Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

Pension Be	enefit Guaranty Corporation	► Complete all entries in accord	lance with the instru	ctions to the Form 550	0-SF.	in	spection	
Part I Annual Report Identification Information								
For calenda	ar plan year 2013 or fis	scal plan year beginning 01/01/2013	3	and ending 1	2/31/2	2013		
	urn/report is for:			lan (not multiemployer)	loyer) a one-participant plan			
B This ret	urn/report is:		the final return/report					
		an amended return/report	a short plan year retur	n/report (less than 12 m	onths))		
C Check b	box if filing under:	Form 5558	automatic extension			DFVC progra	am	
special extension (enter description)								
Part II	Basic Plan Info	rmation—enter all requested informa	ation					
1a Name	of plan				1b	Three-digit		
ASSOCIATE	S IN DERMATOLOGY	Y PROFIT SHARING PLAN				plan number		
					4.0	(PN) •	001	
						Effective date of	of plan 9/1974	
2a Plan si	nonsor's name and ad	dress; include room or suite number (er	mnlover if for a single-	employer plan)	2h		ification Number	
	S IN DERMATOLOG		inployer, il for a single	employer planty	20)85679	
					2c	Sponsor's telep	phone number	
3810 SPRIN	IGHURST BLVD SUIT	F 200				33-1749		
LOUISVILLE		2 200			2d	Business code	(see instructions)	
						6211		
3a Plan a	dministrator's name ar	nd address 🛛 Same as Plan Sponsor N	ame Same as Plar	Sponsor Address	3b	Administrator's	EIN	
					0 -			
					3C	3c Administrator's telephone num		
4 If the r	name and/or EIN of the	e plan sponsor has changed since the la	ast return/report filed for	or this plan, enter the	4b	EIN		
		mber from the last return/report.						
a Spons					4c	PN		
5a Total r	number of participants	at the beginning of the plan year			5a		89	
b Total r	number of participants	at the end of the plan year			5b		86	
		account balances as of the end of the p	• •	•	5c		70	
6a Were	all of the plan's assets	s during the plan year invested in eligibl	e assets? (See instruc	tions.)			X Yes No	
_		f the annual examination and report of a			PA)			
		? (See instructions on waiver eligibility a	,				X Yes No	
-		ither line 6a or line 6b, the plan canno			_		7	
C If the p	olan is a defined benef	fit plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)?	📙	Yes No	Not determined	
Caution: A	penalty for the late	or incomplete filing of this return/rep	ort will be assessed	unless reasonable cau	ıse is	established.		
		her penalties set forth in the instructions					cable, a Schedule	
		nd signed by an enrolled actuary, as we	ell as the electronic ver	sion of this return/report	t, and	to the best of my	y knowledge and	
beller, it is t	true, correct, and com	piete.						
SIGN	Filed with authorized/	valid electronic signature.	07/01/2014	JEFFREY CALLEN, M	, M.D.			
HERE	HERE Signature of plan administrator Date Enter name of		Enter name of individ	ividual signing as plan administrator				
SIGN								
HERE	Signature of emplo		Date	Enter name of individual signing as appleases as also			er or nlan enoneor	
Preparer's	Signature of employer/plan sponsor Date Enter name of indiparer's name (including firm name, if applicable) and address; include room or suite number (optional)			ridual signing as employer or plan sponso Preparer's telephone number (optional)				
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Pa	Part III Financial Information									
7	Plan Assets and Liabilities	(a) Reginning of Yea	(a) Beginning of Year			(b) End of Year				
a	Total plan assets	7a		12244550			15248674			
	Total plan liabilities	7b								
	Net plan assets (subtract line 7b from line 7a)	7c	1224455	12244550				152	248674	1
8			(a) Amount				(h)	Total		
	Contributions received or receivable from:		(a) Amount				(1)	TOtal		
	(1) Employers	8a(1)	73706	8						
	(2) Participants	8a(2)								
	(3) Others (including rollovers)	8a(3)								
b	Other income (loss)	8b	253927	1						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						32	76339)
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	27059	4						
е	Certain deemed and/or corrective distributions (see instructions)	8e								
f	Administrative service providers (salaries, fees, commissions)	8f	162	1						
g	Other expenses	8g								
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							27221	5
ī	Net income (loss) (subtract line 8h from line 8c)	8i						3	004124	1
j	Transfers to (from) the plan (see instructions)	8j								
	t IV Plan Characteristics	, oj								
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2A 2R 2F 2T	feature co	des from the List of Plan Char	acteris	stic Co	odes in	the instru	uctions	3:	
b										
	<u> </u>									
Par							ſ			
10	During the plan year:				Yes	No		Am	ount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		X				
С	Was the plan covered by a fidelity bond?			10c	X					500000
d		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud or dishonesty?				X				
е	Were any fees or commissions paid to any brokers, agents, or oth			10d						
	insurance service, or other organization that provides some or all		' '	١		X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?					Х				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10			10i						
Part										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)										
11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39										
12										
a	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling									
granting the waiver										
	If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.									
a	Enter the minimum required contribution for this plan year				[12b	I			

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С	Enter the amount contributed by the employer to the plan for this plan year	12c					
d Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)							
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A			
Part	Part VII Plan Terminations and Transfers of Assets						
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No				
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a					
b Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the of the PBGC?				Yes X No			
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)							
13c(1) Name of plan(s):				13c(3) PN(s)			
Part	VIII Trust Information (optional)						
14a Name of trust			14b Trust's EIN				