Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Pension B	enefit Guaranty Corporation	➤ Complete all entries in accord	dance with the instruc	ctions to the Form 550	0-SF.	""	peotion		
Part I	Annual Report le	dentification Information							
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A This return/report is for: ☐ a single-employer plan ☐ a multiple-employer plan (not multiemployer) ☐ a one-par ☐ This return/report is: ☐ the first return/report ☐ the final return/report							pant plan		
B This re	turn/report is:	the first return/report	•						
_				n/report (less than 12 m	onths)				
C Check	box if filing under:	Form 5558	automatic extension			DFVC progra	am		
Part II	Basic Plan Infor	mation—enter all requested information	•						
		mation—enter all requested informa	ation		1h	Three-digit			
1a Name	•	ALARY REDUCTION PLAN			טו	plan number			
DONALD 3.	AINIMA, D.D.G., 1 .G. GA	CEART REDOCTION LAN				(PN) •	001		
					1c	Effective date of	f plan		
						09/01	•		
	ponsor's name and add ARIMA, D.D.S., P.S.	ress; include room or suite number (e	mployer, if for a single-	employer plan)	2b	Employer Identification Number (EIN) 91-0958659			
330 BIRCH	et e				2c	Sponsor's telephone number 360-495-3666			
	/, WA 98557				2d	Business code 6212	(see instructions)		
3a Plan a	dministrator's name and	d address XSame as Plan Sponsor N	lame Same as Plar	n Sponsor Address	3b	Administrator's			
					3с	Administrator's	telephone number		
4 If the	name and/or EIN of the	plan sponsor has changed since the l	ast return/report filed fo	or this plan, enter the	4b	EIN			
name		ber from the last return/report.			4c				
		at the beginning of the plan year			5a		7		
_		at the end of the plan year			5b		7		
C Numb	per of participants with a	ccount balances as of the end of the p	olan year (defined bene	efit plans do not	5c		7		
	•	during the plan year invested in eligib					X Yes No		
b Are y	ou claiming a waiver of t	the annual examination and report of a (See instructions on waiver eligibility a	an independent qualifie	ed public accountant (IQ	PA)		X Yes No		
If you	ı answered "No" to eitl	her line 6a or line 6b, the plan cann	ot use Form 5500-SF	and must instead use	Form	5500.			
C If the	plan is a defined benefit	plan, is it covered under the PBGC in	surance program (see	ERISA section 4021)? .	П	Yes No	Not determined		
Caution:	A nenalty for the late of	r incomplete filing of this return/rep	ort will be assessed	unless reasonable cau		established	-		
Under pen SB or Sch	alties of perjury and other	er penalties set forth in the instructions d signed by an enrolled actuary, as we	s, I declare that I have	examined this return/rep	port, ir	cluding, if applic			
SIGN	Filed with authorized/v	alid electronic signature.	07/16/2014	DONALD J. ARIMA					
HERE	Signature of plan ad	ministrator	Date	Enter name of individu	ual sig	ıning as plan adr	ministrator		
SIGN HERE									
	Signature of employ		Date		dual signing as employer or plan sponsor				
Preparer's	name (including firm na	me, if applicable) and address; includ	e room or suite numbe	r (optional)	Prep	arer's telepnone	number (optional)		

Form 5500-SF 2013 Page **2**

Day	rt III Financial Information									
7 Ta			(a) Denimalian of Ven		<u> </u>		(b) F::	-1 -6 V		
	n Assets and Liabilities (a) Beginning of Year al plan assets						(b) En		ear 092143	
<u>а</u> b	tal plan assets 7a 9025 tal plan liabilities 7b			0	-				2029	
	let plan assets (subtract line 7b from line 7a)							1(090114	
8	Income, Expenses, and Transfers for this Plan Year	70		902596						
	ncome, Expenses, and Transfers for this Plan Year Contributions received or receivable from: (a) Amount						(D)	Total		
	(1) Employers	774								
	(2) Participants	8a(2)	2178	1						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	17361	9						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c						2	03118	ı
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d	598	9						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	. 8f	961	1						
g	Other expenses	. 8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							15600)
i	Net income (loss) (subtract line 8h from line 8c)	. 8i							187518	3
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics									
9a	If the plan provides pension benefits, enter the applicable pension 2A 2E 2F 2J 2K 3D	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instr	uctions	3:	
b	If the plan provides welfare benefits, enter the applicable welfare for	eature cod	es from the List of Plan Chara	cteristi	ic Coc	les in t	he instru	ctions:		
Par	V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)					X				
b	Were there any nonexempt transactions with any party-in-interest? (Do not include transactions reported on line 10a.)					X				
С				10c	X					25000
d	Did the plan have a loss, whether or not reimbursed by the plan's	Did the plan have a loss, whether or not reimbursed by the plan's fidelity bond, that was caused by fraud								23000
	or dishonesty?			10d		X				
е	Were any fees or commissions paid to any brokers, agents, or oth insurance service, or other organization that provides some or all	•	,			X				
	instructions.)			10e						
f	Has the plan failed to provide any benefit when due under the plan?					X				
g	Did the plan have any participant loans? (If "Yes," enter amount as of year end.)					X				
h	If this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 2520.101-3.)					X				
i										
Part	VI Pension Funding Compliance									
11	Is this a defined benefit plan subject to minimum funding requirem								Yes	П №
11a	5500) and line 11a below)									
12										
	12 Is this a defined contribution plan subject to the minimum funding requirements of section 412 of the Code or section 302 of ERISA? Yes No (If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below, as applicable.)									
a	a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver									
If	you completed line 12a, complete lines 3, 9, and 10 of Schedule					Day		. 100	··	
	Enter the minimum required contribution for this plan year	•				12b				

Page	3 -	1
------	-----	---

С	Enter the amount contributed by the employer to the plan for this plan year	12c			
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d			
е	Will the minimum funding amount reported on line 12d be met by the funding deadline?		Yes	No N/A	
Part	VII Plan Terminations and Transfers of Assets				
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No		
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a			
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No	
С	If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.))			
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)	
Part	VIII Trust Information (optional)				
14a	Name of trust	14b Trust's EIN			

Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration Pension Benefit Guaranty Corporation

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

Complete all entries in accordance with the instructions to the Form 5500-SF.

OMB Nos. 1210-0110 1210-0089

2013

This Form is Open to Public Inspection

Part I	Annual Penort	Identification Information	ordanos man ano monas					
			01/01/2013	and ending	12/31/2013			
	rn/report is for:	x a single-employer plan	a multiple-employer pla	n (not multiemployer)	a one-participant plan			
B This retu	·	the first return/report	the final return/report					
D marcia	moport is.	an amended return/report	a short plan year return/	report (less than 12 mo	onths)			
C Check by	ox if filing under:	X Form 5558	automatic extension	•	DFVC program			
O CHECK DO	ox ii iiiing under.	special extension (enter descri						
Part II	Rasic Plan Info	rmation—enter all requested info						
		That of the all requested inc	Minduon		1b Three-digit			
1a Name of plan DONALD J. ARIMA, D.D.S., P.S. SALARY REDUCTION PLAN					plan number (PN) • 001			
					1c Effective date of plan 09/01/1976			
	onsor's name and ad J. ARIMA, D.	dress; include room or suite number	r (employer, if for a single-e	mployer plan)	2b Employer Identification Number (EIN) 91-0958659			
	CH ST. S				2c Sponsor's telephone number 360-495-3666			
550 Bir					2d Business code (see instructions)			
MCCLEAR		WA 98557	estina -		621210			
3a Plan ad	lministrator's name ar	nd address Same as Plan Sponso	or Name Same as Plan	Sponsor Address	3b Administrator's EIN			
					3c Administrator's telephone	number		
4 If the n	ame and/or EIN of the	e plan sponsor has changed since t	he last return/report filed for	r this plan, enter the	4b EIN			
4 If the name and/or EIN of the plan sponsor has changed since the last return/report filed for this plan, enter the name, EIN, and the plan number from the last return/report.					4			
a Sponso					4c PN			
		at the beginning of the plan year			5a	$\frac{7}{2}$		
		at the end of the plan year			5b	7		
comple	ete this item)	account balances as of the end of t			5c	7 U N-		
6a Were	all of the plan's asset	s during the plan year invested in el	ligible assets? (See instruct	ions.)	X Yes	i No		
b Are yo	u claiming a waiver o	f the annual examination and report ? (See instructions on waiver eligibi	t of an independent qualified	d public accountant (IQ	PA) X Yes	s ∏ No		
if vou	answered "No" to e	ither line 6a or line 6b, the plan c	annot use Form 5500-SF	and must instead use	TO STATE OF THE ST			
		fit plan, is it covered under the PBG				rmined		
Caution: A	penalty for the late	or incomplete filing of this return ther penalties set forth in the instruc	tions. I declare that I have t	examined this return/re	port, including, if applicable, a Sci	hedule		
SB or Sche	dule MB completed a rue, correct, and com	ind signed by an enrolled actuary, a	is well as the electronic vers	sion of this return/repor	t, and to the best of my knowledg	e and		
SIGN	(M)	~	7/14/14	DONALD J. ARI	MA			
HERE	Signature of plan	administrator	Date	Enter name of individual signing as plan administrator				
SIGN								
HERE	Signature of empl	oyer/plan sponsor	Date		lual signing as employer or plan s	ponsor		
Preparer's	name (including firm	name, if applicable) and address; in			Preparer's telephone number (optional)		
					- Journal Collection (in A			
1								