Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

| Pension Be | enetit Guaranty Corporation | ▶ Complete all entries in accorda | ance with the instruc | tions to the Form 5500 | 0-SF. | | | |
|--|--|---|--|--|---|--|--|--|
| Part I | | dentification Information | | | | | | |
| For calend | ar plan year 2013 or fisc | cal plan year beginning 01/01/2013 | | and ending 1 | 2/31/2 | 013 | | |
| A This return/report is for: | | | | r) a one-participant plan | | | | |
| B This return/report is: the first return/report the final return/report | | | | | | | | |
| | | an amended return/report | short plan year return | n/report (less than 12 mo | onths) | | | |
| C Check | box if filing under: | Form 5558 | automatic extension | | DFVC program | | | |
| | | special extension (enter description |) | | | | | |
| Part II | Basic Plan Infor | mation—enter all requested informa | tion | | | | | |
| 1a Name | of plan | | | | 1b | Three-digit | | |
| CASCADE A | APPRAISAL LLC MONE | Y PURCHASE PLAN | | | | plan number | | |
| | | | | | | (PN) ▶ | 002 | |
| | | | | | 1c | Effective date of | f plan | |
| | | | | | | 12/21 | /1983 | |
| 2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) CASCADE APPRAISAL LLC | | | 2b Employer Identification Number (EIN) 91-6261932 | | | | | |
| DO DOV 044 | | | | | 2c Sponsor's telephone number | | | |
| PO BOX 618 BELLEVUE, | WA 98008-0187 | | | | 2d | | (see instructions) | |
| | | | | | | 53132 | , | |
| 3a Plan a | dministrator's name and | l address Same as Plan Sponsor Na | ıme Same as Plar | Sponsor Address | 3b / | Administrator's I | EIN 261932 | |
| ASCADE AF | PPRAISAL LLC | PO BOX 6187 BELLEVUE, WA | 98008-0187 | | 3c | | telephone number | |
| | | DELECTOR, WA | 1 30000 0 107 | | | 425-746 | • | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 4 If the r | name and/or EIN of the | plan sponsor has changed since the la | st return/report filed fo | or this plan, enter the | 4b | EIN | | |
| | | plan sponsor has changed since the la ber from the last return/report. | st return/report filed fo | or this plan, enter the | | | | |
| name | | | st return/report filed fo | or this plan, enter the | 4b 4c | | | |
| name a Spons | , EIN, and the plan num or's name | | · | · | | | 8 | |
| name a Spons 5a Total | , EIN, and the plan num or's name number of participants a | ber from the last return/report. | | | 4c | | 8 | |
| name a Spons 5a Total i b Total i c Numb | , EIN, and the plan num or's name number of participants a number of participants a er of participants with ac | ber from the last return/report. | an year (defined bene | fit plans do not | 4c 5a | | | |
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Form 5500-SF 2013 Page **2**

| Pa | rt III Financial Information | | | | | | | |
|---|---|-------------|---|---------|-----------------|----------|-------------------|--|
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | | | | (b) End of Year | |
| _ ′ a | | | (a) Beginning of Yea | | (b) End of Year | | | |
| <u>u</u> | | | .23030 | • | | 1004773 | | |
| | | | 129305 | 1293054 | | | 1604775 | |
| 8 | Income, Expenses, and Transfers for this Plan Year | 7c | | • | | | | |
| | Contributions received or receivable from: | | (a) Amount | | | | (b) Total | |
| | (1) Employers | 8a(1) | 4868 | 5 | | | | |
| | (2) Participants | 8a(2) | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | | | | | |
| b | Other income (loss) | 8b | 26303 | 6 | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | 311721 | |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | | | | | |
| <u>e</u> | Certain deemed and/or corrective distributions (see instructions) | 8e | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | | | | | |
| g | Other expenses | 8g | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | 311721 | |
| j | Transfers to (from) the plan (see instructions) | 8j | | | | | | |
| Pai | t IV Plan Characteristics | | | | | | | |
| 9a | If the plan provides pension benefits, enter the applicable pension 2C 2G 3B 3D | feature co | des from the List of Plan Chara | acteris | stic Co | des in | the instructions: | |
| b | If the plan provides welfare benefits, enter the applicable welfare for | eature cod | es from the List of Plan Charac | cterist | ic Cod | les in t | he instructions: | |
| Par | Part V Compliance Questions | | | | | | | |
| 10 | During the plan year: | | | | Yes | No | Amount | |
| а | | tions withi | n the time period described in rection Program) | 10a | | X | | |
| b | Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.) | | | 10b | | X | | |
| С | Was the plan covered by a fidelity bond? | | | 10c | X | | 175000 | |
| d | Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty? | | | 10d | | X | 170000 | |
| —е | Were any fees or commissions paid to any brokers, agents, or oth | | | | | | | |
| · | insurance service, or other organization that provides some or all | | | | | X | | |
| | instructions.) | | | 10e | | | | |
| f | f Has the plan failed to provide any benefit when due under the plan? | | | 10f | | X | | |
| g | g Did the plan have any participant loans? (If "Yes," enter amount as of year end.) | | | 10g | | X | | |
| h | h If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.) | | | 10h | | X | | |
| i | If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10 | | | 10i | | | | |
| Part | T | | | | | | | |
| 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) | | | | | | | | |
| 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | | | | |
| | 12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? X Yes No | | | | | | | |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | |
| a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year | | | | | | | | |
| If | If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13. | | | | | | | |
| | Enter the minimum required contribution for this plan year | , | , г | | | 12b | 48685 | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | 48685 | | |
|--|--|-------------------|-----------|---------------------|--|--|
| d | | | | (| | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No X N/A | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | 🔲 Y | es X No |) | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC? | control | | Yes X No | | |
| С | If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) which assets or liabilities were transferred. (See instructions.) | to | | | | |
| | 13c(1) Name of plan(s): | 1 3c(2) El | N(s) | 13c(3) PN(s) | | |
| | | | | | | |
| Part VIII Trust Information (optional) | | | | | | |
| 14a | Name of trust | 14b Tr | ust's EIN | | | |
| | | | | | | |