Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

| Da | | | | cordance with the instruc | | | | | | |
|---|--|---|--|--|--|--------------------------------------|---|--|--|--|
| Pa | art I | Annual Report | Identification Information | | | | | | | |
| For | calenda | ar plan year 2013 or fis | scal plan year beginning 01/01/ | 2013 | and ending | 12/31/2 | 2013 | | | |
| A 7 | This ret | turn/report is for: | a single-employer plan | a multiple-employer pl | lan (not multiemployer) | ver) a one-participant plan | | | | |
| В | This ret | turn/report is: | x the first return/report | the final return/report | | | | | | |
| | | | an amended return/report | a short plan year return | n/report (less than 12 m | onths) |) | | | |
| C | Check I | box if filing under: | Form 5558 | automatic extension | | | DFVC progra | am | | |
| | | | special extension (enter descr | iption) | | | <u> </u> | | | |
| Pa | rt II | Basic Plan Info | rmation—enter all requested info | ormation | | | | | | |
| 1a | Name | of plan | · | | | 1b | Three-digit | | | |
| ELLI 1 | NY DES | SIGN CORP 401 K PR | OFIT SHARING PLAN TRUST | | | | plan number | | | |
| | | | | | | 4.0 | (PN) • | 001 | | |
| | | | | | | 1c Effective date of plan 01/01/2013 | | | | |
| | | ponsor's name and add | dress; include room or suite numbe | er (employer, if for a single- | employer plan) | 2b | fication Number 17169 | | | |
| 5105 | FLLISH | HING AVE | | | | 2c | 2c Sponsor's telephone number 718-418-9002 | | | |
| | | NY 11378 | | | | 2d | 2d Business code (see instructions 522298 | | | |
| 3a | Plan a | dministrator's name ar | nd address XSame as Plan Spons | or Name Same as Plan | Sponsor Address | 3b | Administrator's I | | | |
| | | | | | | 3c | Administrator's t | telephone number | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | 15.41 | | | | | 4. | | | | |
| 4 | | | e plan sponsor has changed since to mber from the last return/report. | the last return/report filed fo | or this plan, enter the | 4b EIN | | | | |
| а | | or's name | moor from the last retains report. | | | 4c PN | | | | |
| 5a | Total r | number of participants | at the beginning of the plan year | | | - 5a | | 17 | | |
| b | Total r | number of participants | at the end of the plan year | | | 5b | | 16 | | |
| С | | | account balances as of the end of t | | • | 5c | | 3 | | |
| 6a | Were | all of the plan's assets | during the plan year invested in e | ligible assets? (See instruc | tions.) | | | X Yes No | | |
| | Are yo | ou claiming a waiver of | the annual examination and report | t of an independent qualifie | ed public accountant (IC | PA) | | | | |
| | | | ? (See instructions on waiver eligibi | | | | | X Yes No | | |
| If you answered "No" to either line 6a or line 6b, the plan cannot use Form 5500-SF and must instead use Form 5500. | | | | | | | | | | |
| | | C If the plan is a defined benefit plan, is it covered under the PBGC insurance program (see ERISA section 4021)? ☐ Yes ☐ No ☒ Not determined | | | | | | | | |
| С | If the p | olan is a defined benef | it plan, is it covered under the PBG | C insurance program (see | ERISA section 4021)? | | res Ino X | Not determined | | |
| | | • | • | | , | | | Not determined | | |
| Cau Und | ition: A | A penalty for the late of alties of perjury and other | or incomplete filing of this return ner penalties set forth in the instruc | n/report will be assessed options, I declare that I have | unless reasonable ca examined this return/re | use is | established. | able, a Schedule | | |
| Cau Und SB o | ition: A ler pena or Sche | A penalty for the late of alties of perjury and other | or incomplete filing of this return ner penalties set forth in the instruc nd signed by an enrolled actuary, a | n/report will be assessed options, I declare that I have | unless reasonable ca examined this return/re | use is | established. | able, a Schedule | | |
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| Pa | rt III Financial Information | | | | | | | | | |
|---|--|--|---------------------------------|---------|---------|----------|-----------|---------------|------|-------|
| 7 | Plan Assets and Liabilities | | (a) Beginning of Yea | ır | | | (b) En | d of V | 'oar | |
| <u>.</u> | Total plan assets | 7a | ` ' | 0 0 | | | (6) Li | <u>u 01 1</u> | 6559 | 9 |
| | Total plan liabilities | 7b | | 0 | | | | | C |) |
| | Net plan assets (subtract line 7b from line 7a) | 7c | | 0 | | | | | 6559 |) |
| 8 | Income, Expenses, and Transfers for this Plan Year | 70 | (a) Amount | | + | | (h) | Total | | |
| a | Contributions received or receivable from: | | (a) Amount | | | | (D) | TOtal | | |
| | (1) Employers | 8a(1) | | 0 | | | | | | |
| | (2) Participants | 8a(2) | 597 | 1 | | | | | | |
| | (3) Others (including rollovers) | 8a(3) | | 0 | | | | | | |
| b | Other income (loss) | 8b | 58 | 8 | | | | | | |
| С | Total income (add lines 8a(1), 8a(2), 8a(3), and 8b) | 8c | | | | | | | 6559 |) |
| d | Benefits paid (including direct rollovers and insurance premiums to provide benefits) | 8d | | 0 | | | | | | |
| е | Certain deemed and/or corrective distributions (see instructions) | 8e | | 0 | | | | | | |
| f | Administrative service providers (salaries, fees, commissions) | 8f | | 0 | | | | | | |
| g | Other expenses | 8g | | 0 | | | | | | |
| h | Total expenses (add lines 8d, 8e, 8f, and 8g) | 8h | | | | | | | (|) |
| i | Net income (loss) (subtract line 8h from line 8c) | 8i | | | | | | | 6559 | 9 |
| j | Transfers to (from) the plan (see instructions) | 8j | | 0 | | | | | | |
| Pai | rt IV Plan Characteristics | | | | | | | | | |
| 9a | | feature co | des from the List of Plan Char | acteris | stic Co | des in | the instr | uction | s: | |
| b | If the plan provides welfare benefits, enter the applicable welfare fe | eature cod | es from the List of Plan Chara | cterist | ic Coc | les in t | he instru | ctions | : | |
| D | (V 0 | | | | | | | | | |
| Par | • | | | 1 | | | | | | |
| 10 | During the plan year: | | 0 0 11 2 1 | | Yes | No | | Am | ount | |
| | Was there a failure to transmit to the plan any participant contributions within the time period described i 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program) | | | 10a | | X | | | | |
| D | Were there any nonexempt transactions with any party-in-interest on line 10a.) | • | • | 10b | | Χ | | | | |
| | | | | | X | | | | | |
| | | | | 10c | | | | | | 20000 |
| | or dishonesty? | | | 10d | | X | | | | |
| е | Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all | • | • | | | | | | | |
| | instructions.) | | . , | 10e | | X | | | | |
| f | Has the plan failed to provide any benefit when due under the plan | n? | | 10f | | Χ | | | | |
| | Did the plan have any participant loans? (If "Ves " enter amount a | s of year e | and \ | 10g | | X | | | | |
| h | If this is an individual account plan, was there a blackout period? (| id the plan have any participant loans? (If "Yes," enter amount as of year end.) this is an individual account plan, was there a blackout period? (See instructions and 29 CFR | | | | X | | | | |
| i | If 10h was answered "Yes," check the box if you either provided the | ne required | d notice or one of the | 10h | | | | | | |
| _ | exceptions to providing the notice applied under 29 CFR 2520.10 | 1-3 | | 10i | | | | | | |
| Part | <u> </u> | | | | | | | - | | |
| 11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form 5500) and line 11a below) | | | | | | | | | | |
| _11a | 11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39 | | | | | | | | | |
| 12 | Is this a defined contribution plan subject to the minimum funding | requireme | ents of section 412 of the Code | or se | ction | 302 of | ERISA? | [| Yes | X No |
| | (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.) | | | | | | | | | |
| If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver. Month Day Year | | | | | | | | | | |
| | 1 / 1" 40 1 / 1" 0 0 140 (0 1 1 1 | | | | | _ | | _ | | |
| If | you completed line 12a, complete lines 3, 9, and 10 of Schedule | e MB (For | m 5500), and skip to line 13. | | | | | | | |

| Page | 3 - | 1 |
|------|-----|---|
|------|-----|---|

| С | Enter the amount contributed by the employer to the plan for this plan year | 12c | | | | | |
|---|---|----------|-----------------|---------------------|--|--|--|
| d | Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount) | 12d | | | | | |
| е | Will the minimum funding amount reported on line 12d be met by the funding deadline? | | Yes | No N/A | | | |
| Part | VII Plan Terminations and Transfers of Assets | | | | | | |
| 13a | Has a resolution to terminate the plan been adopted in any plan year? | Y | es X No | | | | |
| | If "Yes," enter the amount of any plan assets that reverted to the employer this year | 13a | | | | | |
| b | Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC? | ontrol | | Yes X No | | | |
| C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.) | | | | | | | |
| 1 | 3c(1) Name of plan(s): | c(2) Ell | V(s) | 13c(3) PN(s) | | | |
| | | | | | | | |
| | | | | | | | |
| Part | VIII Trust Information (optional) | | | | | | |
| 14a Name of trust | | | 14b Trust's EIN | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |