Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

	enefit Guaranty Corporation	▶ Complete all entries in accord	dance with the instru	ctions to the Form 550	0-SF.			
Part I		Identification Information						
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013								
A This ret	This return/report is for: 🛛 a single-employer plan 🔲 a multiple-employer plan (not multiemployer					a one-particip	oant plan	
B This ret	This return/report is:				-			
		an amended return/report	a short plan year return	n/report (less than 12 mg	onths)	1		
C Check box if filing under: Form 5558 automatic extension					DFVC program			
special extension (enter description)								
Part II	Rasic Plan Info	rmation—enter all requested inform	· ·					
1a Name	•	mation—enter all requested inform	alion		1h	Three-digit		
DRIVE SYSTEMS INC 401 K PROFIT SHARING PLAN TRUST					plan number			
				(PN) •	001			
				1c	Effective date of	f plan		
						01/01/	/2011	
2a Plan sponsor's name and address; include room or suite number (employer, if for a single-employer plan) DRIVE SYSTEMS INC.			2b Employer Identification Number (EIN) 16-1113358					
DO BOY 65					2c	2c Sponsor's telephone number 716-662-6676		
PO BOX 653 ORCHARD	PARK, NY 14127-0653	3			2d	2d Business code (see instructions)		
3a Plan a	dministrator's name an	nd address XSame as Plan Sponsor N	lame Same as Plar	n Sponsor Address	333200 3b Administrator's EIN			
					3с	Administrator's t	telephone number	
4 If the r					41-			
		e plan sponsor has changed since the langed since the lan	ast return/report filed to	or this plan, enter the	4b EIN			
	or's nameDRIVE SYST	•			4c	PN		
		at the beginning of the plan year			5a		3	
b Total r	number of participants	at the end of the plan year			5b		4	
			• '	C Number of participants with account balances as of the end of the plan year (defined benefit plans do not				
							Z Ves D No	
							X Yes No	
b Are you under	ou claiming a waiver of 29 CFR 2520.104-46?	the annual examination and report of	le assets? (See instruc an independent qualifie	etions.)ed public accountant (IQI	PA)		X Yes No	
under	29 CFR 2520.104-46?	the annual examination and report of	le assets? (See instruction independent qualifications)	tions.)ed public accountant (IQI	PA)		X Yes No	
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Form 5500-SF 2013 Page **2**

Part III Financial Information								
7			(a) Deninning of Vec				(h) Ford of Voca	
	Plan Assets and Liabilities			(a) Beginning of Year 61859		(b) End of Year		
	Total plan assets Total plan liabilities			01039		0		
	Net plan assets (subtract line 7b from line 7a)	7b 7c		61859			110115	
				71000				
	Income, Expenses, and Transfers for this Plan Year Contributions received or receivable from:		(a) Amount				(b) Total	
) Employers			0				
) Participants			1				
	3) Others (including rollovers)			0				
b	Other income (loss)	8b	1424	9				
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c					48460	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0				
<u>e</u>	Certain deemed and/or corrective distributions (see instructions)	8e		0				
f	Administrative service providers (salaries, fees, commissions)	8f	20	4				
g	Other expenses	8g		0				
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h					204	
i_	Net income (loss) (subtract line 8h from line 8c)	8i					48256	
j	Transfers to (from) the plan (see instructions)	8j		0				
Par	t IV Plan Characteristics							
9a	If the plan provides pension benefits, enter the applicable pension 2E 2G 2J 2K 2T 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instructions:	
b	If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	les from the List of Plan Chara	cterist	ic Coc	les in t	he instructions:	
Par	V Compliance Questions							
10	During the plan year:				Yes	No	Amount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X		
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)			10b		Х		
С	Was the plan covered by a fidelity bond?			10c	X		20000	
d		Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud				X	20000	
е	Were any fees or commissions paid to any brokers, agents, or oth			10d				
•	insurance service, or other organization that provides some or all	of the ben	efits under the plan? (See			X		
	instructions.)			10e				
	Has the plan failed to provide any benefit when due under the plan?			10f		Χ		
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)			10g		X		
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)			10h		X		
i	i If 10h was answered "Yes," check the box if you either provided the required notice or one of the exceptions to providing the notice applied under 29 CFR 2520.101-3			10i				
Part	VI Pension Funding Compliance							
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below)								
11a	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39							
12								
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)							
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year								
If you completed line 12a, complete lines 3, 9, and 10 of Schedule MB (Form 5500), and skip to line 13.								
	Enter the minimum required contribution for this plan year	,				12b		

Page	3 -	1
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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			