10 Ny	Enter name of individ	ual sig	ning as plan adı	ministrator
SIGN			,				
HERE	Signature of employer/plan sponsor		Date	Enter name of individ			
Preparer's	name (including firm name, if applicable)	and address; include ro	oom or suite numbe	r (optional)	Prep	arer's telephone	e number (optional)

Par 7	t III Financial Information Plan Assets and Liabilities		(a) Beginning of Yea		$\top$		/h) =	d of '	/00-	
	Total plan assets	7a	(a) Beginning of Yea	2/M	(b) End of Year				D. C Service was a	2
-	Total plan liabilities	7b	00101		+				130002	
	Net plan assets (subtract line 7b from line 7a)	7c	89184	4	+			1	193332	,
_	Income, Expenses, and Transfers for this Plan Year	70	(a) Amount		$\top$		/h	Tota	ere e	
_	Contributions received or receivable from:		(a) Amount			- Vent	(8)	Tota		- 1-1
07775	(1) Employers	8a(1)	4925	0		110				
	(2) Participants	8a(2)	10165	4			-			
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	16168	4		170				
-	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							312588	3
	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	1110	0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0			4118			
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							11100	0
-	Net income (loss) (subtract line 8h from line 8c)	8i							301488	3
j	Transfers to (from) the plan (see instructions)	8j							117-10	119
D 100 D 100 D 100 D	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2G 2J 2K 2T 3D	feature code	es from the List of Plan Char	acteris	tic Co	des in	the instr	uction	s:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature codes	from the List of Plan Chara	cterist	ic Cod	les in t	he instru	ctions	:	
Part	V Compliance Questions									
10	During the plan year:				Yes	No		An	ount	
а	Was there a failure to transmit to the plan any participant contribu 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fidu			10a		Х				
b	Were there any nonexempt transactions with any party-in-interest on line 10a.)		the second secon	10b		X				
С				10c	Х					11933
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bond	, that was caused by fraud		30.00	X				11933
е	or dishonesty?  Were any fees or commissions paid to any brokers, agents, or other			10d						
e	insurance service, or other organization that provides some or all	The second secon	Parameter and the second secon			19791				
	instructions.)			10e		×				
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		Х				
g	Did the plan have any participant loans? (If "Yes," enter amount a	s of year end	d.)(.b	10g		X				
h	If this is an individual account plan, was there a blackout period? 2520.101-3.)			10h		×				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required r	notice or one of the	10i		Х				
Part										
11	Is this a defined benefit plan subject to minimum funding requirem 5500) and line 11a below)							T	7 Yes	X N
110	Enter the unpaid minimum required contribution for current year fr		Total and the second se			39 N			1 100	N II
			The state of the s			11a	EDICAC	Тг	Yes	N N
12	Is this a defined contribution plan subject to the minimum funding			or se	cuon .	3UZ 0T	ERISA?		Tes	X N
а	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below If a waiver of the minimum funding standard for a prior year is being granting the waiver.	ng amortized	l in this plan year, see instru	Section of the Sectio	and e		ne date o			ling
If	granting the waiveryou completed line 12a, complete lines 3, 9, and 10 of Schedul	CONTROL CONTROL	AND DESCRIPTION OF THE PARTY OF			Day		Ye	al	-
	Enter the minimum required contribution for this plan year				$\neg$	12b				