Form 5500-SF

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Short Form Annual Return/Report of Small Employee Benefit Plan

This form is required to be filed under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA), and sections 6057(b) and 6058(a) of the Internal Revenue Code (the Code).

1210-0089

OMB Nos. 1210-0110

2013

This Form is Open to Public Inspection

1 01131	on Benefit Guaranty Corporation	▶ Complete all entries in acco	ordance with the instri	uctions to the Form 550	0-SF.	ins	spection		
Part I Annual Report Identification Information									
For calendar plan year 2013 or fiscal plan year beginning 01/01/2013 and ending 12/31/2013									
A This	s return/report is for:	a single-employer plan	a multiple-employer	plan (not multiemployer)	ployer) a one-participant plan				
B This return/report is: the first return/report the final return/report									
an amended return/report a short plan year return/report (less than 12 m					onths)				
C Check box if filing under: Form 5558 automatic extension				DFVC program					
		special extension (enter descrip	otion)						
Part	II Basic Plan Info	ormation—enter all requested infor	mation						
1a Na	me of plan				1b	Three-digit			
SELANDER O'BRIEN 401K SAVINGS PLAN					plan number	004			
					10	(PN) Fractive data a	001		
					16	Effective date of	/2008		
2a Pla	an sponsor's name and a	ddress; include room or suite number	(employer, if for a single	e-employer plan)	2h		fication Number		
	DER O'BRIEN PLLC		(_~		706968		
					2c	Sponsor's telephone number			
3829C S	EDMUNDS ST					206-72	3-8200		
SEATTL	E, WA 98118				2d	Business code	(see instructions)		
						5411			
3a Pla	an administrator's name a	and address XSame as Plan Sponso	r Name Same as Pla	an Sponsor Address	3b	Administrator's	EIN		
					30	Administrator's	telenhone number		
					00	C Administrator's telephone num			
		ne plan sponsor has changed since th	e last return/report filed	for this plan, enter the	4b	EIN			
	ame, EIN, and the pian hi onsor's name	umber from the last return/report.			4c	PN			
		s at the beginning of the plan year			5a		3		
_		s at the end of the plan year							
	•	account balances as of the end of the			5b	+	3		
		T account balances as of the end of the	' '	•	5c		0		
_	·		6a Were all of the plan's assets during the plan year invested in eligible assets? (See instructions.)						
b Are you claiming a waiver of the annual examination and report of an independent qualified public accountant (IQPA)							X Yes No		
under 29 CFR 2520.104-46? (See instructions on waiver eligibility and conditions.)									
	nder 29 CFR 2520.104-46	6? (See instructions on waiver eligibilit	of an independent qualif ty and conditions.)	ied public accountant (IQ		5500.			
lf	nder 29 CFR 2520.104-46 you answered "No" to o	6? (See instructions on waiver eligibilit either line 6a or line 6b, the plan car	of an independent qualify and conditions.)nnot use Form 5500-S	ied public accountant (IQ F and must instead use	Form		X Yes No X Yes No		
If C If t	nder 29 CFR 2520.104-46 you answered "No" to on the plan is a defined bene	6? (See instructions on waiver eligibilit either line 6a or line 6b, the plan car efit plan, is it covered under the PBGC	of an independent qualify and conditions.)nnot use Form 5500-Si insurance program (se	F and must instead use e ERISA section 4021)?	Form	Yes No	X Yes No		
C If t	nder 29 CFR 2520.104-46 you answered "No" to 6 the plan is a defined bence n: A penalty for the late	6? (See instructions on waiver eligibilite either line 6a or line 6b, the plan care efit plan, is it covered under the PBGC or incomplete filing of this return/r	of an independent qualif ty and conditions.) nnot use Form 5500-Si insurance program (se	F and must instead use e ERISA section 4021)?	Form	Yes No established.	Yes No Yes No Not determined		
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Part III Financial Information										
7	Plan Assets and Liabilities		(a) Beginning of Yea	ar			(b) End	d of Y	ear	
a	Total plan assets			129270			(b) End of Year 186541			1
	Total plan liabilities	7b		0		0)	
	Net plan assets (subtract line 7b from line 7a)	7c	12927	0					186541	
8	Income, Expenses, and Transfers for this Plan Year		(a) Amount				(b) Total			
	Contributions received or receivable from:		(a) Amount				(15)	Total		
	(1) Employers	8a(1)	50	0						
	(2) Participants	8a(2)	3050	00						
	(3) Others (including rollovers)	8a(3)		0						
b	Other income (loss)	8b	2627	'1						
С	Total income (add lines 8a(1), 8a(2), 8a(3), and 8b)	8c							57271	
d	Benefits paid (including direct rollovers and insurance premiums to provide benefits)	8d		0						
е	Certain deemed and/or corrective distributions (see instructions)	8e		0						
f	Administrative service providers (salaries, fees, commissions)	8f		0						
g	Other expenses	8g		0						
h	Total expenses (add lines 8d, 8e, 8f, and 8g)	8h							()
ī	Net income (loss) (subtract line 8h from line 8c)	8i							57271	1
j	Transfers to (from) the plan (see instructions)	8j		0						
Pai	t IV Plan Characteristics	oj .								
9a	If the plan provides pension benefits, enter the applicable pension	feature co	des from the List of Plan Char	acteris	stic Co	des in	the instru	ıction	3:	
b	2E 2F 2G 2J 2K 3B 3D If the plan provides welfare benefits, enter the applicable welfare fe	eature cod	es from the List of Plan Chara	cterist	ic Coc	les in t	he instruc	tions		
Par	t V Compliance Questions									
10	During the plan year:				Yes	No		Am	ount	
а	a Was there a failure to transmit to the plan any participant contributions within the time period described in 29 CFR 2510.3-102? (See instructions and DOL's Voluntary Fiduciary Correction Program)			10a		X				
b		/ere there any nonexempt transactions with any party-in-interest? (Do not include transactions reported n line 10a.)				X				
	Was the plan covered by a fidelity bond?			10c		X				
d	Did the plan have a loss, whether or not reimbursed by the plan's	fidelity bo	nd, that was caused by fraud	10d		X				
	or dishonesty? Were any fees or commissions paid to any brokers, agents, or oth			100						
-	insurance service, or other organization that provides some or all	•				V				
	instructions.)		. `	10e		X				
f	Has the plan failed to provide any benefit when due under the plan	n?		10f		X				
g	Did the plan have any participant loans? (If "Yes," enter amount a	d the plan have any participant loans? (If "Yes," enter amount as of year end.)				X				
h	this is an individual account plan, was there a blackout period? (See instructions and 29 CFR 520.101-3.)			10g 10h		X				
i	If 10h was answered "Yes," check the box if you either provided the exceptions to providing the notice applied under 29 CFR 2520.10	ne required	d notice or one of the	10i						
Pari										
11 Is this a defined benefit plan subject to minimum funding requirements? (If "Yes," see instructions and complete Schedule SB (Form										
5500) and line 11a below)										
	11a Enter the unpaid minimum required contribution for current year from Schedule SB (Form 5500) line 39									
12	Is this a defined contribution plan subject to the minimum funding			e or se	ection :	302 of	ERISA?.	. _	Yes	X No
	(If "Yes," complete line 12a or lines 12b, 12c, 12d, and 12e below,			-4:		4				Ľ
a If a waiver of the minimum funding standard for a prior year is being amortized in this plan year, see instructions, and enter the date of the letter ruling granting the waiver										
	you completed line 12a, complete lines 3, 9, and 10 of Schedule	•			1	40'				
h	Enter the minimum required contribution for this plan year					12b	I			

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С	Enter the amount contributed by the employer to the plan for this plan year	12c				
d	Subtract the amount in line 12c from the amount in line 12b. Enter the result (enter a minus sign to the left of a negative amount)	12d				
e Will the minimum funding amount reported on line 12d be met by the funding deadline?				No N/A		
Part	VII Plan Terminations and Transfers of Assets					
13a	Has a resolution to terminate the plan been adopted in any plan year?	Y	es X No			
	If "Yes," enter the amount of any plan assets that reverted to the employer this year	13a				
b	Were all the plan assets distributed to participants or beneficiaries, transferred to another plan, or brought under the coof the PBGC?	ontrol		Yes X No		
C If during this plan year, any assets or liabilities were transferred from this plan to another plan(s), identify the plan(s) to which assets or liabilities were transferred. (See instructions.)						
1	3c(1) Name of plan(s):	c(2) Ell	V(s)	13c(3) PN(s)		
Part	VIII Trust Information (optional)					
14a Name of trust			14b Trust's EIN			