Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500-SF.

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Part I Annual Report Identification Information									
For cal	For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013								
A Thi	s return/report is for	a single-employer plan	a multiple-employer pl	lan (not multiemployer)	oyer) a one-participant plan				
B This	s return/report is:	the first return/report	the final return/report						
		an amended return/report	a short plan year returi	n/report (less than 12 m	onths)			
C Che	eck box if filing unde	r: Form 5558	automatic extension			DFVC progra	ım		
	· ·	special extension (enter descriptio	n)						
Part	II Basic Plar	Information—enter all requested informa	ation						
	me of plan	·			1b	Three-digit			
WILLIAM F JOHNSTON MD INC PROFIT SHARING PLAN AND TRUST						plan number			
					10	(PN)	001		
					10	Effective date of 02/01/	•		
2a Pla	an sponsor's name	and address; include room or suite number (er	nplover. if for a single-	emplover plan)	2b Employer Identification Numb				
	M F JOHNSTON ME		, , , , , , , , , , , , , , , , , , , ,	- F - 7 - F - 7	(EIN) 91-1056491				
					2c	hone number			
	ARKET STREET	1410 MARKE				425-827	7-6100		
KIRKLA	ND, WA 98033	KIRKLAND, V	VA 98033		2d	Business code (,		
0			П		O.L.	621111			
3a Pla	an administrator's na	ame and address XSame as Plan Sponsor N	ame Same as Plar	Sponsor Address	30	Administrator's I	ΕIN		
					3с	Administrator's t	telephone number		
4 If 1	the name and/or EIN	I of the plan sponsor has changed since the la	ast return/report filed fo	or this plan, enter the	4h	EIN			
		an number from the last return/report.	ist return report med to	or this plan, enter the	4b EIN				
a Sp	onsor's name				4c PN				
5a ⊤o	otal number of partic	ipants at the beginning of the plan year			5a		1		
b To	otal number of partic	ipants at the end of the plan year			5b		1		
		s with account balances as of the end of the p	• •	-	E.		4		
	•				5c		1		
	·	assets during the plan year invested in eligible assets during the plan year invested in eligible assets during the plan year invested in eligible	•	,			X Yes ∐ No		
		04-46? (See instructions on waiver eligibility a					X Yes No		
lf	you answered "No	" to either line 6a or line 6b, the plan canno	ot use Form 5500-SF	and must instead use	Form	า 5500.			
C If	the plan is a defined	benefit plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)?		Yes No	Not determined		
Cautio	n: A penalty for the	e late or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	ıse is	established.			
		and other penalties set forth in the instructions					able, a Schedule		
	Schedule MB complit is true, correct, an	eted and signed by an enrolled actuary, as we	II as the electronic ver	sion of this return/report	, and	to the best of my	knowledge and		
bellet,	it is true, correct, an	и соттріете.		1					
SIGN	Filed with auth	orized/valid electronic signature.	lectronic signature. 06/27/2014 PHILIP MAXEINER						
HERE	Signature of	plan administrator	Date	Enter name of individ	ual signing as plan administrator				
SIGN									
HERE	Signature of	employer/plan sponsor	Date	Enter name of individ	ual si	anina as emplove	r or plan sponsor		
Preparer's name (including firm name, if applicable) and address; include room or suite number (optional)					Preparer's telephone number (optional)				
PHILIP S MAXEINER PHILIP S MAXEINER CPA PS					425-827-6100				
1410 MARKET STREET									
KIRKLAND, WA 98033									

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Part III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ear (b) End of Year				ear		
<u>.</u>	Total plan assets	7a	264895				3053270			
	Total plan liabilities	7b			+					
	Net plan assets (subtract line 7b from line 7a)	7c	264895	6				30)5327()
8	Income, Expenses, and Transfers for this Plan Year	,,,		-			(b) 7			
	Contributions received or receivable from:						(0)	Γotal		
) Employers									
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	40431	4						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						4	04314	ļ.
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d								
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f								
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h								
i	Net income (loss) (subtract line 8h from line 8c)	8i						4	10431	4
j	Transfers to (from) the plan (see instructions)	8j								
Pai	t IV Plan Characteristics	,								
9a	If the plan provides pension benefits, enter the applicable pension 2G 3E	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	ctions	s:	
b										
Par	V Compliance Questions									
	-				Yes	No		A		
10		During the plan year:			162	NO		Amo	ount	
b	Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported			10a		Х				
b	on line 10a.)			10b		X				
				100		Χ				
	<u> </u>			10c						
d	or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	•	•							
	instructions.)		' '	10e		X				
f	Has the plan failed to provide any benefit when due under the pla	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					Χ				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR					X				
i	If 10h was answered "Yes," check the box if you either provided the	ne required	d notice or one of the	10h						
D	exceptions to providing the notice applied under 29 CFR 2520.10	1-3		10i						
Part VI Pension Funding Compliance										
	11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) Yes X No									
11a	a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes X						X No			
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.										
b	Enter the minimum required contribution for this plan year					12b				

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d					
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A			
Part	rt VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust				14b Trust's EIN			